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INFORMATION TECHNOLOGY – CODED REPRESENTATION OF PICTURE AND AUDIO INFORMATION – PROGRESSIVE BI-LEVEL IMAGE COMPRESSION

ITU-T Recommendation T.82

(Previously "CCITT Recommendation")

Foreword

ITU (International Telecommunication Union) is the United Nations Specialized Agency in the field of telecommunications. The ITU Telecommunication Standardization Sector (ITU-T) is a permanent organ of the ITU. Some 179 member countries, 84 telecom operating entities, 145 scientific and industrial organizations and 38 international organizations participate in ITU-T which is the body which sets world telecommunications standards (Recommendations).

The approval of Recommendations by the members of ITU-T is covered by the procedure laid down in WTSC Resolution No. 1 (Helsinki, 1993). In addition, the World Telecommunication Standardization Conference (WTSC), which meets every four years, approves Recommendations submitted to it and establishes the study programme for the following period.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC. The text of ITU-T Recommendation T.82 was approved by the WTSC (Helsinki, March 1-12, 1993). The identical text is also published as ISO/IEC International Standard 11544.

NOTES

1 As a consequence of a reform process within the International Telecommunication Union (ITU), the CCITT ceased to exist as of 28 February 1993. In its place, the ITU Telecommunication Standardization Sector (ITU-T) was created as of 1 March 1993. Similarly, in this reform process, the CCIR and the IFRB have been replaced by the Radiocommunication Sector.

In order not to delay publication of this Recommendation, no change has been made in the text to references containing the acronyms "CCITT, CCIR or IFRB" or their associated entities such as Plenary Assembly, Secretariat, etc. Future editions of this Recommendation will contain the proper terminology related to the new ITU structure.

2 In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

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Introduction and overview

(This introduction does not form an integral part of this Recommendation | International Standard)

This Recommendation | International Standard was prepared by the Joint Bi-level Image experts Group (JBIG) of ISO/IEC JTC1/SC29/WG9 and CCITT SGVIII. The JBIG experts group was formed in 1988 to establish a standard for the progressive encoding of bi-level images.

A progressive encoding system transmits a compressed image by first sending the compressed data for a reduced-resolution version of the image and then enhancing it as needed by transmitting additional compressed data, which builds on that already transmitted. This Recommendation | International Standard defines a coding method having progressive, progressive-compatible sequential, and single-progression sequential modes and suggests a method to obtain any needed low-resolution renditions. It has been found possible to effectively use the defined coding and resolution-reduction algorithms for the lossless coding of greyscale and color images as well as bi-level images.

The Introduction-and-overview clause and Annexes A to F are informative and thus do not form an integral part of this Recommendation | International Standard.

Intro. 1 General characteristics

This Specification defines a method for lossless compression encoding of a bi-level image (that is, an image that, like a black-and-white image, has only two colors). The defined method can also be used for coding greyscale and color images. Being adaptive to image characteristics, it is robust over image type. On scanned images of printed characters, observed compression ratios have been from 1,1 to 1,5 times as great as those achieved by the MMR encoding algorithm (which is less complex) described in Recommendations T.4 (G3) and T.6 (G4). On computer generated images of printed characters, observed compression ratios have been as much as 5 times as great. On images with greyscale rendered by halftoning or dithering, observed compression ratios have been from 2 to 30 times as great.

The method is bit-preserving, which means that it, like Recommendations T.4 and T.6, is distortionless and that the final decoded image is identical to the original.

The method also has “progressive” capability. When decoding a progressively coded image, a low-resolution rendition of the original image is made available first with subsequent doublings of resolution as more data is decoded. Note that resolution reduction is performed from the higher to lower resolution layers, while decoding is performed from the lower to higher resolution layers. The lowest resolution image sent in a progressive sequence is a sequentially coded image. In a single-progression sequential coding application, this is the only image sent.

Progressive encodings have two distinct benefits. One is that with them it is possible to design an application with one common database that can efficiently serve output devices with widely different resolution capabilities. Only that portion of the compressed image file required for reconstruction to the resolution capability of the particular output device has to be sent and decoded. Also, if additional resolution enhancement is desired, for say, a paper copy of an image already on a CRT screen, only the needed resolution-enhancing information has to be sent.

The other benefit of progressive encodings is that they can provide subjectively superior image browsing (on a CRT) for an application using low-rate and medium-rate communication links. A low-resolution rendition is transmitted and displayed rapidly, and then followed by as much resolution enhancement as desired. Each stage of resolution enhancement builds on the image already available. Progressive encoding can make it easier for a user to quickly recognize the image as it is being built up, which in turn allows the user to interrupt the transmission of the image.

Let D denote the number of doublings in resolution (called differential layers) provided by the progressive coding. Let I_D denote the highest resolution image and let its horizontal and vertical dimensions in pixels be X_D and Y_D. Let R_D denote the sampling resolution of the image I_D.

This Specification imposes almost no restrictions on the parameters R_D, X_D, Y_D, or D. Choices such as 400 or 200 dpi (dots-per-inch) for the resolution R_D of the highest resolution layer result in a hierarchy of resolutions commensurate with current facsimile standards. Choosing R_D as 600 or 300 dpi gives a progressive hierarchy more compatible with the laser printer resolutions available as of the writing of this Specification.

It is anticipated that *D* will typically be chosen so that the lowest resolution is roughly 10 to 25 dpi. Typical bi-level images when reduced to such a resolution are not legible, but nonetheless such low-resolution renditions are still quite useful and function as automatically generated icons. Page layout is usually apparent and recognition of particular pages that have been seen before at higher resolution is often possible.

As mentioned above, this Specification does not restrict the number *D* of resolution doublings. It can be set to 0 if progressive coding is of no utility, as is the case, for example, in hardcopy facsimile. Doing so retains JBIG's compression advantage over MMR (and in fact usually increases it somewhat), while eliminating the need for any buffering and simplifying the algorithm. Single-progression sequential JBIG coding has potential applications identical to those of MMR coding. Images compressed by a single-progression sequential encoder will be readable by decoders capable of progressive decoding, although only the lowest resolution version of a progressively encoded image will be decodable by a single-progression sequential decoder.

It is possible to use this Specification for the lossless coding of greyscale and color images by coding bit-planes independently as though each were itself a bi-level image. This approach to the coding of greyscale and color images can be used as an alternative to the photographic encoding specification CCITT Rec. T.81 | ISO/IEC 10918-1 (JPEG) in its lossless mode. Preliminary experimental results have shown that JBIG has a compression advantage over JPEG in its lossless mode for greyscale images up to 6 bits-per-pixel. For 6 to 8 bits-per-pixel the compression results have been similar for both JBIG and JPEG. This Specification makes provision for images with more than one bit plane, but makes no recommendation on how to map greyscale or color intensities to bit-planes. Experimentally, it has been found that for greyscale images a mapping via Gray-coding of intensity is superior to a mapping via simple weighted-binary coding of intensity.

Intro. 2 Stripes and data ordering

When it is necessary to distinguish progressive coding from the more traditional form of image coding in which the image is coded at full resolution from left to right and top to bottom, this older form of coding will be referred to as "sequential". The advantage of sequential coding over progressive coding is that no page (frame) buffer is required. Progressive coding does require a page buffer at the next-to-highest resolution because lower resolution images are used in coding higher resolution images.

It is possible to create a JBIG datastream with only a lowest resolution layer and this can be named single-progression sequential coding. In such coding, a full-resolution image is coded without reference to any differential resolution layers. The parameter *D* (mentioned in Intro. 1) is set equal to zero. It should be noted that in a progressive encoding of an image, the lowest resolution layer is actually encoded in single-progression sequential coding. If a full-resolution image is encoded using single-progression sequential coding, it will not be possible to decode the image progressively.

Coding in the progressive-compatible sequential mode is said to be "compatible" with coding in the progressive mode because the datastreams created (encoder) or read (decoder) in either mode carry exactly the same information. All that changes with a switch from progressive to progressive-compatible sequential encoding is the order in which parts of the compressed data are created by the encoder. All that changes with a switch from progressive to progressive-compatible sequential decoding is the order in which these parts are used by the decoder.

This compatibility is achieved by breaking an image into smaller parts before compression. These parts are created by dividing the image in each of its resolution "layers" into horizontal bands called "stripes." Progressive-compatible sequential coding does require a "stripe" buffer (much smaller than a page buffer) and additional individual "state" memory used for adaptive entropy coding of each resolution layer and bit plane.

Figure Intro. 1 shows such a decomposition when there are three resolution layers, three stripes per layer, and only one bit plane. Table Intro. 1 shows defined ways to sequence through the nine stripes.

Notice that in addition to the progressive-versus-sequential distinction that is carried by the **SEQ** bit, there is also a resolution-order distinction that is carried by the **HITOLO** bit. Encoders work from high resolution downward and so most naturally encode the stripes in **HITOLO** order. Decoders must build up the image from low resolution and so most naturally process stripes in the opposite order. When an application uses an encoder that sends progressively coded data directly to a decoder, one or the other must buffer to invert the order. When an application includes a database, the database (with appropriate set-up) can be used to buffer and invert the order (including setting **HITOLO** correctly) thereby removing this requirement from the encoder and decoder.

A stripe has a vertical size that is typically much smaller than that of the entire image. The number L_0 of lines per stripe at the lowest layer is another free parameter. As an example, L_0 might be chosen so that a stripe is about 8 mm. If such a choice is made, the number *S* of stripes in an image of a business-letter-sized sheet of paper will be about 35.

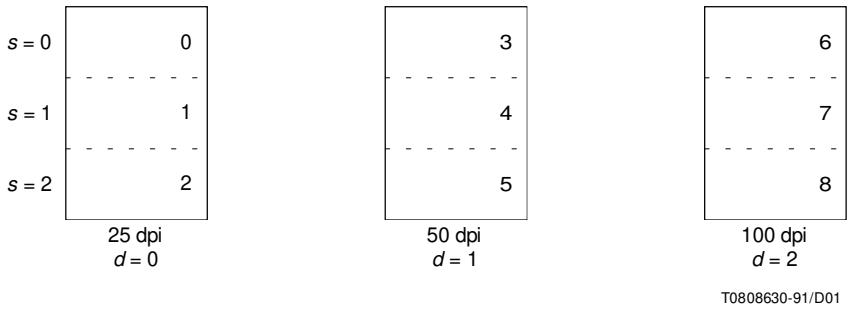

Figure Intro. 1 – Decomposition in the special case of 3 layers, 3 stripes, and 1 bit plane

Table Intro. 1 – Possible bi-level data orderings

HITOLO	**SEQ**	Example order
0	0	0,1,2 3,4,5 6,7,8
0	1	0,3,6 1,4,7 2,5,8
1	0	6,7,8 3,4,5 0,1,2
1	1	6,3,0 7,4,1 8,5,2

When there is more than one bit plane, as in Figure Intro. 2, there are twelve defined stripe orderings. Table Intro. 2 lists them. As before, the **HITOLO** bit carries the resolution-order distinction, and the **SEQ** bit carries the progressive-versus-sequential distinction. When the **ILEAVE** bit is 1, it indicates the interleaving of multiple bit planes. When the **SMID** bit is 1, it indicates *s*, the index over the stripe, is in the middle as shown more clearly in Table 11 of 6.2.4.

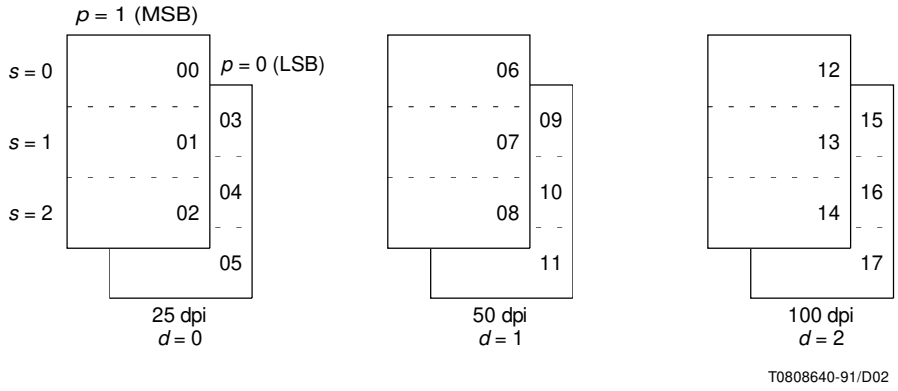

Figure Intro. 2 – Decomposition in the special case of 3 layers, 3 stripes, and 2 bit planes

Table Intro. 2 – Possible multi-plane data orderings

HITOLO	SEQ	ILEAVE	SMID	Example order
0	0	0	0	(00,01,02 06,07,08 12,13,14) (03,04,05 09,10,11 15,16,17)
0	0	1	0	(00,01,02 03,04,05) (06,07,08 09,10,11) (12,13,14 15,16,17)
0	0	1	1	(00,03 01,04 02,05) (06,09 07,10 08,11) (12,15 13,16 14,17)
0	1	0	0	(00,06,12 03,09,15) (01,07,13 04,10,16) (02,08,14 05,11,17)
0	1	0	1	(00,06,12 01,07,13 02,08,14) (03,09,15 04,10,16 05,11,17)
0	1	1	0	(00,03 06,09 12,15) (01,04 07,10 13,16) (02,05 08,11 14,17)
1	0	0	0	(12,13,14 06,07,08 00,01,02) (15,16,17 09,10,11 03,04,05)
1	0	1	0	(12,13,14 15,16,17) (06,07,08 09,10,11) (00,01,02 03,04,05)
1	0	1	1	(12,15 13,16 14,17) (06,09 07,10 08,11) (00,03 01,04 02,05)
1	1	0	0	(12,06,00 15,09,03) (13,07,01 16,10,04) (14,08,02 17,11,05)
1	1	0	1	(12,06,00 13,07,01 14,08,02) (15,09,03 16,10,04 17,11,05)
1	1	1	0	(12,15 06,09 00,03) (13,16 07,10 01,04) (14,17 08,11 02,05)

The two new variables **ILEAVE** and **SMID** plus the two earlier variables **HITOLO** and **SEQ** make it possible to index all twelve of these orders. The other four of the sixteen possible combinations for these four binary variables have no stripe ordering associated with them. If there is only one plane, stripe order is not dependent on **ILEAVE** and **SMID** and their values are inconsequential.

The compressed data $C_{s,\,d,\,p}$ for stripe s of resolution layer d of bit-plane p is independent of stripe ordering. All that changes as **HITOLO**, **SEQ**, **ILEAVE** and **SMID** vary is the order in which the data is concatenated onto a datastream. This is the compatibility feature noted earlier.

For simplicity, the remainder of this introductory clause will assume there is only one bit plane and the subscript p denoting bit plane will be dropped from $C_{s,\,d,\,p}$.

Intro. 3 Encoder functional blocks

An encoder can be decomposed as shown in Figure Intro. 3. (In single-progression sequential coding only the lowest-resolution-layer encoder would be used.)

Although conceptually there are D algorithmically identical differential-layer encoders as shown in Figure Intro. 3, some implementations may choose to recursively use only one physical differential-layer encoder.

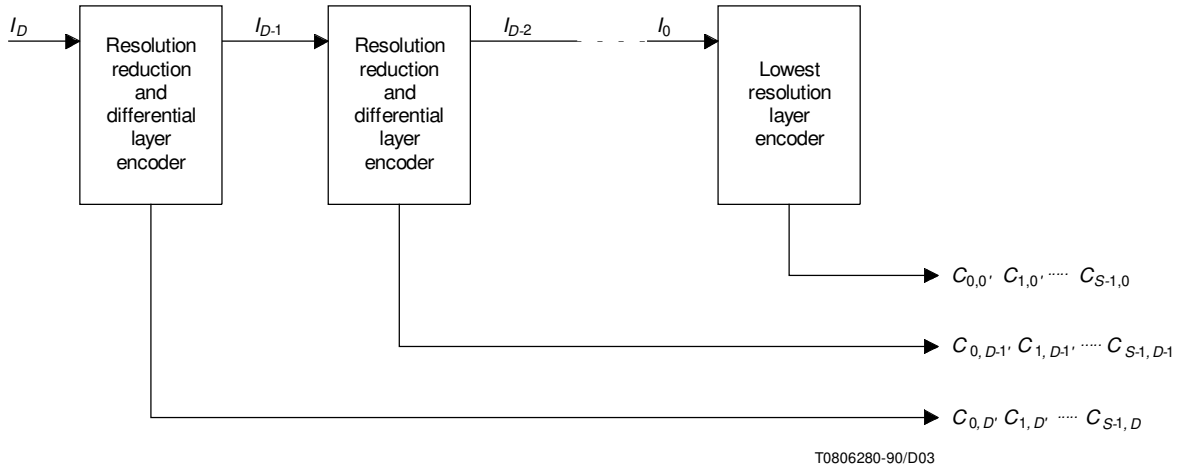

Figure Intro. 3 – Decomposition of encoder

Intro. 3.1 Resolution reduction and differential layer encoder

Each of the resolution-reduction-and-differential-layer-encoder blocks of Figure Intro. 3 is identical in function, hence only a description of the operation at one layer is needed. For such a description there are only two resolution layers involved. For simplicity in the remainder of this subclause, the incoming image will be referred to as the "high-resolution" image and the outgoing image, as the "low-resolution" image. Note though that the "high" and "low" resolution images of any particular resolution-reduction-and-differential-layer-encoder block in Figure Intro. 3 are not in general the highest and lowest resolution images of the entire system.

A resolution-reduction-and-differential-layer-encoder block of Figure Intro. 3 can itself be decomposed into sub-blocks as shown in Figure Intro. 4. Not all sub-blocks need be used in all systems. Refer to the tables in 4 for a definition of signal names.

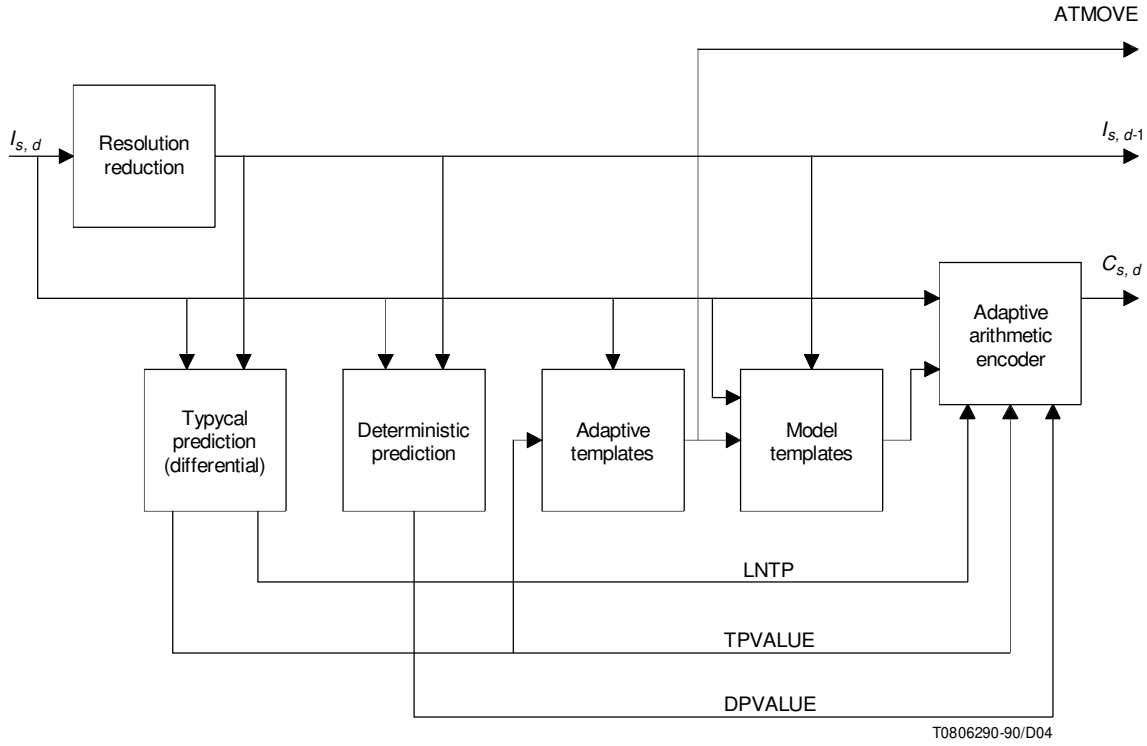

Figure Intro. 4 – Resolution reduction and differential layer encoder

Acronyms for the processing blocks of this figure and some others to be discussed in this introductory clause are given in Table Intro. 3.

Table Intro. 3 – Acronyms for processing blocks

Acronym	Meaning
AAD	**A**daptive **A**rithmetic **D**ecoder
AAE	**A**daptive **A**rithmetic **E**ncoder
AT	**A**daptive **T**emplates
DP	**D**eterministic **P**rediction
MT	**M**odel **T**emplates
RR	**R**esolution **R**eduction
TPB	**T**ypical **P**rediction (**B**ottom)
TPD	**T**ypical **P**rediction (**D**ifferential)

Intro. 3.1.1 Resolution reduction

The resolution-reduction (RR) block performs resolution reduction. This block accepts a high-resolution image and creates a low-resolution image with, as nearly as possible, half as many rows and half as many columns as the original.

An obvious way to reduce the resolution of a given image by a factor of two in each dimension is to subsample it by taking every other row and every other column. Subsampling is simple, but creates images of poor subjective quality, especially when the input image is bi-level.

For bi-level images containing text and line drawings, subsampling is poor because it frequently deletes thin lines. For bi-level images that contain halftoning or ordered dithering to render greyscale, subsampling is poor because greyness is not well preserved, especially if the dithering period is a power of two, as is frequently the case.

This Specification suggests a resolution reduction method. This particular method has been carefully designed, extensively tested, and found to achieve excellent results for text, line art, dithered greyscale, halftoned greyscale, and error-diffused greyscale.

Intro. 3.1.2 Differential layer typical prediction

The differential-layer typical prediction (TP) block provides some coding gain, but its primary purpose is to speed implementations. Differential-layer TP looks for regions of solid color and when it finds that a given current high-resolution pixel for coding is in such a region, none of the processing normally done in the DP, AT, MT, and AAE blocks is needed. On text or line-art images, differential-layer TP usually makes it possible to avoid coding over 95% of the pixels. On bi-level images rendering greyscale, processing savings are significantly smaller.

Intro. 3.1.3 Deterministic prediction

The purpose of the deterministic-prediction (DP) block is to provide coding gain. On the set of test images used in the development of this Specification it provided a 7% gain, and such a gain is thought to be typical.

When images are reduced in resolution by a particular resolution reduction algorithm, it sometimes happens that the value of a particular current high-resolution pixel to be coded is inferable from the pixels already known to both the encoder and decoder, that is, all the pixels in the low-resolution image and those in the high-resolution image that are causally related (in a raster sense) to the current pixel. When this occurs, the current pixel is said to be deterministically predictable. The DP block flags any such pixels and inhibits their coding by the arithmetic coder.

DP is a table driven algorithm. The values of particular surrounding pixels in the low-resolution image and causal high-resolution image are used to index into a table to check for determinicity and, when it is present, obtain the deterministic prediction. DP tables are highly dependent on the particular resolution reduction method used. Provision is made for an encoder to download DP tables to a decoder if it is using a private resolution reduction algorithm. If an application requires default DP, decoders need to always have the default DP tables and no DP tables need be sent. Hence, if the suggested resolution reduction algorithm is used, no DP table need ever be sent.

Intro. 3.1.4 Model templates

For each high-resolution pixel to be coded, the model-templates (MT) block provides the arithmetic coder with an integer called the context. This integer is determined by the colors (binary levels) of particular pixels in the causal high-resolution image, by particular pixels in the already available low-resolution image, and by the spatial phase of the pixel being coded. “Spatial phase” describes the orientation of the high-resolution pixel with respect to its corresponding low-resolution pixel.

The arithmetic coder maintains for each context an estimate of the conditional probability of the symbol given that context. The greatest coding gain is achieved when this probability estimate is both accurate and close to 0 or 1. Thus, good templates have good predictive value so that when the values of the pixels in the template are known, the value of the pixel to be coded is highly predictable.

Intro. 3.1.5 Adaptive templates

The adaptive-templates (AT) block provides substantial coding gain (sometimes as much as 80%) on images rendering greyscale with halftoning. AT looks for periodicity in the image and on finding it changes the template so that the pixel preceding the current pixel by this periodicity is incorporated into the template. Such a pixel has excellent predictive value.

Such changes are infrequent, and when one occurs, a control sequence (indicated symbolically by **ATMOVE** in Figure Intro. 4) is added to the output datastream. Hence, decoders need not do any processing to search for the correct setting for AT.

Intro. 3.1.6 Adaptive arithmetic encoder

The adaptive-arithmetic-encoder (AAE) block is an entropy coder. It notes the outputs of the TP and DP blocks to determine if it is even necessary to code a given pixel. Assuming it is, it then notes the context and uses its internal probability estimator to estimate the conditional probability that the current pixel will be a given color. Often the pixel is highly predictable from the context so that the conditional probability is very close to 0 or 1 and a large entropy coding gain can be realized.

Maintaining probability estimates for each of the contexts is a non-trivial statistical problem. A balance must be struck between obtaining extremely accurate estimates and the conflicting need of adapting quickly to changing underlying statistics.

Intro. 3.2 Lowest resolution layer encoder

Figure Intro. 5 shows a lowest-resolution-layer encoder. It is conceptually simpler than the differential-layer encoder because the RR and DP blocks are not applicable and the TP, AT, and MT blocks are different since there is no lower resolution layer to be used as input. Refer to the tables in 4 for a definition of signal names. (Not all sub-blocks need to be used in all systems.)

Lowest-resolution-layer TP like differential-layer TP is primarily intended to speed processing. The algorithms used for the two versions of TP are quite different, however, and it is not possible to skip as high a percentage of pixels with lowest-resolution-layer TP as it is with differential-layer TP. On images with text and line art, lowest-resolution-layer TP allows skipping about 40% of the pixels.

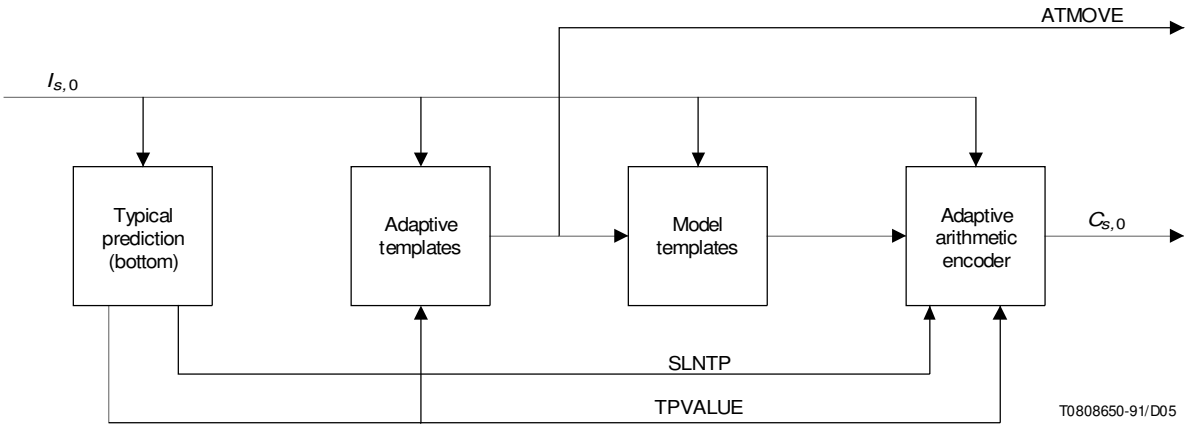

Figure Intro. 5 – Lowest-resolution-layer encoder

Intro. 4 Decoder functional blocks

Figures Intro. 6, Intro. 7 and Intro. 8 are analogous to Figures Intro. 3, Intro. 4 and Intro. 5 but show decoding rather than encoding. Note that the RR and AT blocks do not appear in the decoder. Refer to the tables in 4 for a definition of signal names. In single-progression sequential coding only the lowest-resolution-layer-decoder block of Figure Intro. 6 would be used. Not all sub-blocks in Figures Intro. 7 and Intro. 8 need be used in all systems.

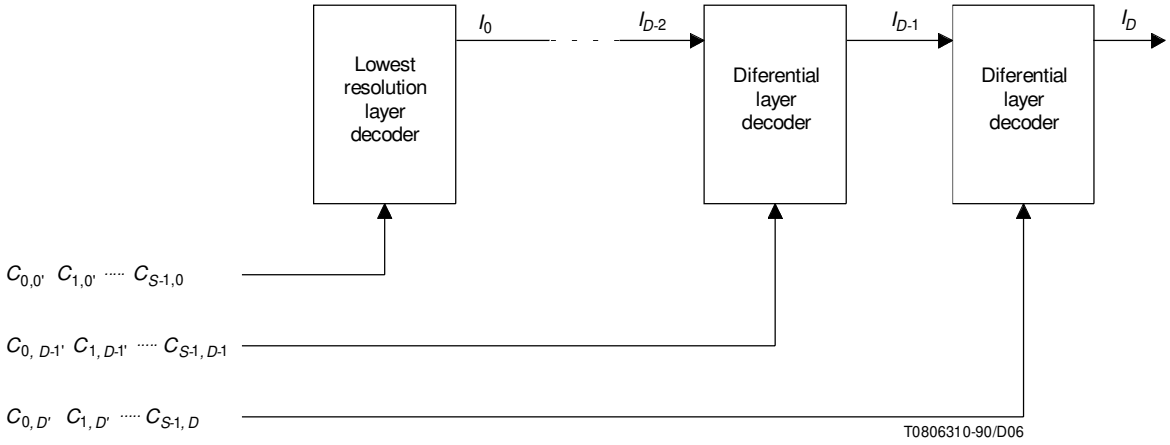

Figure Intro. 6 – Decomposition of decoder

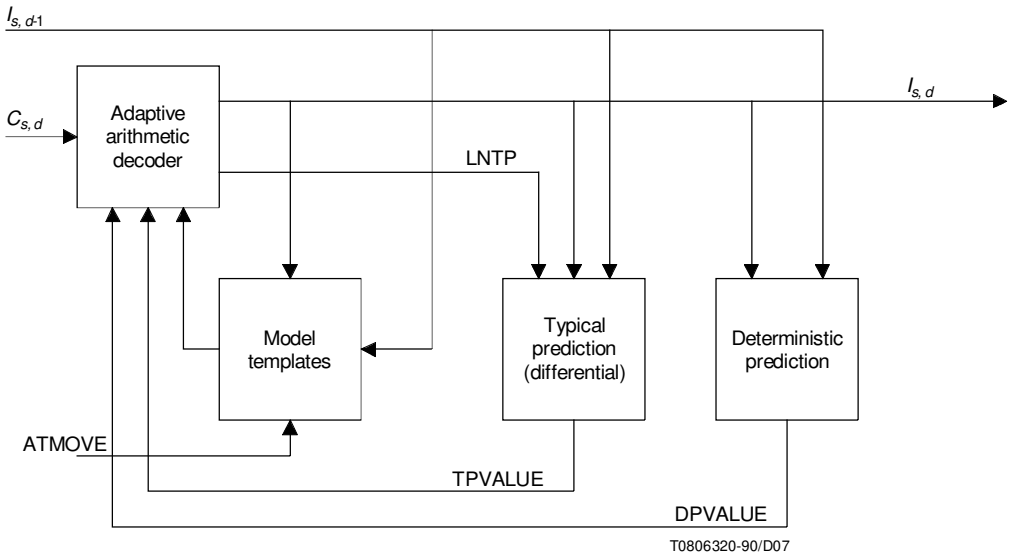

Figure Intro. 7 – Differential layer decoder

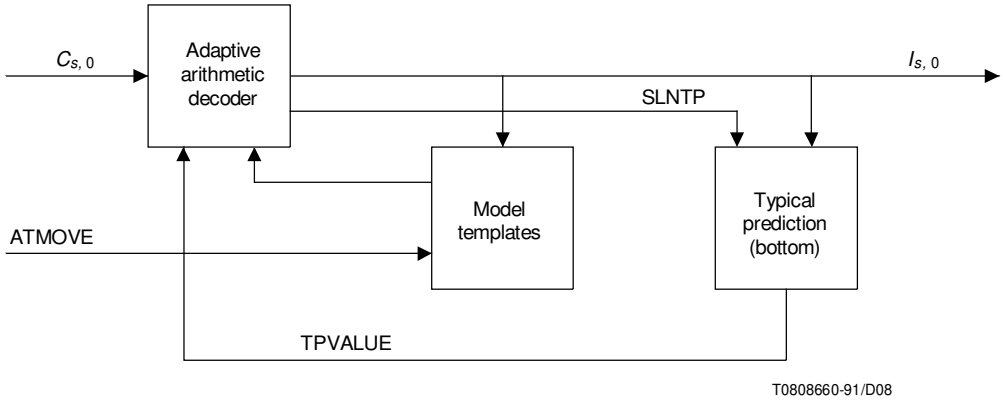

Figure Intro. 8 – Lowest-resolution-layer decoder

INTERNATIONAL STANDARD

CCITT RECOMMENDATION

INFORMATION TECHNOLOGY – CODED REPRESENTATION OF PICTURE AND AUDIO INFORMATION – PROGRESSIVE BI-LEVEL IMAGE COMPRESSION

1 Scope

This Recommendation | International Standard defines a bit-preserving (lossless) compression method for coding image bit-planes and is particularly suitable for bi-level (two-tone, including black-white) images.

2 Normative references

There are no normative references. Informative references to standards and to the technical literature are listed in Annex F.

3 Definitions

For the purposes of this Recommendation | International Standard, the following definitions apply.

3.1 **adaptive arithmetic coder**: A mechanism for adaptively compressing or decompressing data by using observed data characteristics to predict and code future data symbols.

3.2 **adaptive templates (AT)**: Model templates which can be modified by moving an AT pixel during the processing of an image to take advantage of observed patterns in the image.

3.3 **AT lag**: The distance in pixels between the pixel being encoded and the AT pixel.

3.4 **AT pixel**: A special pixel in the model template that is allowed to adaptively change its location during the processing of an image.

3.5 **bit-plane**: An array (or "plane") of bi-level symbols constructed from an image by choosing a particular bit from each pixel.

3.6 **bit-plane interleaving**: A method used for mixing together two or more bit-planes of data into a single stream.

3.7 **byte**: Eight bits of data.

3.8 **byte stuffing**: A mechanism for unambiguously distinguishing between predefined escape bytes indicating the start of a marker segment and bytes identical to the escape byte which naturally occur in a compressed datastream.

3.9 **context**: An integer corresponding to the specific pattern of the template and spatial phase (if needed) that is used to identify the index of the state of the adaptive arithmetic coder to be used for coding the current pixel.

3.10 **deterministic prediction (DP)**: A method for exactly predicting (and therefore not coding) individual pixels in an image by using a lower resolution version of the same image along with very specific knowledge of the method of resolution reduction used.

3.11 **differential layer coder**: A mechanism for encoding or decoding differential-layer images.

3.12 **differential-layer image**: An image at a given resolution which is described by making reference to pixels in a lower-resolution image.

3.13 **entropy coder**: Any lossless method for compressing or decompressing data.

3.14 **escape byte**: A byte in a datastream signifying that information to follow has special marker-code meaning.

3.15 **high-resolution pixel**: A pixel from the higher resolution image of the two resolution layers under discussion.

3.16 **line not typical (`LNTP`)**: A condition which occurs during typical prediction when one or more of the pixels associated with a given low-resolution line would be predicted incorrectly.

3.17 **lowest-resolution-layer coder**: A mechanism for encoding or decoding lowest-resolution-layer images.

3.18 **lowest-resolution-layer image**: An image at a given resolution which is described without reference to any lower resolution images.

3.19 **low-resolution pixel**: A pixel from the lower resolution image of the two resolution layers under discussion.

3.20 **marker**: A combination of an escape byte and a marker byte that introduces control information.

3.21 **marker byte**: A byte immediately following an escape byte that defines the type of control information being introduced.

3.22 **marker segment**: The combination of a marker and any additional bytes of associated control information.

3.23 **model template (MT)**: A geometric pattern describing the location of pixels relative to a pixel to be coded. It is used to model local image characteristics.

3.24 **pixel**: One picture element of an image which is described by a rectangular array of such elements.

3.25 **progressive behavior**: A coding technique shows progressive behavior if an image is first coded as a lowest resolution layer image and then is successively increased in resolution by means of differential layer images.

3.26 **progressive coding**: A method of coding an image in which the image may be segmented into stripes, and then the entire image is first coded as a lowest resolution layer image and then is successively increased in resolution by means of differential layer images. This is compatible, by stripe/layer data reordering, with progressive-compatible sequential coding.

3.27 **progressive-compatible sequential coding**: A method of coding an image in which the image may be segmented into stripes, the image stripes are coded in sequence, and within each stripe the image is coded to full resolution progressively. This is compatible, by stripe/layer data reordering with progressive coding.

3.28 **protected stripe coded data (PSCD)**: A compressed image datastream which has been modified by stuffing bytes to distinguish between predefined escape bytes which signal special marker segments (which are not a part of the compressed datastream) and bytes identical to the escape byte which occur naturally in the compressed datastream.

3.29 **resolution reduction method (RR)**: A method for transforming an image with a particular resolution into an image describing the same subject, but with a lower resolution.

3.30 **sequential behavior**: A coding technique shows sequential behavior if portions of the image near the top are completely described before portions below have been described at all.

3.31 **single-progression sequential coding**: A method of coding an image such that the image is fully coded in a single resolution layer, line by line, from left to right and top to bottom, without reference to any lower resolution images. This is compatible with progressive coding and progressive-compatible sequential coding if the number of differential layers is zero.

3.32 **spatial phase**: An attribute of a pixel in a differential-layer image that describes its orientation with respect to the low-resolution pixel associated with it.

3.33 **spatial resolution**: The number of pixels used to describe a region of an image of fixed spatial size.

3.34 **stripe**: A fixed vertical size region of an image which encompasses the entire horizontal width of that image.

3.35 **target pixel**: A pixel to be processed.

3.36 **typical prediction (TP)**: A method for exactly predicting (and therefore not coding) blocks of pixels in an image by exploiting large regions of solid color.

4 Symbols and abbreviations

4.1 Acronyms

See Table 1.

4.2 Symbolic constants

See Table 2.

Table 1 – Acronyms

Acronym	Meaning
AT	Adaptive templates
DP	Deterministic prediction
LPS	Less probable symbol
LSB	Least significant bit
MPS	More probable symbol
MSB	Most significant bit
MT	Model templates
RR	Resolution reduction
TP	Typical prediction

Table 2 – Symbolic constants

		Value	
Constant	Meaning	ISO	Hexadecimal
ABORT	Abort	00/04	0x04
ATMOVE	AT movement	00/06	0x06
COMMENT	Private comment	00/07	0x07
ESC	Escape	15/15	0xff
NEWLEN	New length	00/05	0x05
RESERVE	Reserve	00/01	0x01
SDNORM	Normal stripe data end	00/02	0x02
SDRST	Reset at stripe data end	00/03	0x03
STUFF	Stuff	00/00	0x00

4.3 Mathematical symbols, operators, and indicators

See Table 3.

4.4 Variables with mnemonic names

See Table 4.

5 Conventions

5.1 Flow diagram conventions and symbols

All flow diagrams are entered at the top and exited at the bottom. The symbol "<<" denotes a binary left shift with zero fill of low order bits and the symbol ">>" denotes a binary right shift with zero fill of high order bits. For both "<<" and ">>" the quantity on the left is the quantity being shifted and the quantity on the right is the shift amount. The binary logical AND of two numbers is indicated by "&".

5.2 Template graphics

It will frequently be necessary to show graphically the relationship of pixels in a high-resolution image to pixels in a low-resolution image. Figure 1 is a three-dimensional graphic showing such a relationship. In the text of this Specification two dimensional drawings like that in Figure 2 are used instead since they are more compact than their three-dimensional equivalent. Note that in the two-dimensional graphic low-resolution pixels are shown as circles and that the corresponding high-resolution pixels are shown as squares, partially hidden by the low-resolution circles.

Table 3 – Mathematical symbols, operators, and indicators

Notation	Meaning
$C_{s,\,d}$	Coded data for stripe s and layer d
D	Number for final resolution layer in **BIE**
D_L	Number for initial resolution layer in **BIE**
h	High-resolution pixel
I_d	Image at layer d
l	Low-resolution pixel
L_c	Length in bytes of private comment
L_d	Lines per stripe at layer d
M_X	Maximum horizontal offset allowed for AT pixel
M_Y	Maximum vertical offset allowed for AT pixel
p	Probability
P	Number of bit-planes
R_d	Resolution at layer d
S	Number of stripes
X_d	Horizontal image size at layer d
y_{AT}	Line in which an AT switch is to be made
Y_d	Vertical image size at layer d
τ_X	Horizontal offset of the AT pixel
τ_Y	Vertical offset of the AT pixel
$>>$	Binary right shift
$<<$	Binary left shift
&	Bitwise AND
!	Logical NOT
$\oplus$	Exclusive OR
$\lceil \cdot \rceil$	Ceiling function (smallest integer ≥ argument)
0x	Hexadecimal indicator

5.3 Spatial phase

A “spatial phase” can be associated with pixels in any resolution layer other than the lowest. This “phase” describes the orientation of the pixel with respect to the lower resolution pixel associated with it. If it is the upper left hand pixel of the four pixels associated with a single low-resolution pixel, it shall be said to have “phase 0”. Similarly, the upper right pixel shall have “phase 1,” the lower left pixel shall have “phase 2,” and the lower right pixel shall have “phase 3” (see Figure 3).

5.4 Data structure graphics

Tables 5 to 16 contain graphics illustrating the decomposition of fields into sub-fields. Typographically leftmost sub-fields shall be transmitted earlier. The last row of each of these graphics gives field sizes in bytes. An entry of “1/8” denotes a single bit. An entry of “varies” is used when the field size is variable and depends upon options chosen, parameters chosen, or the particular image being coded.

Table 4 – Variables with mnemonic names

Variable	Meaning
A	Interval size register
BID	Bi-level image data
BIE	Bi-level image entity
BIH	Bi-level image header
BUFFER	Buffer
C	Code register
CE	Conditional exchange
CHIGH	Code register, high two bytes
CLOW	Code register, low two bytes
CT	Bit counter
CX	Context
DPLAST	DP last
DPON	DP enabled
DPPRIV	DP private
DPTABLE	DP table
DPVALUE	DP value
HITOLO	High to low
ILEAVE	Interleave multiple bit-planes
LNTP	Line not typical
LPIX	Low-resolution pixel
LRLTWO	Lowest-resolution-layer two-line template
LSZ	LPS size on coding interval
MPS	More probable symbol
NLPS	Next if LPS
NMPS	Next if MPS
PIX	Pixel
PSCD	Protected stripe coded data
SC	Stack (of 0xff bytes) counter
SCD	Stripe coded data
SDE	Stripe data entity
SEQ	Sequential
SLNTP	Same **LNTP**
SMID	Index over stripe is in middle
SWTCH	Switch
ST	State
TPBON	Lowest-resolution-layer TP enabled
TPDON	Differential-layer TP enabled
TPVALUE	TP value
VLENGTH	Variable length

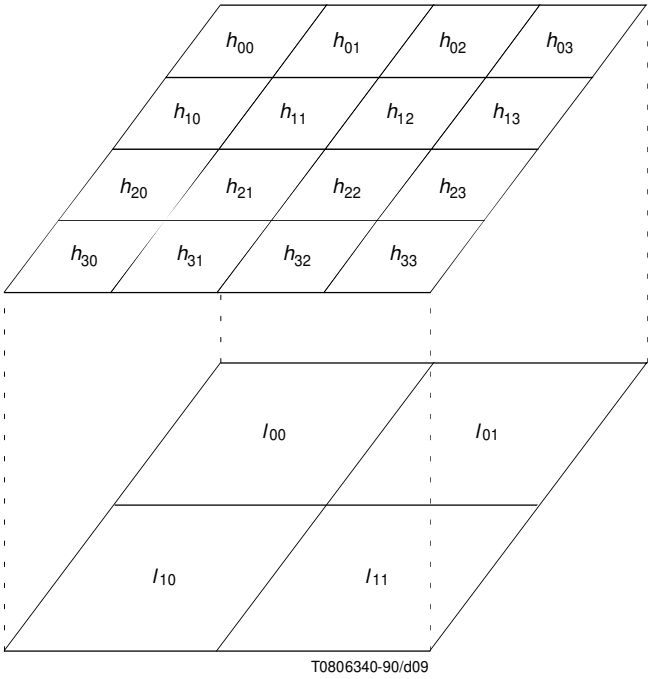

Figure 1 – High- and low-resolution pixels in three-dimensional graphic

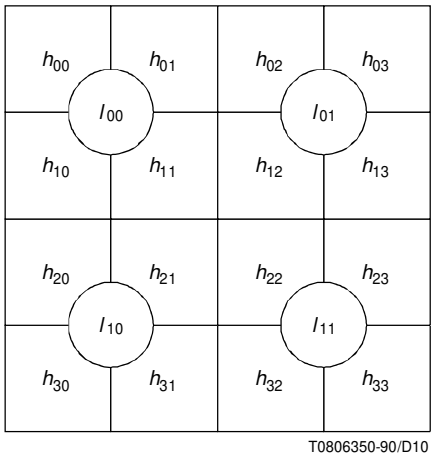

Figure 2 – High- and low-resolution pixels in two-dimensional graphic

6 Requirements

6.1 General rules

6.1.1 Color assignment

Each bit of each pixel plane is either 0 or 1. When the image is bi-level, a 1 bit shall indicate the foreground color and a 0 bit shall indicate the background color. If there is more than one bit plane, the mapping of intensity and color to bit-planes is not defined by this Specification.

NOTE – Whether 1 or 0 represents the foreground color is inconsequential for all aspects of this Specification except the described resolution reduction method. This resolution reduction method has a slight asymmetry between foreground and background colors.

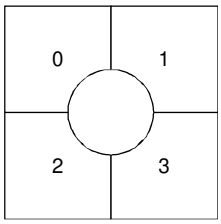

Figure 3 – Four possible phases for high-resolution pixels

6.1.2 Edge conventions

The resolution reduction, typical prediction, deterministic prediction, and coding algorithms all iterate through the image in the usual raster scan order, that is, from left to right and top to bottom. The processing for a current target pixel will reference the colors of some pixels in fixed spatial relationship to that target pixel. At image edges, these neighbor references may not lie in the actual image. For both high and low-resolution images, the rules to satisfy off-image references shall be as follows:

- a background colored (0) border shall be assumed to lie to the top, left, and right of the actual image;
- the bottom of the image shall be extended downward as far as necessary by pixel replicating the actual last line of the image.

Furthermore, in referencing pixels across stripe boundaries, the following rules shall be used:

- A pixel reference in a stripe above the current one shall return the actual value of the pixel, unless the pixel is above the image, in which case the background-border-rule for the image top shall be applied.
- A pixel reference in a stripe below the current one shall be satisfied by pixel replicating the last line of the current stripe. In particular, actual values shall not be used even if the reference is still within the image.

NOTE – This latter rule is only of consequence for the low-resolution image, as for decodability there can never be any references to high-resolution pixels in the line below. Also, the described resolution reduction algorithm happens to never reference even low-resolution pixels in the line below.

6.1.3 Byte alignment

NOTE – Because of the header and marker conventions to be described in 6.2, marker segments are always byte aligned in a datastream.

6.2 Data organization

6.2.1 Image decomposition

The highest level data structure described in this Specification is known as a bi-level image entity (**BIE**). A given **BIE** may contain data for one or more resolution layers and bit-planes. The data describing a given image in all its available resolutions and bit-planes may, but need not necessarily be, contained in more than one **BIE**.

NOTE – A multiple **BIE** description of an image is needed when images are first made available at low or intermediate resolution or bit-plane precision and there may or may not be a request for enhancement to higher resolution or precision.

6.2.2 Bi-level image entity and header (BIE and BIH) decomposition

As shown in Table 5, a bi-level image entity (**BIE**) shall comprise a bi-level image header (**BIH**) and bi-level image data (**BID**).

Table 5 – Decomposition of BIE

BIE	
BIH	**BID**
Varies	Varies

The bi-level image header shall comprise the fields shown in Tables 6, 7 and 8.

Table 6 – Decomposition of BIH

BIH											
D_L	D	P	–	X_D	Y_D	L_0	M_X	M_Y	Order	Options	**DPTABLE**
1	1	1	1	4	4	4	1	1	1	1	0 or 1728

Table 7 – Decomposition of order byte

Order							
MSB	· · ·						LSB
–	–	–	–	**HITOLO**	**SEQ**	**ILEAVE**	**SMID**
1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

Table 8 – Decomposition of options byte

Options							
MSB	· · ·						LSB
–	**LRLTWO**	**VLENGTH**	**TPDON**	**TPBON**	**DPON**	**DPPRIV**	**DPLAST**
1/8	1/8	1/8	1/8	1/8	1/8	1/8	1/8

The first byte of the **BIH** shall specify D_L, the initial resolution layer to be specified in this **BIE**. Most frequently, this number will be zero in which case the data transmitted will allow building up the image without any prior knowledge about it. It will be non-zero if a previous **BIE** has already defined the image to some intermediate layer and only incremental information is to be specified. The second byte specifies D, the final resolution layer described in this **BIE**. Note that with such multiple **BIE**'s, when D_L is zero than D equals a number of differential layers, but not the total number of differential layers.

The third byte shall specify P, the number of bit-planes. If the image is bi-level, P shall be 1.

The fourth byte is fill. It shall always be written as 0.

The three subsequent four-byte fields specify X_D, Y_D and L_0, which are respectively, the horizontal dimension at highest resolution, the vertical dimension at highest resolution, and the number of lines per stripe at lowest resolution. These three integers are coded most significant byte first. In other words, X_D is the sum of 256^3 times the fifth byte in **BIH**, 256^2 times the sixth byte, 256 times the seventh byte, and the eighth byte.

The seventeenth and eighteenth bytes shall specify M_X and M_Y, the maximum horizontal and vertical offsets allowed for the AT pixel. These parameters are discussed further in 6.7.3.

The nineteenth byte of the **BIH** shall carry the binary parameters **HITOLO**, **SEQ**, **ILEAVE** and **SMID**, which together specify the order in which stripe data is concatenated to form **BID**. More detail is provided in 6.2.4. The four most significant bits of this byte are fill and shall always be written as 0.

The twentieth byte of the **BIH** shall specify options. Its most significant bit is fill and shall always be written as 0. The template used for coding the lowest resolution layer shall have 2 or 3 lines as **LRLTWO** is 1 or 0 (see 6.7.1). If the **VLENGTH** bit is 0, there shall be no **NEWLEN** marker segments (see 6.2.6.2). If **VLENGTH** is 1, there may or may not be **NEWLEN** marker segments present. The **TPDON**, **TPBON** and **DPON** bits are 1 when it is desired to enable respectively, differential-layer TP, lowest-resolution-layer TP, and DP. The **DPPRIV** and **DPLAST** bits are only meaningful if **DPON** equals 1. If **DPON** is 1 and **DPPRIV** is 1, a private DP table is to be used. If **DPLAST** is 0, the private DP table (1728 bytes) is to be loaded. Otherwise the DP table last used is to be reused.

The **DPTABLE** field of **BIH** shall only be present if **DPON** equals 1, **DPPRIV** equals 1, and **DPLAST** equals 0. Its size and interpretation are defined in 6.6.

The variables D_L, D, P, X_D, Y_D, L_0, M_X, MY, **HITOLO**, **SEQ**, **ILEAVE**, **SMID**, **LRLTWO**, **VLENGTH**, **TPDON**, **TPBON**, **DPON**, **DPPRIV** and **DPLAST** are free parameters. Some applications standards may restrict the choices for some or all of them. Table 9 shows the limits on these parameters as set by either their implicit natures or the field sizes allowed for them in a **BIH**.

A JBIG datastream is any datastream created as described in the normative portions of this Specification with parameters in the range of Table 9. In the interests of creating as large as possible a community of applications for which it is possible to share hardware and exchange decodable data, Annex A suggests minimum support for these free parameters. Different applications are encouraged to choose parameter values within the suggested ranges of minimum support whenever it is possible to do so. Implementations desiring to be compatible with a broad range of applications may wish to support all free parameter choices within the suggested ranges.

Table 9 – Absolute limits on free parameters

Parameter	Minimum	Maximum
D_L	0	D
D	D_L	255
P	1	255
X_D	1	4 294 967 295
Y_D	1	4 294 967 295
L_0	1	4 294 967 295
M_X	0	127
M_Y	0	255
HITOLO	0	1
SEQ	0	1
ILEAVE	0	1
SMID	0	1
LRLTWO	0	1
VLENGTH	0	1
TPDON	0	1
TPBON	0	1
DPON	0	1
DPPRIV	0	1
DPLAST	0	1

6.2.3 Iteration for parameters dependent on resolution layer

The image dimensions at lower layers (indexed by d) shall be defined recursively for $D \geq d \geq 1$ by the iterations.

$$X_{d-1} = \lceil X_d / 2 \rceil \tag{1}$$

$$Y_{d-1} = \lceil Y_d / 2 \rceil \tag{2}$$

where $\lceil \cdot \rceil$ denotes the ceiling function, or, in other words, the smallest integer greater than or equal to the argument.

For $1 \leq d \leq D$ the number of lines per stripe at layer d shall be defined by

$$L_d = 2 \times L_{d-1} \tag{3}$$

At all layers there will necessarily be

$$S = \lceil Y_0 / L_0 \rceil \tag{4}$$

stripes. In many cases, the last stripe in any layer d will have fewer than L_d lines.

6.2.4 Bi-level image data (BID) decomposition

The coded data $C_{s,\,d,\,p}$ defining a given stripe s at resolution d and bit plane p shall be contained in a stripe data entity or **SDE**. The **BID** shall consist of a concatenation of **SDE**'s and floating marker segments as shown in Table 10.

Table 10 – Decomposition of BID

BID						
Floating marker segment(s)	**SDE**$_{s,\,d,\,p}$	Floating marker segments(s)	**SDE**$_{s,\,d,\,p}$	· · ·	Floating marker segment(s)	**SDE**$_{s,\,d,\,p}$
Varies	Varies	Varies	Varies	· · ·	Varies	Varies

The ordering of the **SDE**'s depends on **HITOLO**, **SEQ**, **ILEAVE** and **SMID**. Index nesting shall be as defined by Table 11. Only the six combinations of the three variables **SEQ**, **ILEAVE** and **SMID** shown in Table 11 are allowed. The remaining two combinations shall never occur. The loops over the dummy variables s and p are respectively from 0 to S–1 (top to bottom) and P–1 to 0 (MSB to LSB). If **HITOLO** is 0, the dummy variable d shall range from D_L to D. Otherwise it shall range from D to D_L.

For a tutorial example see Table Intro. 2.

Table 11 – Ordering of stripe encodings in BID

			Loops		
SEQ	**ILEAVE**	**SMID**	Outer	Middle	Inner
0	0	0	*p*	*d*	*s*
0	1	0	*d*	*p*	*s*
0	1	1	*d*	*s*	*p*
1	0	0	*s*	*p*	*d*
1	0	1	*p*	*s*	*d*
1	1	0	*s*	*d*	*p*

6.2.5 Stripe data entity (SDE) decomposition

As shown in Table 12, each **SDE** shall be terminated by an **ESC** byte and either an **SDNORM** byte or an **SDRST** byte.

Table 12 – Structure of the SDE

SDE		
PSCD	**ESC**	**SDNORM** or **SDRST**
Varies	1	1

Normally the terminating byte will be **SDNORM**, which implies that all "state" information is saved. If instead the terminating byte is **SDRST**, the "state" for that particular bit-plane and that particular resolution layer shall be reset prior to encoding or decoding the next stripe of that plane and layer. Resetting the state with **SDRST** requires initializing the adaptive probability estimators as at the top of the image, resetting (if necessary) the AT pixel to its default location, and initializing $\mathbf{LNTP}_{y-1}$ to 1 when in the lowest resolution layer. It also requires that all functions including resolution reduction, deterministic prediction, typical prediction, and model templates start the next stripe as they do when at the top of the image, that is, as defined in 6.1.2.

NOTE – Resetting the state with **SDRST** should not be done unnecessarily as doing so degrades compression efficiency and may introduce artifacts at stripe borders in lower-resolution images.

Protected stripe coded data (**PSCD**) is defined as the bytes of **SDE** that remain after removing the two terminating bytes. A decoder shall create stripe coded data (**SCD**) from the **PSCD** by replacing all occurrences of an **ESC** byte followed by a **STUFF** byte with a single **ESC** byte. An encoder shall create **PSCD** from **SCD** by replacing all occurrences of an **ESC** byte with an **ESC** byte followed by a **STUFF** byte. The use of **SCD** is described in 6.8. **PSCD** is used in defining the **SDE** rather than **SCD** so that data for one stripe can be unambiguously located in the **BID**.

An **ESC** byte and **ABORT** byte may be used to prematurely terminate the **BID** as shown in Table 13.

NOTE – Without a mechanism like this an encoder encountering a problem would "hang" its associated decoder indefinitely. There would be no way to restart the decoder as it would not reset until after it had received the announced amount of data.

Table 13 – Marker code to prematurely terminate a BID

ESC	**ABORT**
1	1

6.2.6 Floating marker segments

Floating marker segments provide control information. They shall not occur within an **SDE**. They may occur between **SDE**'s or before the first **SDE**. There are three floating marker segments: **ATMOVE**, **NEWLEN**, and **COMMENT**. (See Note 2 in 6.2.6.2.)

6.2.6.1 Adaptive-template (AT) movement

The location of the AT pixel may be changed with the **ATMOVE** marker segment shown in Table 14.

Table 14 – Structure of the ATMOVE floating marker segment

ESC	**ATMOVE**	Y_{AT}	τ_X	τ_Y
1	1	4	1	1

The third, fourth, fifth, and sixth byte define y_{AT}, the line at which the template changes. The seventh and eighth bytes define τ_X and τ_Y, the horizontal and vertical offsets for the new AT pixel. The line y_{AT} shall be decoded as the sum of 256^3 times the third byte, 256^2 times the fourth byte, 256 times the fifth byte, and the sixth byte.

The probability estimator is not re-initialized following an **ATMOVE**. The resolution layer and bit plane for which a given **ATMOVE** marker segment is to be effective shall be that of the first **SDE** to follow the marker segment. The line numbering for y_{AT} restarts at 0 for each stripe so that if, for example, a change is to be effective on the initial line of a stripe, y_{AT} equals 0.

Further discussion of adaptive template pixels and the variables y_{AT}, τ_X, and τ_Y appears in 6.7.3.

6.2.6.2 Redefining image length

If **VLENGTH** is 1, it is permissible to change the length Y_D of the image with a new-length marker segment as shown in Table 15.

Table 15 – Marker segment to indicate a new vertical dimension

ESC	**NEWLEN**	Y_D
1	1	4

At most only one new length marker segment shall appear in any **BIE**. However, a marker segment could refer to a line in the immediately preceding stripe due to an unexpected termination of the image or the use of only one stripe. Such a marker segment is followed immediately by **ESC** + **SDNORM/SDRST**, and the new Y_D given by the newlength marker segment can be less than the line number at the end of the preceding stripe. The encoder shall not code more than the number of lines in each layer corresponding to the new Y_D. Within the new length marker segment, Y_D shall be packed into its four byte field exactly as it is packed into its four byte field in **BIH**. The new Y_D shall never be greater than the original.

NOTES

1 The new length marker has been defined so that it is possible to begin coding an image of unknown length. In this case the original dimension Y_D placed into the header serves as an indication of the maximum length that the image might possibly be.

2 Some applications might need the new length marker segment to immediately follow a PSCD just before the **ESC** + **SDNORM/SDRST** in order to prematurely terminate. This usage is currently under study.

6.2.6.3 Comment marker segment

An **ESC** byte followed by a **COMMENT** byte and a four-byte integer L_c shall begin a comment marker segment as shown in Table 16.

The number L_c shall be equal to the sum of 256^3 times the third byte, 256^2 times the fourth byte, 256 times the fifth byte, and the sixth byte. This number shall give the length of only the private comment portion of the comment marker segment. In other words, the total length of the comment marker segment shall be L_c + 6 bytes.

Table 16 – Comment marker segment

ESC	**COMMENT**	L_c
1	1	4

6.2.7 Reserved marker byte

An **ESC** byte followed by a **RESERVE** byte is a reserved marker. One possible use of this marker is described in 6.8.2.8. No future extensions of this Specification shall use this marker for any purpose. Encoders or decoders may employ it for any private purpose desired. The reserved marker shall never appear in a public datastream.

6.3 Resolution reduction

The default deterministic prediction table is matched to the suggested resolution reduction algorithm described in this subclause. It is permissible to use an alternative resolution reduction algorithm in place of the suggested resolution reduction algorithm, but in that case either deterministic prediction must be disabled or a matched deterministic prediction table must be downloaded to the decoder as part of the **BIH**.

The resolution reduction algorithm is identical for all resolution layers and all bit-planes. The processing to create the image at resolution layer $d - 1$ from an image at resolution layer d is described here.

If X_d or Y_d is not even, for purposes of resolution reduction a new layer d image shall be created by adding as necessary either a column of background color (0) at the right side or a pixel replication of the last line at the bottom. Hence for the remainder of this subclause, X_d and Y_d are assumed even.

The original image can be divided up into two by two blocks of pixels, and each of these two by two superpixels shall map to one low-resolution pixel in the reduced-resolution image. These low-resolution pixels shall be determined from left to right and top to bottom in the normal raster scan order. The suggested resolution reduction rule of this Specification is defined by Figure 4 and Table 17. The reasoning behind this particular mapping is explained in Annex B (informative).

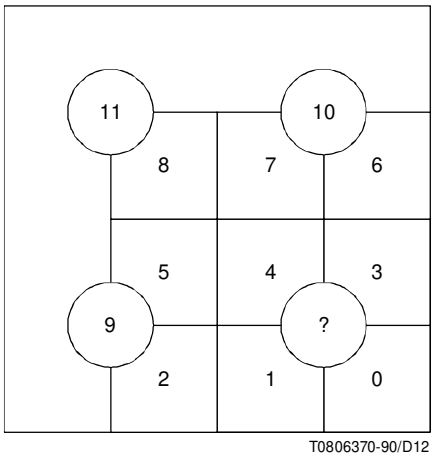

Figure 4 – Pixels used to determine the color of a low-resolution pixel

The circle with a "?" within it represents the low-resolution pixel the color of which is to be determined. The circles and squares with numbers within them correspond to pixels used in making this determination.

The colors of the numbered pixels define an index, with each numbered pixel defining one bit in the index. The pixel labeled "0" determines the least significant bit of the index and each additional numbered pixel determines the bit of the index corresponding to its number. When a pixel takes on the foreground color, its corresponding bit in the index shall take on the value "1." Given this index, the color of the pixel labeled "?" shall be defined by Table 17, which is indexed from left to right. For example, the colors of the pixels corresponding to indices 0 through 7 are 0, 0, 0, 1, 0, 0, 0, 1, respectively.

At the edges of an image, some of the numbered pixels of Figure 4 may not be within the image. For the purpose of defining an index, the general edge rules of 6.1.2 shall be used.

When beginning resolution reduction, the upper-left most pixel of the high resolution image shall be aligned with pixel 4 in Figure 4.

6.4 Differential-layer typical prediction

Differential-layer TP shall be enabled or disabled with the **TPDON** bit in the options field of **BIH**. If it is disabled (**TPDON** = 0), the TPD block in both an encoder or decoder shall simply output **TPVALUE** = 2 for all pixels, to indicate to the arithmetic encoding or decoding block that no prediction is being made. Also, when differential-layer TP is disabled, the pseudo-pixel **LNTP** shall be neither encoded nor decoded by the arithmetic coder. The discussion in the remainder of this subclause and its subclauses assumes differential-layer TP is enabled (**TPDON** = 1).

Whenever reference is made to a pixel that because of edge effects is not actually in the current stripe, the value of this pixel shall be determined by the general edge rules of 6.1.2.

Table 17 – Map to determine low-resolution color

Index	Color							
[0, 63]	00010001	01110011	11111111	11111111	00110011	11111111	11111111	11111111
[64, 127]	00000001	01110111	11111111	11111111	00110111	11111111	11111111	11111111
[128, 191]	00110111	11111111	11111111	11111111	01111101	11111111	11111111	11111111
[192, 255]	00110111	11111111	11111111	11111111	11111111	01111101	11111111	11111111
[256, 319]	00000001	00110111	11111101	11111111	00111111	11111111	11111111	11111111
[320, 383]	00110111	01111111	11111111	01111111	01111111	01111111	01111111	11111111
[384, 447]	00110101	11111111	11110111	11111111	11011111	01111111	11111111	11111111
[448, 511]	11111111	11111111	11111111	11111111	11111111	11111111	11111111	11111111
[512, 575]	00000001	00100011	00000101	00111011	00010001	00100011	01110001	11111111
[576, 639]	00000001	01110101	00111011	01111111	00000000	01010011	11111110	11111111
[640, 703]	00000001	01000001	01111111	11111111	00001001	10110111	11111111	11111111
[704, 767]	00000000	01010011	01111111	11111011	10010011	01111001	11111111	11111111
[768, 831]	00000001	00000000	01110011	11111111	00110001	00010011	01110101	11111111
[832, 895]	00000000	01000001	10110111	11101110	00000001	00100001	11111100	11111111
[896, 959]	00000000	10010011	01110101	11111111	00010001	01101011	11110101	11111111
[960, 1023]	11101001	11110111	11111111	11111011	10110111	11111111	11111011	11111111
[1024, 1087]	00000001	00100011	00000001	00111111	00010001	00000001	01110111	11111111
[1088, 1151]	00000001	01110101	01101011	01111111	00000000	01010011	11111110	11111111
[1152, 1215]	00000001	01100001	01111111	11111111	00101001	00110111	11111111	11111111
[1216, 1279]	00000000	01110011	00111111	01111011	10010010	01111101	11111111	11111111
[1280, 1343]	00000001	00000000	01111011	11111110	00101111	00011011	01111111	11111111
[1344, 1407]	00000000	01000001	00110111	11111110	00001001	00110111	01111110	01111111
[1408, 1471]	00000000	11010010	01111111	11111111	00011011	01101111	11111111	11111111
[1472, 1535]	00000000	01110101	01111111	01110111	00100111	01111111	01111011	01111111
[1536, 1599]	00000001	00000011	00000001	00001001	00010001	00000001	01000001	10010011
[1600, 1663]	00000001	01110101	00100001	01010101	00000000	01010001	10000000	11110111
[1664, 1727]	00000001	01000001	01101011	00010011	00000001	00000000	11111011	11111111
[1728, 1791]	00000000	01010001	00000001	01110011	00000000	01000001	10110111	11111111
[1792, 1855]	00000001	00000000	01100001	10000001	00100111	00001001	00011110	10111111
[1856, 1919]	00000000	01000000	00000001	01010110	00001000	00000000	00010000	01111111
[1920, 1983]	00000000	10000000	00100001	01110111	00000011	00000001	00111111	11111111
[1984, 2047]	01101000	11010000	11110011	10110011	00000000	11010011	11111011	11111111
[2048, 2111]	00000001	00000011	00110111	11111111	00110011	00110111	01111111	11111111
[2112, 2175]	00000001	01110111	01111111	11111111	00010001	01111011	11111111	11111111
[2176, 2239]	00000001	11110111	01111111	11111111	00111111	11111111	11111101	11111111
[2240, 2303]	00010010	11110111	11111111	11111111	11111111	11111101	11111111	01111111
[2304, 2367]	00000001	00010010	01111101	11111111	00111111	01111111	11111111	11111111
[2368, 2431]	00000000	01100010	11111111	01111111	00111111	00111111	01111111	11111111
[2432, 2495]	00010000	11111111	11110111	11111111	01111111	11111111	01111111	11111111
[2496, 2559]	11111111	11111111	11111111	11111111	11111111	11111111	11111111	11111111
[2560, 2623]	00000001	00100011	00000001	00011011	00010001	00100011	01110111	11111111
[2624, 2687]	00000001	01110101	00101011	01110111	00000000	01000001	10111110	11111111
[2688, 2751]	00000001	11000001	01011011	01111111	00001001	00110011	01111101	11111111
[2752, 2815]	00000000	01010001	00110111	11111011	10101001	10110001	11111111	11111111
[2816, 2879]	00000001	00000000	01110001	10110111	00100001	00000011	01110101	11111111
[2880, 2943]	00000000	01000000	00010111	01101111	00000000	00000001	01111101	11111111
[2944, 3007]	00000000	11000001	01110101	11111111	00000001	10101011	01010001	11111111
[3008, 3071]	11101000	11010011	11111111	11111011	10111011	11111111	11111011	11111111
[3072, 3135]	00000001	00100011	00000001	00011011	00110001	00000001	01010011	01111111
[3136, 3199]	00000001	01110101	00101001	01111111	00000000	01010001	10110110	11111111
[3200, 3263]	00000001	11100000	01111011	11111111	00001010	00111011	01111111	11111111
[3264, 3327]	00000000	01110001	01111111	11111011	10001000	01110101	11111111	01111111
[3328, 3391]	00000001	00000000	01100001	11110110	00111111	00001001	01111111	11111111
[3392, 3455]	00000000	01000000	00010111	01111111	00001000	00010011	01111110	01111111
[3456, 3519]	00000000	10000000	01110111	11111111	00101011	00101111	01111111	01111111
[3520, 3583]	00000000	01110001	01111111	01110111	00101011	01111111	00111011	01111111
[3584, 3647]	00000001	00000011	00000001	00001001	00010001	00000001	01000001	00000001
[3648, 3711]	00000001	01110101	00100001	01010101	00000000	01010001	10000000	01010011
[3712, 3775]	00000001	01000001	01001001	00000001	00001001	00000000	00000001	00010011
[3776, 3839]	00000000	01010001	00000000	01010011	10000000	01000001	00010011	01111111
[3840, 3903]	00000001	00000000	01100001	10000000	00100001	00000001	00000001	00010011
[3904, 3967]	00000000	01000000	00000000	01000000	00000000	00000000	00000000	00010011
[3968, 4031]	00000000	10000000	00000000	00010011	00000001	00000001	01010001	01111111
[4032, 4095]	00000000	01010000	00000000	01110011	00000001	01010100	00110001	01110111

6.4.1 Processing in an encoder

Figure 5 defines an 8-neighborhood. The eight pixels not marked by the "?" are immediately adjacent to it and are its 8-neighborhood.

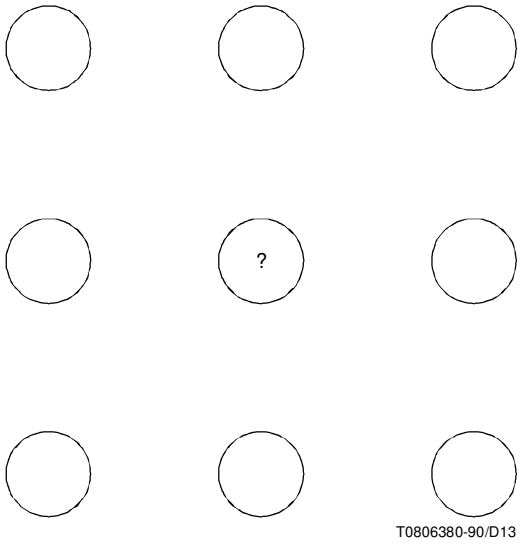

Figure 5 – Definition of 8-neighborhood

A given low-resolution pixel is "not typical" if it and all the pixels in its 8-neighborhood are the same color, but one or more of the four high-resolution pixels associated with it differs from this common color. A given low-resolution line is "not typical" (**LNTP**) if it contains any "not typical" pixels. A flow diagram for processing to determine **LNTP** is shown in Figure 6. In this Figure **LPIX** denotes a low-resolution pixel.

NOTE – Low resolution pixels that are not typical in this sense are possible, but extremely rare.

Figure 7 shows a high-resolution line pair and an associated low-resolution line. Also shown in this figure is the virtual location used for coding the value **LNTP**.

As suggested by this figure the value **LNTP** of the pseudo-pixel shall be coded by the arithmetic coder before any of the regular high-resolution pixels in the line pair are coded. In coding this pseudo-pixel, **TPVALUE** and **DPVALUE** shall always be 2. The context **CX** that shall be used for coding is the same context that is used for coding an ordinary pixel with phase 3 and surrounded by pixels as shown in Figure 8. In this figure "F" denotes foreground and "B" denotes background.

NOTE – This particular context was chosen for reuse as a context for coding **LNTP** because it occurs infrequently, and for most images the probability of coding foreground within it is small as is the probability that **LNTP** equals one. The more obvious alternative of coding **LNTP** in its own context unfortunately increases the total number of contexts to just over a power of 2.

Figure 9 shows the required processing to produce the output signal **TPVALUE**. In words, if **LNTP** equals 0 and the low-resolution pixel associated with a high-resolution pixel **PIX** is the same color as all of the pixels in its 8-neighborhood, then **TPVALUE** equals that color. Otherwise, it is set equal to 2 to indicate that a prediction can not be made.

6.4.2 Processing in a decoder

At the beginning of each high-resolution line pair, the TP pseudo-pixel **LNTP** shall be decoded (see Figure 7). In decoding **LNTP**, the inputs **TPVALUE** and **DPVALUE** shall be 2 and **CX** shall be as described in 6.4.1.

In decoding a given high-resolution pixel **PIX**, **TPVALUE** shall be generated as in an encoder.

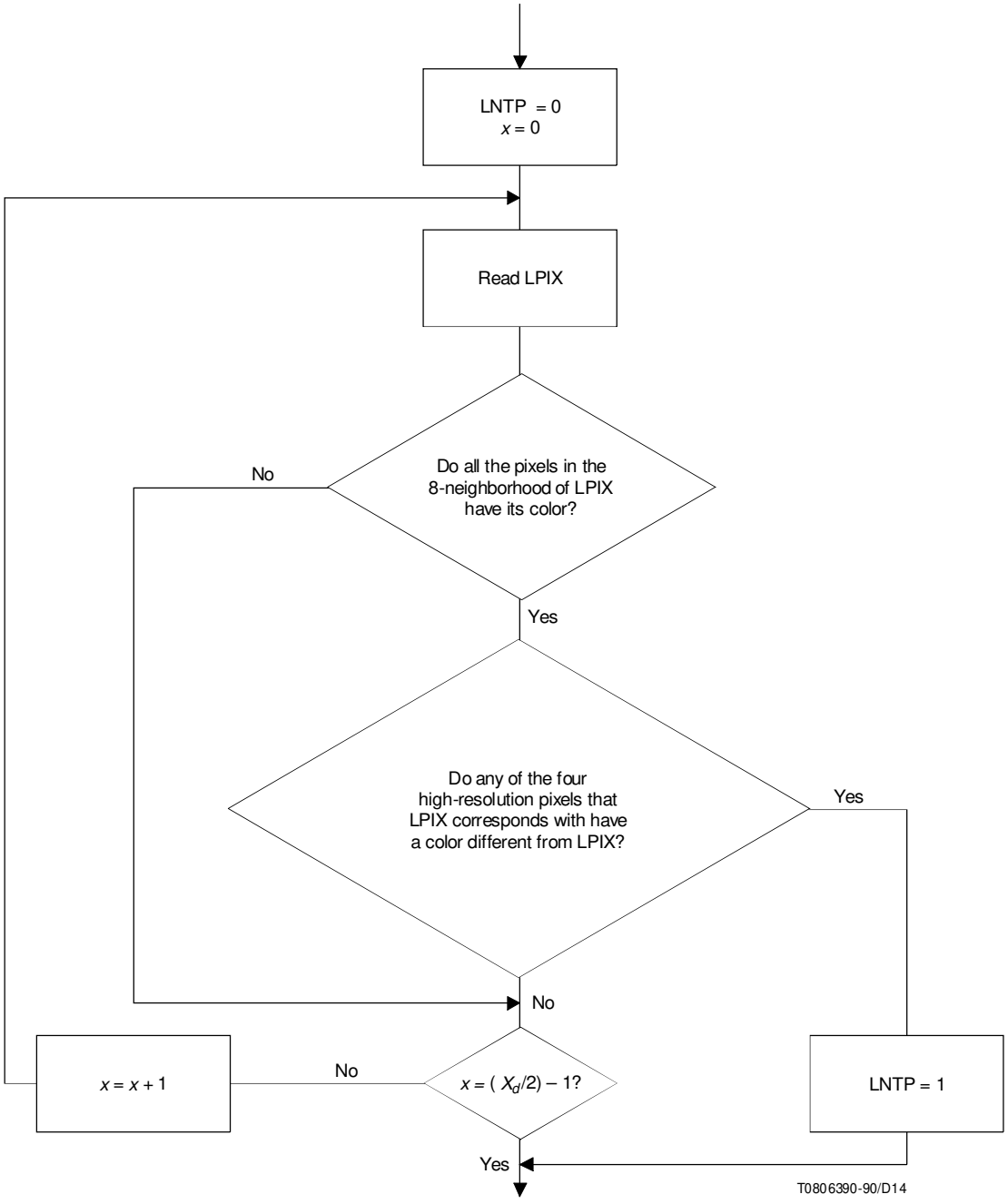

Figure 6 – Processing to determine LNTP

6.5 Lowest-resolution-layer typical prediction

TP in the lowest-resolution-layer can be enabled or disabled with the **TPBON** bit in the options field of **BIH**. If it is disabled (**TPBON** = 0), the TPB block in both an encoder and decoder shall simply output **TPVALUE** equal to 2 for all pixels thereby indicating to the encoding and decoding blocks that no prediction is being made. Also, when lowest-resolution-layer TP is disabled, the pseudo-pixel **SLNTP** shall be neither encoded nor decoded by the arithmetic coder. The discussion in the remainder of this subclause assumes lowest-resolution-layer TP is enabled (**TPBON** = 1).

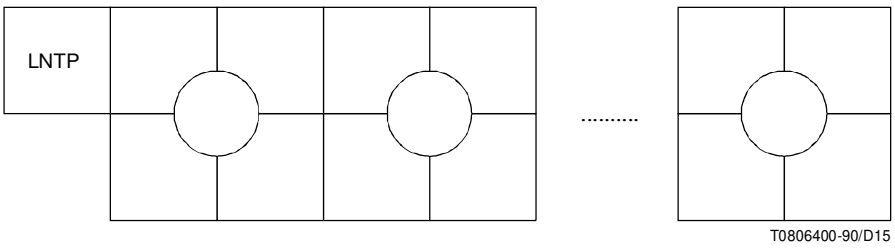

Figure 7 – Location of pseudo-pixel in relationship to ordinary pixels

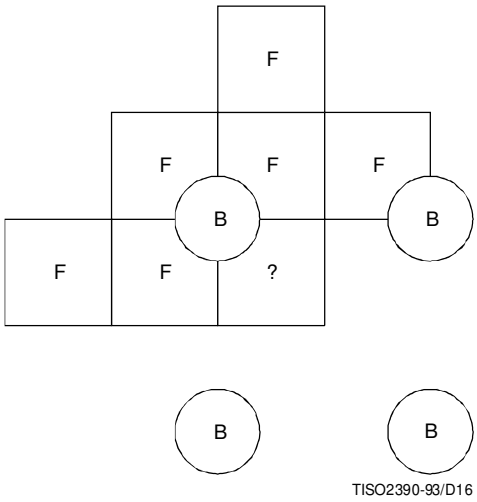

Figure 8 – Reused context for coding the differential-layer-TP pseudo pixel

6.5.1 Encoder processing

Let *y* denote the current line. If it differs at any pixel location from the line above, then **LNTP**$_y$ shall equal 1 and line *y* is said to be non-typical. Otherwise, **LNTP**$_y$ shall equal 0. In determining whether the very first line of an image is non-typical, the line immediately above the image shall be assumed, as usual, to be the background color.

NOTE – Whereas almost all lines are "typical" in the sense of differential-layer TP, only a modest fraction are "typical" in the sense of lowest-resolution-layer TP.

Define

$$\mathrm{SLNTP}_y = !(\mathrm{LNTP}_y \oplus \mathrm{LNTP}_{y-1}) \tag{5}$$

where the symbol $\oplus$ denotes the exclusive-or operation and the symbol ! denotes logical negation. In words, **SLNTP**$_y$ will be 1 if and only if **LNTP**$_y$ is the same as **LNTP**$_{y-1}$. For the top line of an image, **LNTP**$_{y-1}$ shall be set equal to 1.

When lowest-resolution-layer TP is enabled, a pseudo-pixel equal in value to **SLNTP** shall be coded in the virtual location shown before any pixels of line y are coded (see Figure 10).

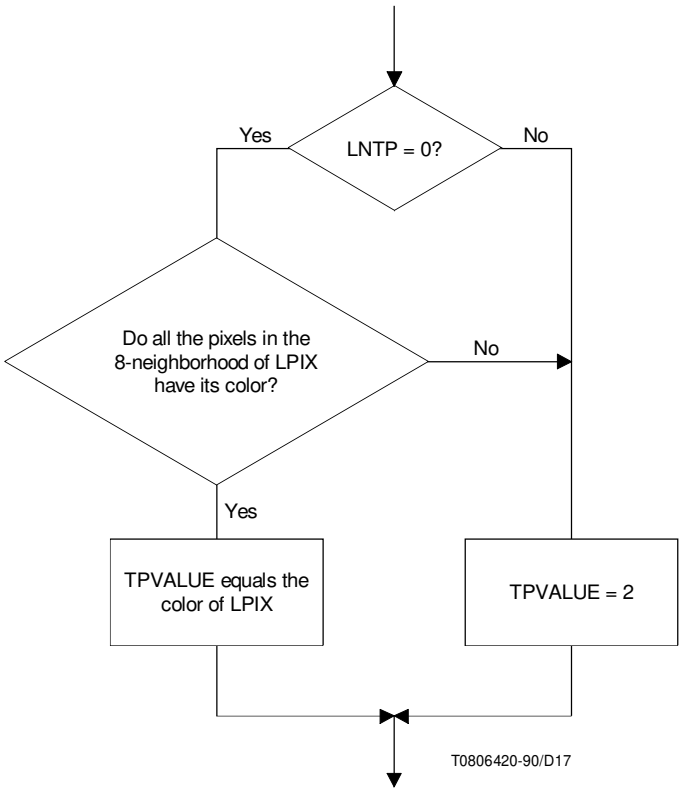

Figure 9 – Processing to determine TPVALUE

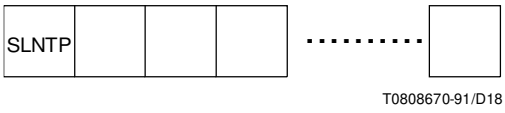

Figure 10 – Location of pseudo-pixel in relationship to ordinary pixels

When **SLNTP** is coded, it shall be coded in the context shown in Figure 11 if **LRLTWO** is 0 and in the context shown in Figure 12 if **LRLTWO** is 1 (see 6.7.1). In this figure "F" denotes foreground and "B" denotes background. When coding **SLNTP**, **TPVALUE** shall always equal 2. In other words, **SLNTP** can never be predicted with TP and must always be arithmetically encoded.

NOTE – Arithmetically encoding changes in **LNTP** is more efficient than arithmetically encoding **LNTP**. In lowest-resolution-layer TP, **LNTP** does not take on either the value 1 or the value 0 with high probability, and can not be entropy coded with high efficiency.

If $\mathbf{LNTP}_y$ is 0, the TPB block shall output the value common to the current pixel and the pixel above as **TPVALUE**. Otherwise, it shall output 2 to indicate that no prediction can be made.

6.5.2 Decoder processing

If **TPBON** is one, the sameness indicator $\mathbf{SLNTP}_y$ shall be decoded (see Figure 10). In decoding **SLNTP**, **TPVALUE** shall be 2 and **CX** shall be as in Figure 11 or Figure 12 as appropriate.

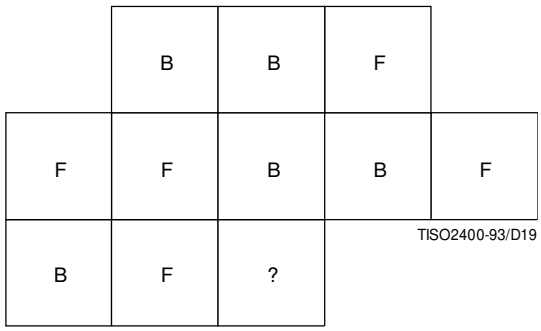

Figure 11 – Reused context for coding the lowest-resolution-layer-TP pseudo-pixel (three-line template)

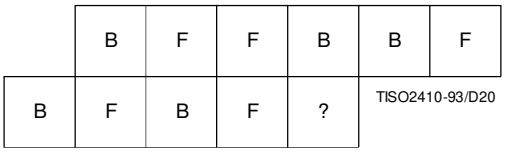

Figure 12 – Reused context for coding the lowest-resolution-layer-TP pseudo pixel (two-line template)

The decoder shall recreate **LNTP**$_y$ by

$$\mathrm{LNTP}_y = !(\mathrm{SLNTP}_y \oplus \mathrm{LNTP}_{y-1}) \tag{6}$$

As in the encoder, this iteration shall be initialized with **LNTP** set equal to 1 for the line immediately above the top line of the real image.

If **LNTP**$_y$ is 0, the block TPB shall output the value of the pixel immediately above the current one as **TPVALUE**. Otherwise, it shall output 2 to indicate no prediction can be made.

6.6 Deterministic prediction (DP)

DP shall be enabled or disabled with the **DPON** bit in the options field of **BIH**. If DP is disabled (**DPON** = 0), the DP block in both an encoder or decoder shall simply output **DPVALUE** = 2 for all pixels. The discussion in the remainder of this subclause and its subclauses assumes DP is enabled (**DPON** = 1).

If DP is used when encoding an image it shall be assumed that the DP tables described in this subclause were used to make predictions unless the use of private DP tables has been signaled as described in 6.2.

6.6.1 Definition of associated pixels

For the purposes of describing the deterministic prediction algorithm, Figure 13 shows the labeling that will be used to refer to needed pixels from both the low-resolution and high-resolution images. Whenever reference is made to a pixel that because of edge effects is not actually in the current stripe, the value of this pixel shall be determined by the general edge rules of 6.1.2.

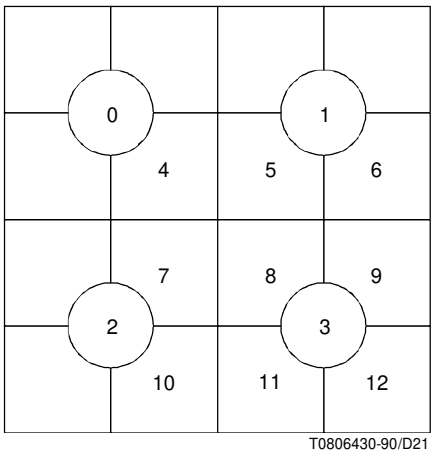

Figure 13 – Labeling of pixels used by DP

6.6.2 Default DP tables

The neighboring, or "reference", pixels which are used to make predictions for each particular spatial phase shall be as listed in Table 18. Note that for each of the four possible spatial phases a different set of pixels is used for making DP predictions. The pixels used for each possible phase are those that are labeled in Figure 13 and are known to both an encoder and a decoder at the time the particular spatial phase is to be coded. Also in this table is a number indicating how many combinations of reference pixels actually result in a DP prediction (or hit) when using the DP rules that follow.

Table 18 – DP pixels for each spatial phase

Phase	Target pixel	Reference pixels	Number of hits with default resolution reduction
0	8	0,1,2,3,4,5,6,7,	20
1	9	0,1,2,3,4,5,6,7,8	108
2	11	0,1,2,3,4,5,6,7,8,9,10	526
3	12	0,1,2,3,4,5,6,7,8,9,10,11	1044

Private DP tables shall not use any pixels for any of the four phases other than those indicated in Table 18. The number of reference pixel patterns they will "hit" will in general be different from the numbers listed in Table 18 for the default resolution reduction algorithm.

Tables 19, 20, 21 and 22 define DP for the default resolution reduction algorithm. These four tables are to be used respectively for determining **DPVALUE** in each of the four spatial phases 0, 1, 2, and 3. The index into the table is created in the same way as the index into the resolution reduction Table 17 except that the bit significance shall be as defined by the pixel numbers given in Figure 13 rather than Figure 4.

The entries in these tables give **DPVALUE** and are all 0, 1, or 2. A "2" indicates that it is not possible to make a deterministic prediction. A "0" indicates that there is a DP "hit" and that the target pixel must be background (0). A "1" indicates that there is a DP "hit" and that the target pixel must be foreground (1). As in Table 17 the entries are read from left to right with increasing index.

Table 19 – DP table for predicting spatial phase 0

Index	DPVALUE							
[0, 63]	02222222	22222222	22222222	22222222	02222222	22222222	22222222	22222222
[64, 127]	02222222	22222222	22222222	22222222	00222222	22222222	22222222	22222222
[128, 191]	02222222	22222222	00222222	22222222	02020222	22222222	02022222	22222222
[192, 255]	00222222	22222222	22222222	22222221	02020022	22222222	22222222	22222222

Table 20 – DP table for predicting spatial phase 1

Index	DPVALUE							
[0, 63]	22222222	22222222	22222222	22000000	02222222	22222222	00222222	22111111
[64, 127]	22222222	22222222	22222222	21111111	02222222	22111111	22222222	22112221
[128, 191]	02222222	22222222	02222222	22222222	00222222	22222200	20222222	22222222
[192, 255]	02222222	22111111	22222222	22222102	11222222	22222212	22220022	22222212
[256, 319]	20222222	22222222	00220222	22222222	20000222	22222222	00000022	22222221
[320, 383]	20222222	22222222	11222222	22222221	22222222	22222221	22221122	22222221
[384, 447]	20020022	22222222	22000022	22222222	20202002	22222222	20220002	22222222
[448, 511]	22000022	22222222	00220022	22222221	21212202	22222222	22220002	22222222

Table 21 – DP table for predicting spatial phase 2

Index	DPVALUE							
[0, 63]	22222222	12222222	22222222	22222222	02222222	12222222	02222222	11222222
[64, 127]	22222222	22222222	02222222	12222222	02222222	11222222	22221122	22222222
[128, 191]	00202222	11111111	00200222	11111111	00222222	21122222	10222222	22111222
[192, 255]	02222222	11222222	00222222	21222222	22222222	22202220	22220022	22112222
[256, 319]	20222222	21222222	20020022	22222222	20000222	22222220	22000022	22222212
[320, 383]	20220222	22211111	22020222	22112122	22000022	22122122	22002222	22222222
[384, 447]	20020022	22222200	22000022	22222212	22202022	22222222	20202202	22222212
[448, 511]	22202022	22222200	00002022	22222212	22222202	22222221	22002220	22212221
[512, 575]	02222222	22111122	02222222	11222222	22212122	22220000	22122122	22202000
[576, 639]	00000000	22222222	02222222	22000000	22002222	22222222	22002112	22222222
[640, 703]	20222222	21221122	20222222	22121222	22000022	22112122	02222222	22212222
[704, 767]	20222222	22222022	00022222	21111222	02000022	22222200	22002212	22222222
[768, 831]	22020222	22111122	22222022	22222200	22222022	22222212	22222202	22222221
[832, 895]	22000022	22222222	00201222	22222220	22022202	22222222	22002200	22222222
[896, 959]	22202222	22222222	22222202	22222221	22222222	22222221	22202220	22222222
[960, 1023]	22222222	22222222	22002202	22222221	20202220	22222222	22002220	22222222
[1024, 1087]	22222222	11111111	22222222	11111111	02222222	21111111	22222222	22222222
[1088, 1151]	22222222	11111111	02222222	22222222	02222222	21222222	22222222	22222002
[1152, 1215]	00222222	11111111	22222202	22221121	12222222	22222212	22002202	22221111
[1216, 1279]	02222222	21222222	22222222	22201202	22220222	22101222	22000022	22222221
[1280, 1343]	20222222	22112111	11020222	22211111	22202002	22222222	00202222	22222212
[1344, 1407]	22020022	22222211	22000022	22222212	22222022	22222212	22222202	22222212
[1408, 1471]	22002022	22211211	22222212	22222221	21222102	22222221	22222222	22222121
[1472, 1535]	22121122	22222222	22111122	22220222	22222200	22222221	22000002	22222221
[1536, 1599]	00000000	11111111	02222222	21222222	20222222	22121111	22220222	22121122
[1600, 1663]	00222222	22222222	22222222	21121222	20020222	22111111	22220022	22212122
[1664, 1727]	20220022	22111111	22020022	22221121	22000022	22222122	22220022	22222211
[1728, 1791]	20020222	22111111	22002222	22211122	11122222	22222111	22222202	22222210
[1792, 1855]	22200022	22222222	22122022	22222212	22222202	22222211	22222200	22222221
[1856, 1919]	22222022	22222222	22121222	22222222	22000000	22222211	22000000	22222211
[1920, 1983]	22222202	22222211	22222220	22222221	22222220	22222221	22222222	22222222
[1984, 2047]	22222200	22222221	22222220	22222221	22222222	22222222	22222220	22222222

Table 22 – DP table for predicting spatial phase 3

Index	DPVALUE							
[0, 63]	22222222	22222222	22222222	22222222	22222222	22222222	22222222	22222222
[64, 127]	22222222	22222222	22222222	22222222	22222222	22222222	22222202	22222212
[128, 191]	22222222	22222222	22222222	22222222	02222222	12222222	20222222	21222222
[192, 255]	22222222	22222222	02222222	12222222	22111121	22000020	22221122	22220022
[256, 319]	22222222	22222222	20000000	21111111	20000000	21111111	22000022	22111122
[320, 383]	20022222	21122222	22221222	22220222	22200222	22211222	22002222	22112222
[384, 447]	20000000	21111111	22000022	22111122	22202022	22212122	20202020	21212121
[448, 511]	22212111	22202000	00002022	11112122	22222212	22222202	22022222	22122222
[512, 575]	02222222	12222222	22222222	22222222	22020200	22121211	22211211	22200200
[576, 639]	00000000	11111111	00000000	11111111	22000000	22111111	22002220	22112221
[640, 703]	22222222	22222222	20222222	21222222	22221222	22220222	02020122	12121022
[704, 767]	20000000	21111111	02222222	12222222	02000100	12111011	22002220	22112221
[768, 831]	22222222	22222222	22222111	22222000	22222022	22222122	22222202	22222212
[832, 895]	22000000	22111111	00202000	11212111	22022200	22122211	22002210	22112201
[896, 959]	22202212	22212202	22222212	22222202	22222222	22222222	22202220	22212221
[960, 1023]	22222220	22222221	22002202	22112212	20202220	21212221	22002220	22112221
[1024, 1087]	22222222	22222222	22222222	22222222	02222222	12222222	02222222	12222222
[1088, 1151]	22222222	22222222	02222222	12222222	02222222	12222222	22221112	22220002
[1152, 1215]	22222222	22222222	22222202	22222212	22111121	22000020	22112222	22002222
[1216, 1279]	02222222	12222222	22112212	22002202	22212122	22202022	22010122	22101022
[1280, 1343]	20220222	21221222	22122222	22022222	22212111	22202000	00200022	11211122
[1344, 1407]	22111122	22000022	22000022	22111122	22222022	22222122	22222222	22222222
[1408, 1471]	22022022	22122122	22220022	22221122	22222212	22222202	22220222	22221222
[1472, 1535]	22202222	22212222	22222222	22222222	22222202	22222212	22111112	22000002
[1536, 1599]	22222222	22222222	02222222	12222222	20222222	21222222	22222222	22222222
[1600, 1663]	00000000	11111111	22222222	22222222	20222222	21222222	22020222	22121222
[1664, 1727]	20222222	21222222	22112212	22002202	22010222	22101222	22221122	22220022
[1728, 1791]	21222222	20222222	22022222	22122222	22201222	22210222	22222220	22222221
[1792, 1855]	22211111	22200000	22202022	22212122	22222222	22222222	22222202	22222212
[1856, 1919]	22222000	22222111	22222202	22222212	22111122	22000022	22001122	22110022
[1920, 1983]	22222222	22222222	22222222	22222222	22222222	22222222	22222222	22222222
[1984, 2047]	22222202	22222212	22222222	22222222	22222222	22222222	22222220	22222221
[2048, 2111]	02222222	12222222	22222222	22222222	22222222	22222222	20222222	21222222
[2112, 2175]	22222222	22222222	22222222	22222222	20222222	21222222	22221112	22220002
[2176, 2239]	22020000	22121111	22122111	22022000	22122222	22022222	02010222	12101222
[2240, 2303]	20222222	21222222	22222222	22222222	22020122	22121022	22112222	22002222
[2304, 2367]	22222222	22222222	22212211	22202200	22222012	22222102	22222212	22222202
[2368, 2431]	22112111	22002000	22202022	22212122	22222222	22222222	22222222	22222222
[2432, 2495]	22202222	22212222	22222202	22222212	22222222	22222222	22222020	22222121
[2496, 2559]	22222222	22222222	22222202	22222212	22222220	22222221	22222222	22222222
[2560, 2623]	22111122	22000022	20222222	21222222	22112222	22002222	22020222	22121222
[2624, 2687]	22222222	22222222	21222222	20222222	22220000	22221111	22222000	22222111
[2688, 2751]	22221122	22220022	22020222	22121222	22222222	22222222	22111122	22000022
[2752, 2815]	22000200	22111211	22201222	22210222	22222222	22222222	22222200	22222211
[2816, 2879]	22202022	22212122	22222222	22222222	22222202	22222212	22222220	22222221
[2880, 2943]	22222200	22222211	22221102	22220012	22222220	22222221	22222222	22222222
[2944, 3007]	22222202	22222212	22222220	22222221	22222220	22222221	22222222	22222222
[3008, 3071]	22222220	22222221	22222220	22222221	22222222	22222222	22222222	22222222
[3072, 3135]	00000000	11111111	00000000	11111111	20000000	21111111	02222222	12222222
[3136, 3199]	00000000	11111111	22222222	22222222	21222222	20222222	22220222	22221222
[3200, 3263]	22000000	22111111	22220020	22221121	02010000	12101111	22220020	22221121
[3264, 3327]	20222222	21222222	22020222	22121222	22122022	22022122	22222220	22222221
[3328, 3391]	22000000	22111111	00202000	11212111	22222220	22222221	22220002	22221112
[3392, 3455]	22202200	22212211	22222202	22222212	22222202	22222212	22222222	22222222
[3456, 3519]	22220200	22221211	22220010	22221101	20222020	21222121	22220020	22221121
[3520, 3583]	22111122	22000022	22112022	22002122	22222222	22222222	22222220	22222221
[3584, 3647]	22222222	22222222	21222222	20222222	22020000	22121111	22122022	22022122
[3648, 3711]	22000000	22111111	21020222	20121222	22202000	22212111	22002222	22112222
[3712, 3775]	22002200	22112211	22202200	22212211	22222222	22222222	22222200	22222211
[3776, 3839]	22202000	22212111	22220022	22221122	00000000	11111111	22222222	22222222
[3840, 3903]	22222200	22222211	22112202	22002212	22222220	22222221	22222222	22222222
[3904, 3967]	22222200	22222211	22121212	22020202	22222222	22222222	22222222	22222222
[3968, 4031]	22222220	22222221	22222222	22222222	22222222	22222222	22222222	22222222
[4032, 4095]	22222222	22222222	22222222	22222222	22222222	22222222	22222222	22222222

6.6.3 DP table format

If **DPON** = 1, **DPPRIV** = 1 and **DPLAST** = 0, the private DP table shall be encoded into the **DPTABLE** field of **BIH** (see 6.2). The **DPTABLE** field shall be defined by paralleling the structure of the four tables above that define DP for the default resolution reduction algorithm. In particular, it shall be a concatenation of the four tables with two bits allocated to each table entry so that four entries pack into one byte. Typographically leftmost and uppermost entries in the tables shall pack into higher order bits in bytes and earlier bytes in the **DPTABLE** field. When it is not possible to make a DP prediction, 2 shall be coded into the two-bit field.

NOTE – This permission is granted so that the most significant bit of the two-bit field becomes by itself an indication that a DP prediction can not be made.

Because there are 8, 9, 11, and 12 reference pixels for predicting respectively pixels in spatial phases 0, 1, 2, and 3, the **DPTABLE** field will be

$$1728 = 2 \times (256 + 512 + 2048 + 4096) / 8 \qquad (7)$$

bytes in length.

6.7 Model templates and adaptive templates

Model templates define a neighborhood around a pixel to be coded. The values of the pixels in these neighborhoods, plus spatial phase in differential layers, define a context, with a separate arithmetic coding adapter used for each different context (see 6.8). Although a template is a geometric pattern of pixels, the pixels in a template are said to take on values when the template is aligned to a particular part of the image.

6.7.1 Lowest resolution layer

Figure 14 shows the template which shall be used when encoding the lowest resolution layer when **LRLTWO** is 0.

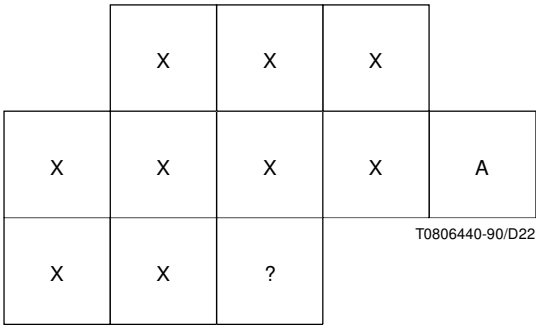

Figure 14 – Three-line model template for lowest resolution layer

The pixel denoted by a "?" corresponds to the pixel to be coded and is not part of the template. The pixels denoted by "X" correspond to ordinary pixels in the template, and the pixel denoted by "A" is a special pixel in the template that is called an "adaptive" or "AT" pixel. This pixel is special in that its position is allowed to change during the process of encoding an image. See 6.7.3 for a description of AT pixels. The "A" indicates the initial location of the AT pixel.

The values of the pixels in the template shall be combined to form a context. Each pixel in the model template (including the adaptive pixel) shall correspond to a specific bit in the context, although the pixels in the template may be assigned to bits in the context in any order. Because there are 10 pixels in this template, contexts associated with the lowest resolution layer can take on 1024 different values. This context shall be used to identify which arithmetic coder adapter is to be used for encoding the pixel to be coded, as described in 6.8.

If **LRLTWO** is 1, the lowest-resolution-layer model-template shall be that shown in Figure 15.

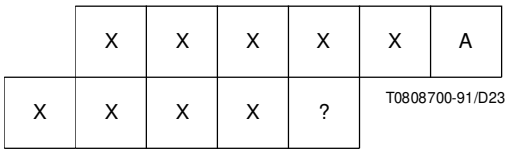

Figure 15 – Two-line model template for lowest resolution layer

The meaning of the labels "X", "A", and "?" is as before.

NOTE – Software execution speed in the lowest resolution layer will be somewhat faster with the two-line template than the three-line template. The penalty in using the two-line template is about a 5% loss in compression efficiency.

Whenever any of the pixels in the templates of Figures 14 or 15 (as dictated by **LRLTWO**) lie outside the boundaries of the image or stripe, the general edge rules of 6.1.2 shall be used.

6.7.2 Differential layer

Figure 16 shows the templates that shall be used when encoding differential-layer images. Notice that these templates contain references to pixels in the next lower resolution image as well as to pixels in the image being encoded, and that the model template is different for different phases. The symbols "?", "X", and "A" have the same meaning as in the previous subclause.

Contexts shall be formed from these templates in a way similar to what was described for the lowest-resolution-layer template. Each pixel in a template shall contribute one bit to the context. In addition, when encoding differential-layer images, two additional bits shall be added to the context to describe the phase of the pixel being encoded. As before, any particular bits may be used to describe the phase information, although the assignment of pixels and phase information to bits in the context shall remain fixed while encoding an image. Because there are 10 pixels in the differential-layer templates and because 2 bits are used to describe phase information, there are 4096 different possible contexts while processing differential images. This context shall be used to identify which arithmetic coder adapter is to be used for encoding the pixel to be coded.

6.7.3 Adaptive template pixels

In coding the differential layers as well as the lowest-resolution layer, the model template shall be allowed to change in the restricted way described in this subclause.

The single pixel that is allowed to change shall be called the AT pixel. Its initial (or default) location is indicated by "A" in Figures 14, 15, and 16 for, respectively, lowest-resolution-layer coding with a three-line template, lowest-resolution-layer coding with a two-line template, and differential-layer coding. In general, the AT pixel can be moved independently for all layers to anywhere in the field shown in Figure 17.

However, the new AT location shall not overlap any regular pixels in the template. (Hence permissible movements for two-line lowest-resolution-layer coding, three-line lowest-resolution-layer coding, and differential-layer coding are slightly different.)

A differential-layer AT movement is effective for all four phases simultaneously. If there is more than one differential layer, the movements in each are independent. However, in any one layer, once the AT pixel is moved for a particular stripe, subsequent stripes shall continue to use the new location.

The parameters M_X and M_Y define the size of the rectangle in Figure 17. Absolute limits and suggested minimum support are as in Tables 9 and A.1.

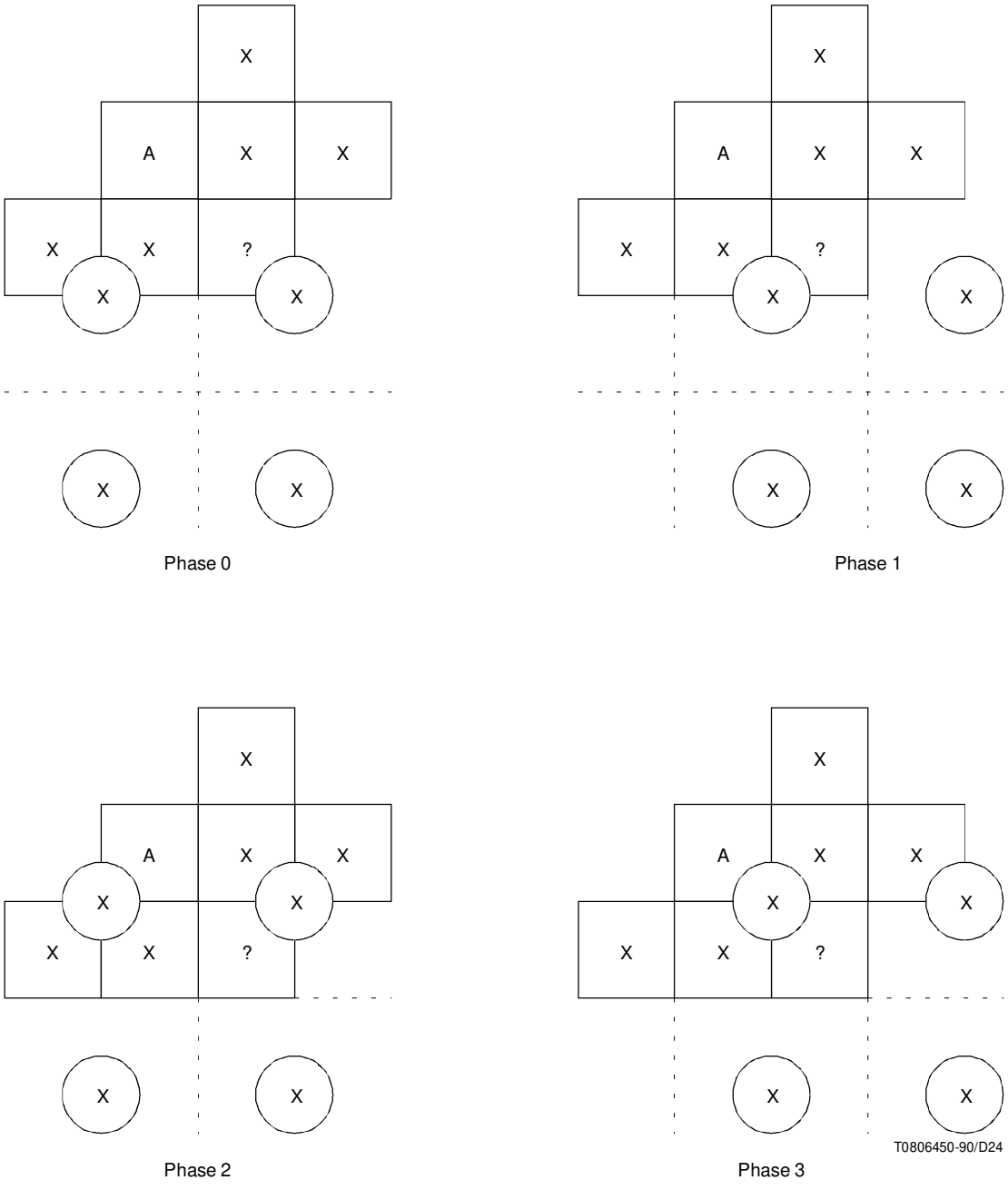

Figure 16 – Model templates for differential-layer coding

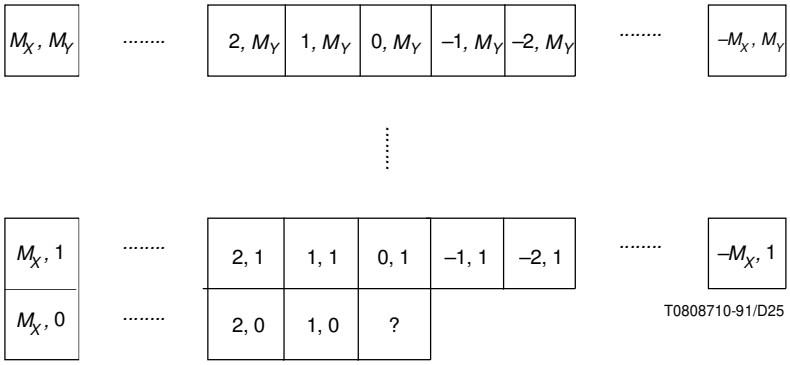

Figure 17 – Field to which AT movements are restricted

NOTE – Because it is more costly, in general, for hardware to support vertical movement of the AT pixel, the suggested minimum support for M_Y is restricted to zero so that AT pixel movement to anywhere but the default location is restricted to the current line being coded.

If an encoder wishes to change the location of the AT pixel, it shall inform the decoder of the change by coding τ_X, τ_Y, and y_{AT} as indicated in Table 14. The numbers coded into τ_X and τ_Y shall be, respectively, the horizontal and vertical offsets from the target pixel as shown in Figure 17. The possibly negative number τ_X shall be encoded in twos-complement form. The number coded into y_{AT} shall be the number of the high-resolution line at the beginning of which the change shall be made. The line numbering used shall restart with 0 at the top of each stripe.

It is permissible to move the AT pixel back to its initial (or default) location after having once moved it away. The default location for the AT pixel shall always be coded by $\tau_X = 0$ and $\tau_Y = 0$ rather than the true X and Y coordinates.

NOTE – This convention is convenient because the true coordinates are different for lowest-resolution-layer coding and differential-layer coding. It also makes it possible for an encoder to inform a decoder that there will never be any AT movements by setting M_X and M_Y both equal to 0.

Annex C describes a computationally simple technique for making determinations of when an AT pixel change is desirable and where it should be moved.

6.8 Arithmetic coding

The entropy coder used in this Specification is an adaptive arithmetic compression coder. In this subclause and all its subclauses, the flow diagrams and Table 24 are normative only in the sense that they are defining an output that alternative implementations must duplicate. All background information and discussion in the subclauses of this subclause is informative.

NOTE – It is intended that the arithmetic coding operations described in this subclause be identical to the arithmetic coding operations described in CCITT Rec. T.81 | ISO/IEC 10918-1. However, should there be any unintentional difference in the descriptions, the procedure described in this Specification shall be used.

It is permissible to have as many as four **ATMOVE** marker segments in any one stripe. When there are multiple **ATMOVE** marker segments in one stripe, their effective lines Y_{AT} shall be distinct and ordered with earlier **ATMOVE** markers having numerically lower Y_{AT} values

For each stripe of each resolution-layer, the arithmetic encoder shall produce a byte stream **SCD**. It shall have four streams as inputs, each of them providing one value for each pixel in the stripe being coded. As shown in Figure 18 these four inputs shall be a pixel **PIX**, a context value **CX**, a TP indication **TPVALUE**, and a DP indication **DPVALUE**.

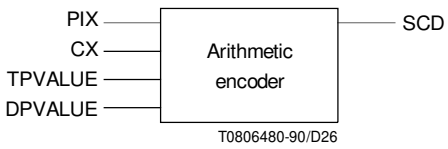

Figure 18 – Encoder inputs and outputs

The pixel to be coded is generally just a pixel from the image, but if lowest-resolution-layer TP or differential-layer TP is enabled, it will occasionally be a pseudo-pixel, **LNTP** (differential-layer) or **SLNTP** (lowest-resolution-layer). The inputs **CX**, **TPVALUE**, and **DPVALUE** are generated as described in 6.7, 6.4, 6.5 and 6.6.

For each stripe of each resolution layer the arithmetic decoder shall read a byte stream **SCD**. As shown in Figure 19 this stream along with the per-pixel inputs streams **CX**, **TPVALUE**, and **DPVALUE** shall be processed to recreate the stream **PIX**.

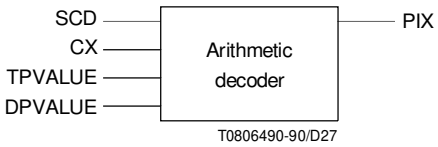

Figure 19 – Decoder inputs and outputs

The inputs **CX**, **TPVALUE**, and **DPVALUE** are identical to those used in the encoder.

It is simplest to specify the requirements for the encoder and decoder by describing sample procedures. The sample procedures are defined by flow diagrams and a table. Many equivalent procedures exist. Some will have speed, memory-usage, or simplicity advantages over others. Some are more suitable for hardware implementation and others more suitable for software implementation. The choice here was weighted in favor of greatest simplicity and conciseness. Any encoding or decoding procedures producing the same outputs as the sample procedures may be used. This output equivalence shall be the **only** requirement.

6.8.1 Fundamental arithmetic coding concepts (informative)

6.8.1.1 Interval subdivision

Recursive probability interval subdivision is the basis for arithmetic coding. Conceptually, an input sequence of symbols is mapped into a real number x on the interval [0,1) where a square bracket on an interval end denotes equality being allowed and a curved bracket denotes it being disallowed. What is transmitted or stored instead of the original sequence of symbols is the binary expansion of x.

Figure 20 shows an example of such interval division through an initial sequence 0, 1, 0, 0 to be coded.

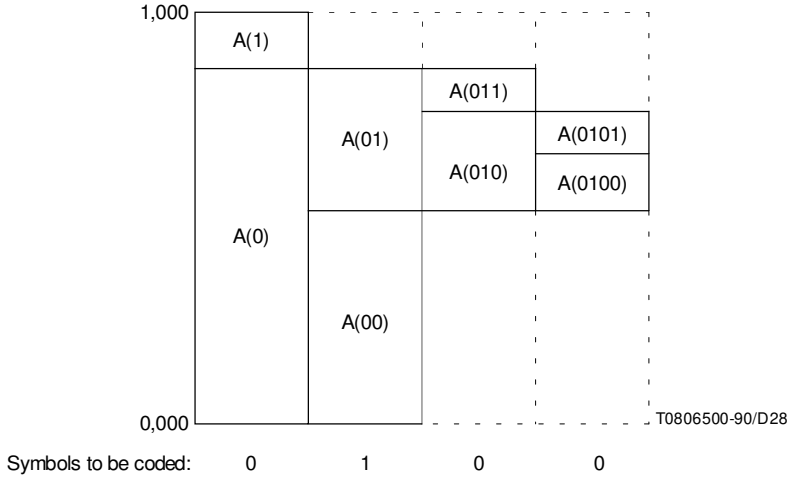

Figure 20 – Interval subdivision

The portion of [0,1) on which x is known to lie after coding an initial sequence of symbols is known as the current coding interval. For each binary input the current coding interval is divided into two sub-intervals with sizes proportional to the relative probabilities of symbol value occurrences. The new current coding interval becomes that associated with the symbol value that actually occurred. In an encoder, knowledge of the current coding interval is maintained in a variable giving its size and a second variable giving its base (lower bound). The output stream is obtained from the variable pointing to the base.

In the partitioning of the current interval into two sub-intervals, the sub-interval for the less probable symbol (LPS) is ordered above the sub-interval for the more probable symbol (MPS). Therefore, when the LPS is coded, the MPS sub-interval is added to the base. This coding convention requires that symbols be recognized as either MPS or LPS, rather than 0 or 1. Consequently, the size of the LPS interval and the sense of the MPS for each symbol must be known in order to code that symbol.

Since the code stream always points to a real number in the current coding interval, the decoding process is a matter of determining, for each decision, which sub-interval is pointed to by the code string. This is also done recursively, using the same interval sub-division process as in the encoder. Each time a decision is decoded, the decoder subtracts any interval the encoder added to the code stream. Therefore, the code stream in the decoder is a pointer into the current interval relative to the base of the current interval.

Since the coding process involves addition of binary fractions rather than concatenation of integer code words, the more probable binary decisions can often be coded at a cost of much less than one bit per decision.

6.8.1.2 Coding conventions and approximations

It is possible to perform these coding operations using fixed precision integer arithmetic. A register **A** contains the size of the current coding interval normalized to always lie in the range [0x8000,0x10000] where an "0x" prefix denotes a hexadecimal integer. Whenever as a result of coding a symbol **A** temporarily falls below 0x8000, it is doubled recursively until it is greater than or equal to 0x8000. Such doublings are termed "renormalizations".

A second register, **C**, contains the trailing bits of the code stream. The register **C** is also doubled each time **A** is doubled. Periodically (to keep **C** from overflowing) a byte of data is removed from the high order bits of the **C** register and placed in an external code string buffer. Possible carry-over must be resolved before the contents of this buffer is committed to output.

A simple arithmetic approximation is used in the interval subdivision. For an interval **A** and a current estimate p of the LPS probability, a precise calculation of the LPS sub-interval would require a multiplication $p \times \mathbf{A}$. Instead, the approximation

$$p \times \mathrm{A} \approx p \times \overline{\mathrm{A}} = \mathbf{LSZ} \tag{8}$$

is used where the overscore denotes an average over the probability density of **A** and **LSZ** is a stored quantity equal to the size of the approximated interval for the LPS. Because **A** is kept in the range [0x8000,0x10000], replacing **A** by its statistical average does not introduce too great an error. Empirically, **A** is found to have a probability density inversely proportional to **A**.

Whenever the LPS is coded, the value of the MPS sub-interval **A**-**LSZ** is added to the code register and the coding interval is reduced to the value **LSZ** of the LPS sub-interval. Whenever the MPS is coded, the code register is left unchanged and the interval is reduced to **A**-**LSZ**. If **A** falls below 0x8000 in performing these operations, it is restored to the proper range by renormalizing both **A** and **C**.

With the process sketched above, the approximation in the interval subdivision process can sometimes make the LPS sub-interval larger than the MPS sub-interval. If, for example, the value of **LSZ** is $0{,}33 \times 0x10000$ and **A** is at the minimum allowed value of 0x8000, the approximate scaling gives 1/3 of the interval to the MPS and 2/3 to the LPS. To avoid this size inversion, the interval is subdivided using this simple approximation, but the MPS and LPS interval assignments are exchanged whenever the LPS interval is larger than the MPS interval. This MPS/LPS "conditional exchange" can only occur when a renormalization will be needed.

Whenever a renormalization occurs, a probability estimation process is invoked which determines a new probability estimate for the context currently being coded.

6.8.2 Encoder

6.8.2.1 Encoder flow diagram

This flow diagram is executed for each stripe of each resolution layer. Pixels that are not typically predictable and are not deterministically predictable are coded with the procedure **ENCODE**. The initialization procedure **INITENC** is called on entry, and the termination procedure **FLUSH**, on exit (see Figure 21).

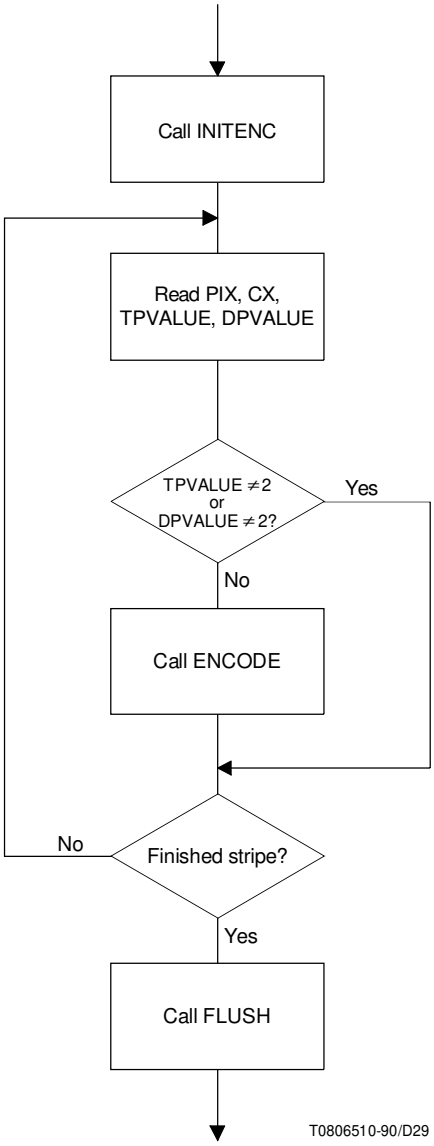

Figure 21 – Encoder flow diagram

6.8.2.2 Encoder code register conventions

The flow diagrams given in this subclause assume the register structure shown in Table 23.

Table 23 – Encoder register structure

	msb			lsb
C register	0000cbbb,	bbbbbsss,	xxxxxxxx,	xxxxxxxx
A register	00000000,	0000000a,	aaaaaaaa,	aaaaaaaa

The "a" bits are the fractional bits in the current interval value and the "x" bits are the fractional bits in the code register. The "s" bits are spacer bits, at least one of which is needed to constrain carry-over, and the "b" bits indicate the bit positions from which the completed bytes of data are removed from the **C** register. The "c" bit is a carry bit. The seventeenth **A** register bit is conceptually present and hence shown here, but it can easily be avoided if a 16-bit implementation is desired. In this case, initializing to 0x0000 instead of 0x10000 works properly as long as underflow in the underlying hardware or software produces the same low order 16 bits on subtracting from 0x0000 as on subtracting from 0x10000. Such behavior is the usual.

These register conventions illustrate one possible implementation. Here especially, there are many other possibilities.

6.8.2.3 Probability estimation tables

For each possible value of the context **CX** there is stored a one-bit value **MPS[CX]** and a seven-bit value **ST[CX]**, which together completely capture the adaptive probability estimate associated with that particular context. Four arrays indexed by **ST[CX]** are shown in Table 24.

The color **MPS** is the (estimated) most likely color for **PIX**. **LSZ** is the LPS interval size, which can be interpreted to a probability via equation 9, although no such interpretation need be made as only **LSZ** ever enters subsequent calculations.

The arrays **NLPS** and **NMPS** give, respectively, the next probability-estimation state for an observation of the LPS and the MPS. The movement given by **NMPS** only occurs if in addition to observing the MPS, a renormalization also occurs. When the movement given by **NLPS** occurs, there will also be an inversion of **MPS[CX]** if **SWTCH[CX]** is 1.

Annex D (informative) explains why the entries in Table 24 are the way they are.

6.8.2.4 Flow diagram for the procedure ENCODE

If the current symbol **PIX** equals the value currently thought to be most probable, the routine **CODEMPS** is called. Otherwise, **CODELPS** is called (see Figure 22).

6.8.2.5 Flow diagram for the procedure CODELPS

The **CODELPS** procedure normally consists of the addition of the MPS sub-interval **A-LSZ[ST[CX]]** to the code stream and a scaling of the interval to the sub-interval **LSZ[ST[CX]]**. It is always followed by a renormalization. If **SWTCH[ST[CX]]** is 1, **MPS[CX]** is inverted.

However, in the event that the LPS sub-interval is larger than the MPS sub-interval, the conditional MPS/LPS exchange occurs and the MPS sub-interval is coded (see Figure 23).

6.8.2.6 Flow diagram for the procedure CODEMPS

The **CODEMPS** procedure normally reduces the size of the interval to the MPS sub-interval. However, if the LPS sub-interval is larger than the MPS sub-interval, the conditional exchange occurs and the LPS sub-interval is coded instead. Note that this interval size inversion cannot occur unless a renormalization is required after the coding of the symbol (see Figure 24).

Table 24 – Probability estimation table

ST	LSZ	NLPS	NMPS	SWTCH	ST	LSZ	NLPS	NMPS	SWTCH
0	0x5a1d	1	1	1	57	0x01a4	55	58	0
1	0x2586	14	2	0	58	0x0160	56	59	0
2	0x1114	16	3	0	59	0x0125	57	60	0
3	0x080b	18	4	0	60	0x00f6	58	61	0
4	0x03d8	20	5	0	61	0x00cb	59	62	0
5	0x01da	23	6	0	62	0x00ab	61	63	0
6	0x00e5	25	7	0	63	0x008f	61	32	0
7	0x006f	28	8	0	64	0x5b12	65	65	1
8	0x0036	30	9	0	65	0x4d04	80	66	0
9	0x001a	33	10	0	66	0x412c	81	67	0
10	0x000d	35	11	0	67	0x37d8	82	68	0
11	0x0006	9	12	0	68	0x2fe8	83	69	0
12	0x0003	10	13	0	69	0x293c	84	70	0
13	0x0001	12	13	0	70	0x2379	86	71	0
14	0x5a7f	15	15	1	71	0x1edf	87	72	0
15	0x3f25	36	16	0	72	0x1aa9	87	73	0
16	0x2cf2	38	17	0	73	0x174e	72	74	0
17	0x207c	39	18	0	74	0x1424	72	75	0
18	0x17b9	40	19	0	75	0x119c	74	76	0
19	0x1182	42	20	0	76	0x0f6b	74	77	0
20	0x0cef	43	21	0	77	0x0d51	75	78	0
21	0x09a1	45	22	0	78	0x0bb6	77	79	0
22	0x072f	46	23	0	79	0x0a40	77	48	0
23	0x055c	48	24	0	80	0x5832	80	81	1
24	0x0406	49	25	0	81	0x4d1c	88	82	0
25	0x0303	51	26	0	82	0x438e	89	83	0
26	0x0240	52	27	0	83	0x3bdd	90	84	0
27	0x01b1	54	28	0	84	0x34ee	91	85	0
28	0x0144	56	29	0	85	0x2eae	92	86	0
29	0x00f5	57	30	0	86	0x299a	93	87	0
30	0x00b7	59	31	0	87	0x2516	86	71	0
31	0x008a	60	32	0	88	0x5570	88	89	1
32	0x0068	62	33	0	89	0x4ca9	95	90	0
33	0x004e	63	34	0	90	0x44d9	96	91	0
34	0x003b	32	35	0	91	0x3e22	97	92	0
35	0x002c	33	9	0	92	0x3824	99	93	0
36	0x5ae1	37	37	1	93	0x32b4	99	94	0
37	0x484c	64	38	0	94	0x2e17	93	86	0
38	0x3a0d	65	39	0	95	0x56a8	95	96	1
39	0x2ef1	67	40	0	96	0x4f46	101	97	0
40	0x261f	68	41	0	97	0x47e5	102	98	0
41	0x1f33	69	42	0	98	0x41cf	103	99	0
42	0x19a8	70	43	0	99	0x3c3d	104	100	0
43	0x1518	72	44	0	100	0x375e	99	93	0
44	0x1177	73	45	0	101	0x5231	105	102	0
45	0x0e74	74	46	0	102	0x4c0f	106	103	0
46	0x0bfb	75	47	0	103	0x4639	107	104	0
47	0x09f8	77	48	0	104	0x415e	103	99	0
48	0x0861	78	49	0	105	0x5627	105	106	1
49	0x0706	79	50	0	106	0x50e7	108	107	0
50	0x05cd	48	51	0	107	0x4b85	109	103	0
51	0x04de	50	52	0	108	0x5597	110	109	0
52	0x040f	50	53	0	109	0x504f	111	107	0
53	0x0363	51	54	0	110	0x5a10	110	111	1
54	0x02d4	52	55	0	111	0x5522	112	109	0
55	0x025c	53	56	0	112	0x59eb	112	111	1
56	0x01f8	54	57	0					

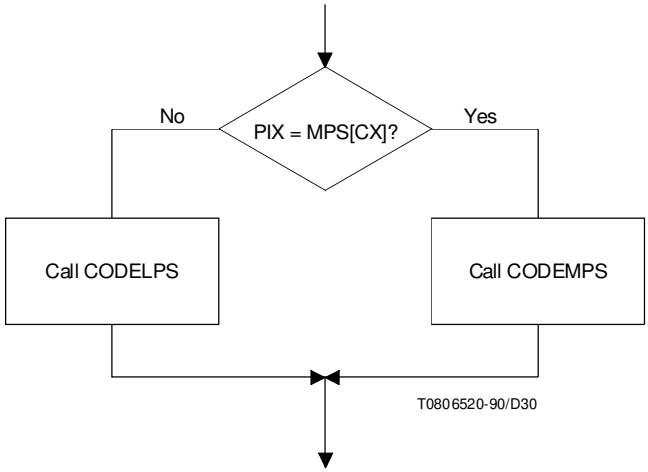

Figure 22 – Flow diagram for the procedure ENCODE

6.8.2.7 Flow diagram for the procedure RENORME

Both the interval register **A** and the code register **C** are shifted, one bit at a time. The number of shifts is counted in the counter **CT**, and when **CT** is counted down to zero, a byte of compressed data is removed from **C** by the procedure **BYTEOUT**. Renormalization continues until **A** is no longer less than 0x8000 (see Figure 25).

6.8.2.8 Flow diagram for the procedure BYTEOUT

The procedure **BYTEOUT** is called from **RENORME**. The variable **TEMP** is a temporary variable that holds the byte at the top of the **C** register that is to be output plus a carry indication. The variable **BUFFER** holds the most recent tentative output that was unequal to 0xff. The counter **SC** holds the number of 0xff bytes there have been since the byte in **BUFFER** was tentatively output (see Figure 26).

The shift of the code register by 19 bits aligns the output bits "b" with the low order bits of **TEMP**. The first test then determines if a carry-over has occurred. If so, the carry must be added to the tentative output byte in **BUFFER** before it is finally committed to output. Any stacked output bytes (converted to zeros by the carry) are then output. Finally the new tentative output byte **BUFFER** is set equal to **TEMP** less any carry.

If a carry has not occurred, the output byte is checked to see if it is 0xff. If so, the stack count **SC** is incremented, as the output must be delayed until the carry is resolved. If not, the carry has been resolved, and any stacked 0xff bytes may be output.

NOTE – The probability that the counter **SC** will reach a given integer n falls off rapidly as 2^{-8n} so that in practice values of **SC** beyond 3 or 4 are rarely seen in coding an image. However, in principle **SC** can become as large as the number of bytes in the output file **SCD**. Whenever carry is resolved, the input image can not be processed while **SC** 0x00 or 0xff bytes are output. Since **SC** can become in principle quite large, this halt can also in principle become quite long.

If it is important for a particular implementation, the reserved marker can be used to finitely bound any halt of the processing of the input image. One way is to insert the reserved marker whenever **SC** reaches some small number, say 8, and then decrement **SC** by 8. Then, in a postprocessing step within the encoder each of these markers is replaced by either eight 0xff bytes or eight 0x00 bytes as appropriate. This postprocessing must be done by the encoder as no decoder is expected to know anything about such an application of the reserved marker. Such a use of the reserved marker byte only works with **PSCD** data dynamically created from **SCD** data as it is generated.

As a second way to use the reserved marker to this same end, let **SC** build up to arbitrarily large values. If **SC** is larger than a given number (e.g. eight) when carry is eventually resolved, output the reserved marker followed by an 0x00 or 0xff to indicate the carry resolution value. Then encode the actual **SC** with additional bytes. Again, encoder postprocessing is required to replace this marker by the proper number of 0xff or 0x00 bytes.

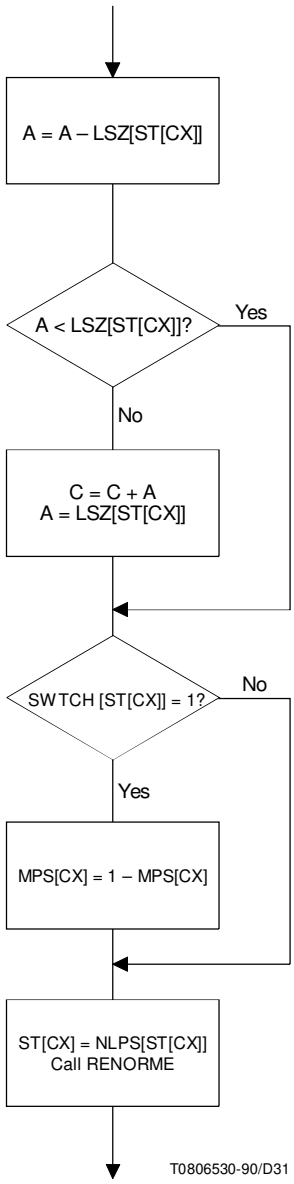

Figure 23 – Flow diagram for the procedure CODELPS

6.8.2.9 Flow diagram for the procedure INITENC

If this stripe is at the top of the image, the probability-estimation states for all possible values of **CX** are set to 0 (that is, the equiprobable state). Otherwise, they are reset to their values at the end of the last stripe at this resolution. The stack count **SC** and the code register **C** are cleared. The counter **CT** is set to 11 (a byte plus the 3 spacer bits). The coding interval register **A** is set to 0x10000. Alternatively, for 16-bit implementation, it can be set to 0x0000 as long as the hardware or software produces the same 16 bits on subtracting a 16-bit quantity from 0 as is obtained in mathematically subtracting from 0x10000. This will almost always be the case (see Figure 27).

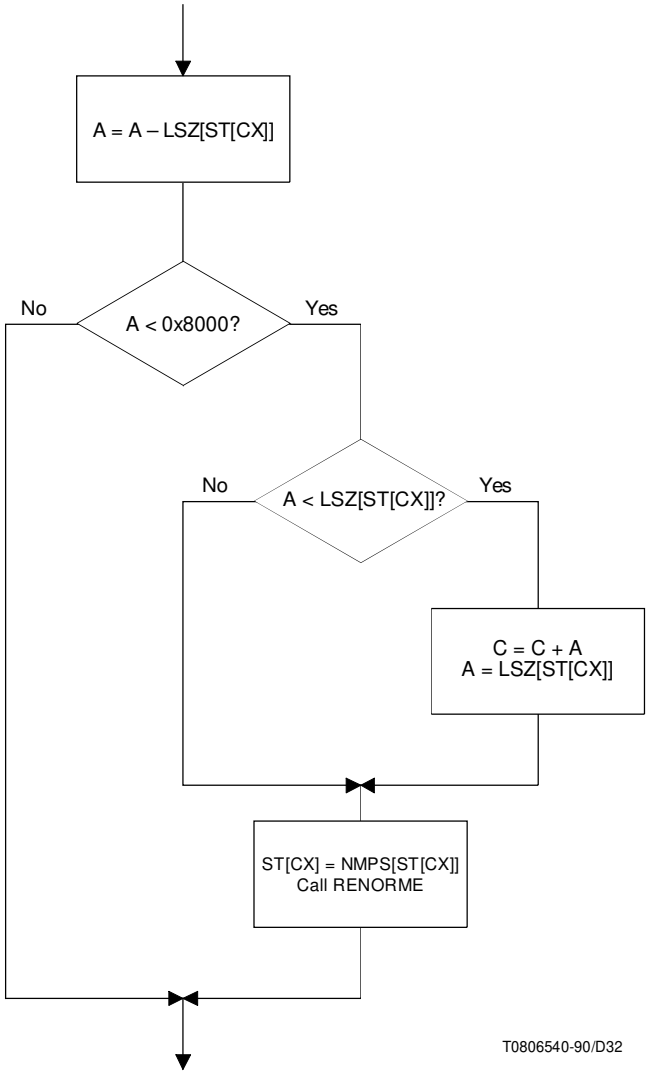

Figure 24 – Flow diagram for the procedure CODEMPS

6.8.2.10 Flow diagram for the procedure `FLUSH`

Two subprocedures are called first. Then the first byte that was written into the stream **`SCD`** is removed and if desired some or all of any 0x00 bytes at the end of **`SCD`** are also removed until finally coming to a byte unequal to 0x00. Good software and hardware implementations will set up auxiliary variables so that these bytes are never written in the first place. The implementation described here was chosen for simplicity and conciseness (see Figure 28).

6.8.2.11 Flow diagram for the procedure `CLEARBITS`

The code register **`C`** is set to the value in **`[C, C+A–1]`** that ends with the greatest possible number of zero bits (see Figure 29).

6.8.2.12 Flow diagram for the procedure `FINALWRITES`

The final carry resolution is performed and two bytes from **`C`** are written (see Figure 30).

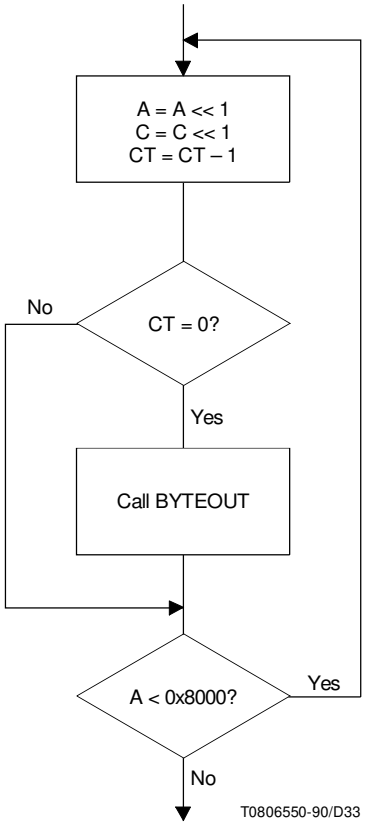

Figure 25 – Flow diagram for the procedure RENORME

6.8.3 Decoder

6.8.3.1 Decoder flow diagram

This flow diagram is executed for each stripe of each resolution layer. Pixels that are not typically predictable and are not deterministically predictable are decoded by the procedure **DECODE**. The initialization procedure **INITDEC** is called on entry (see Figure 31).

6.8.3.2 Decoder code register conventions

The flow diagrams given in this subclause assume the register structure shown in Table 25.

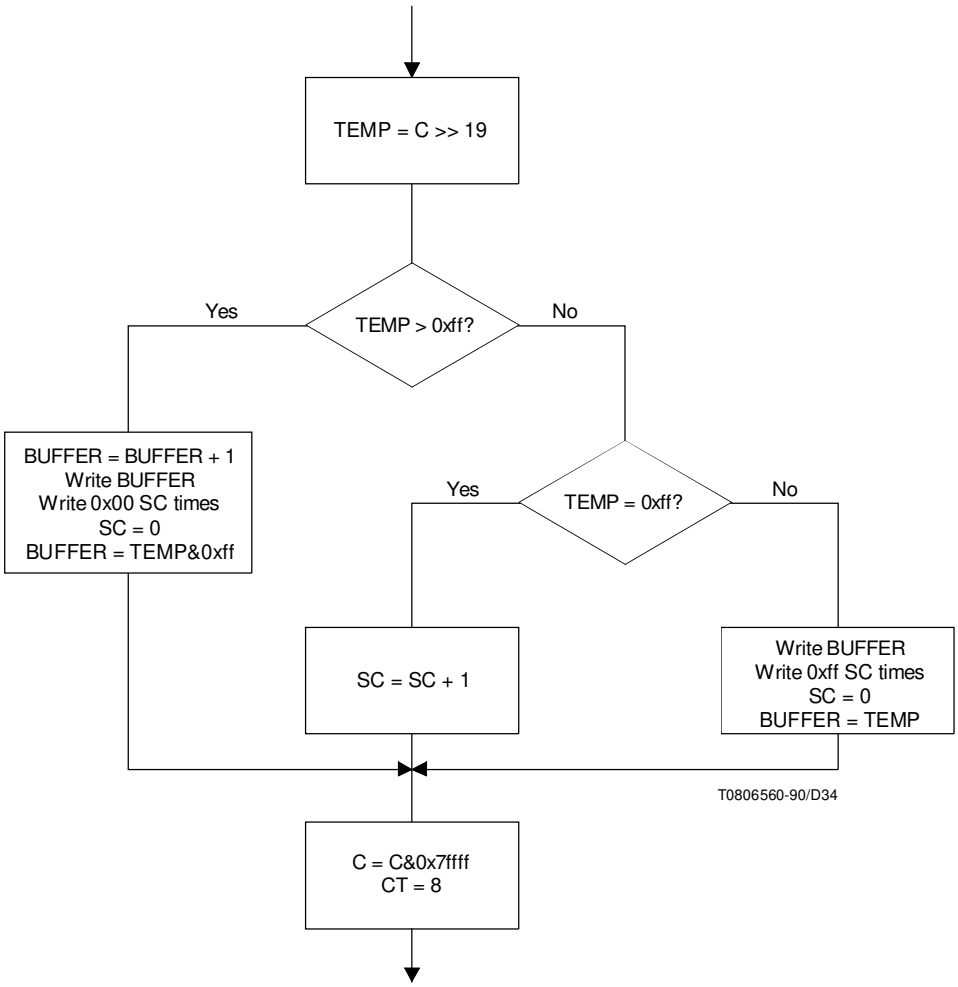

Figure 26 – Flow diagram for the procedure BYTEOUT

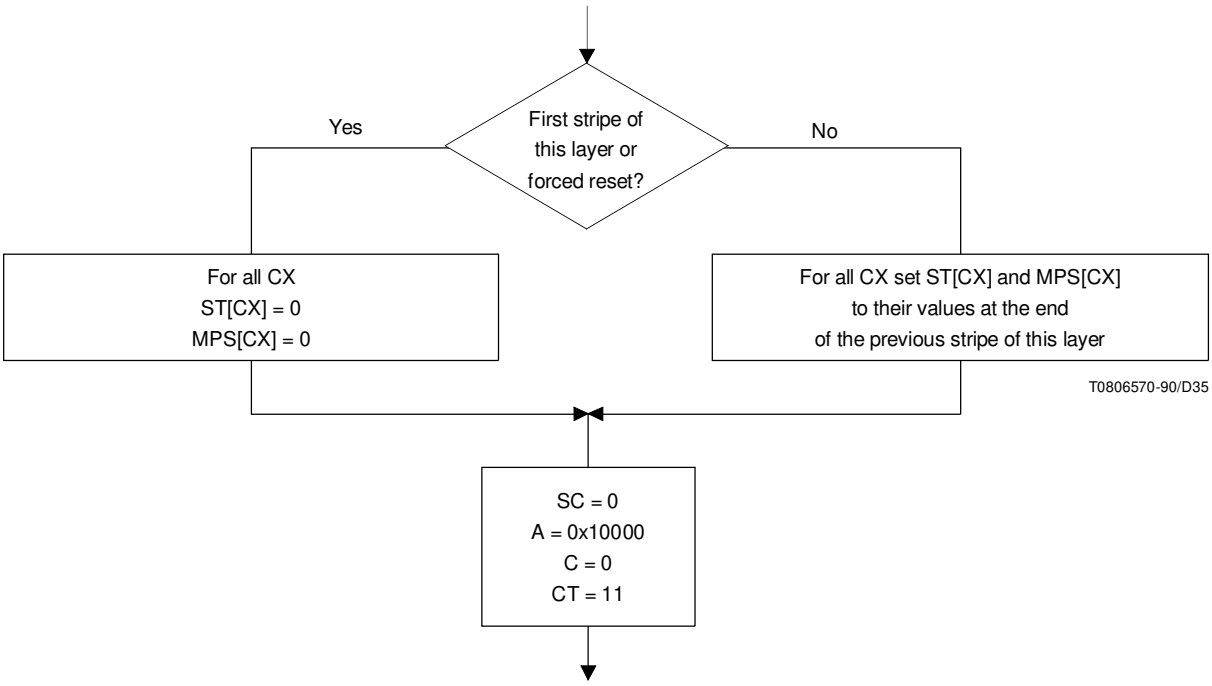

Figure 27 – Flow diagram for the procedure INITENC

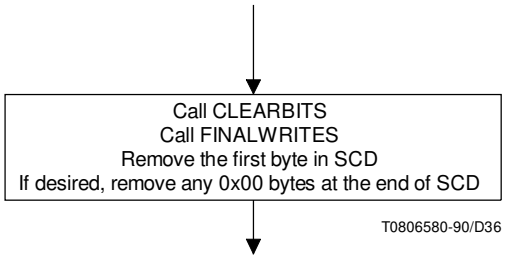

Figure 28 – Flow diagram for the procedure FLUSH

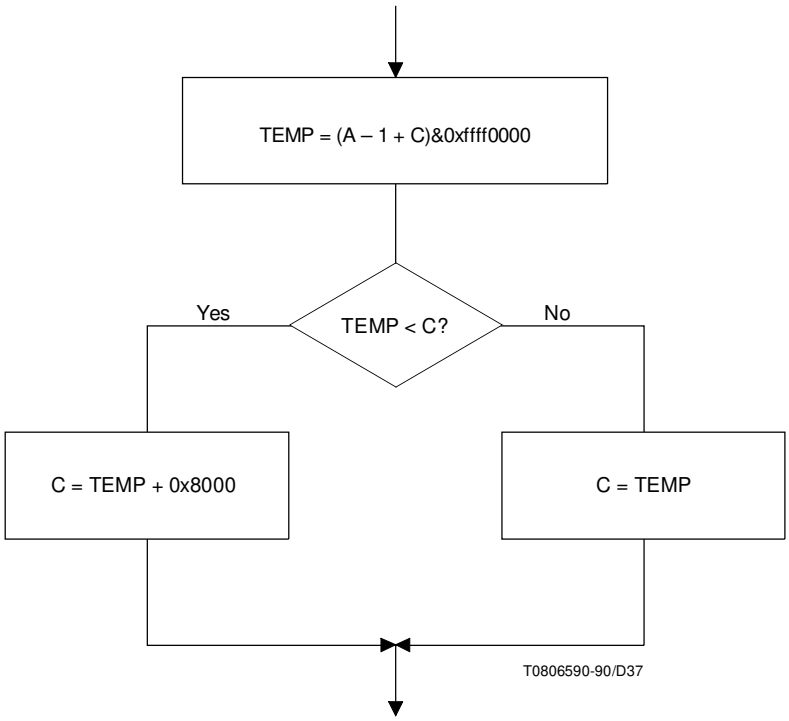

Figure 29 – Flow diagram for the procedure CLEARBITS

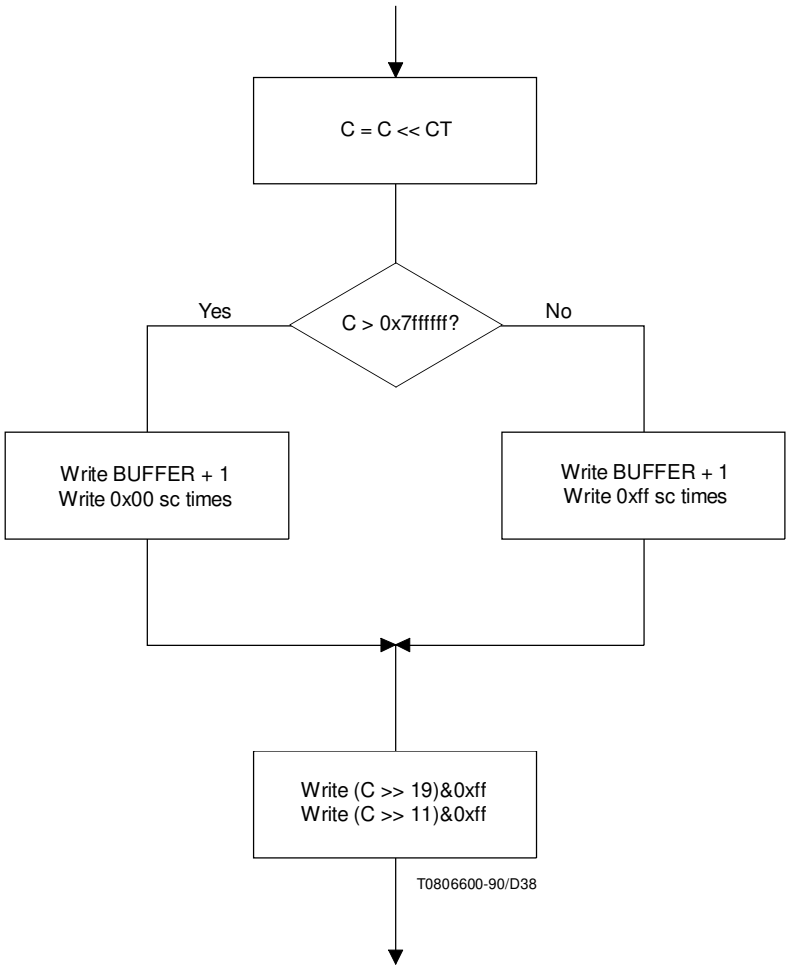

Figure 30 – Flow diagram for the procedure FINALWRITES

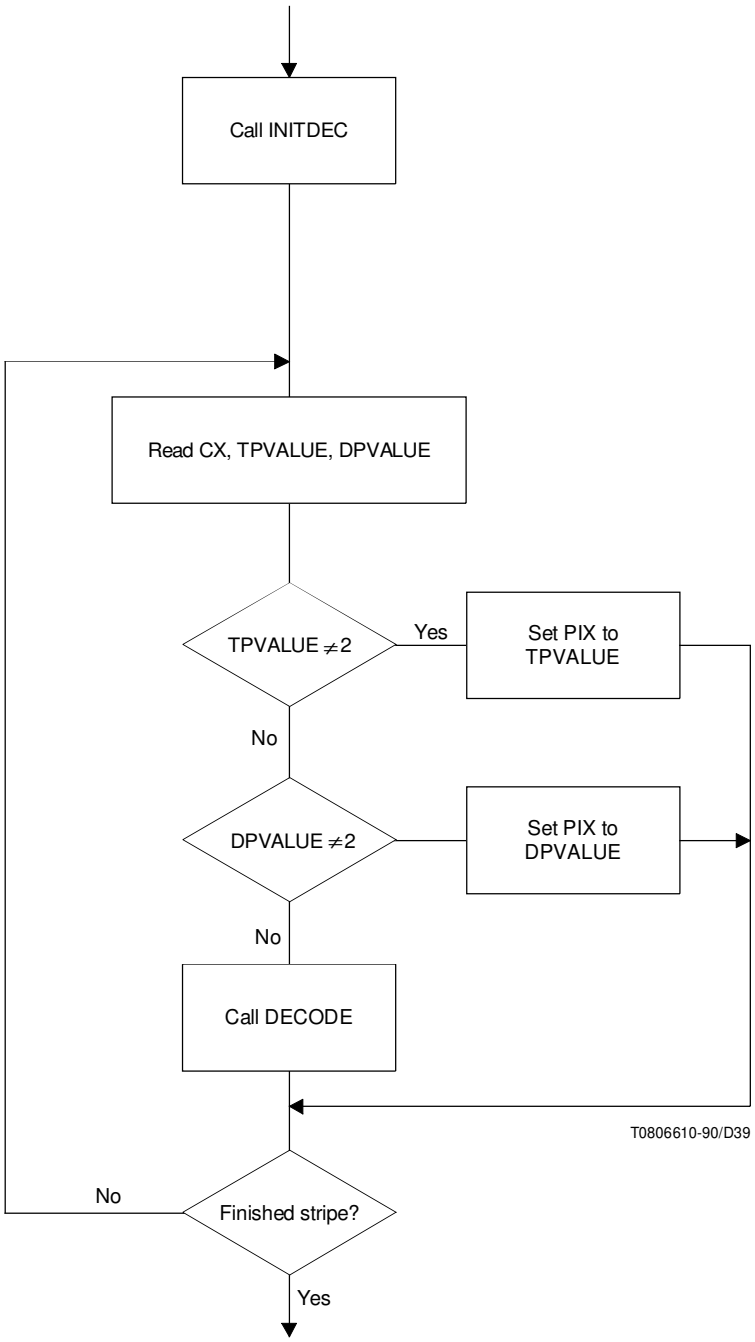

Figure 31 – Decoder flow diagram

Table 25 – Decor register structure

	msb	lsb
CHIGH register	xxxxxxxx,	xxxxxxxx
CLOW register	bbbbbbbb,	00000000
A register	a, aaaaaaaa,	aaaaaaaa

CHIGH and **CLOW** can be thought of as one 32 bit **C** register, in that renormalization of **C** shifts a bit of new data from bit 15 (leftmost) of **CLOW** to bit 0 (rightmost) of **CHIGH**. However, the decoding comparisons use **CHIGH** alone. New data is inserted into the "b" bits of **CLOW** one byte at a time. As in the encoder, the seventeenth **A** register bit is conceptually present, but easily avoided in implementations.

6.8.3.3 Probability estimation tables

The probability-estimation tables used in decoding are identical to those used in encoding.

6.8.3.4 Flow diagram for the procedure DECODE

Only when a renormalization is needed is it possible that the MPS/LPS conditional exchange may have occurred (see Figure 32).

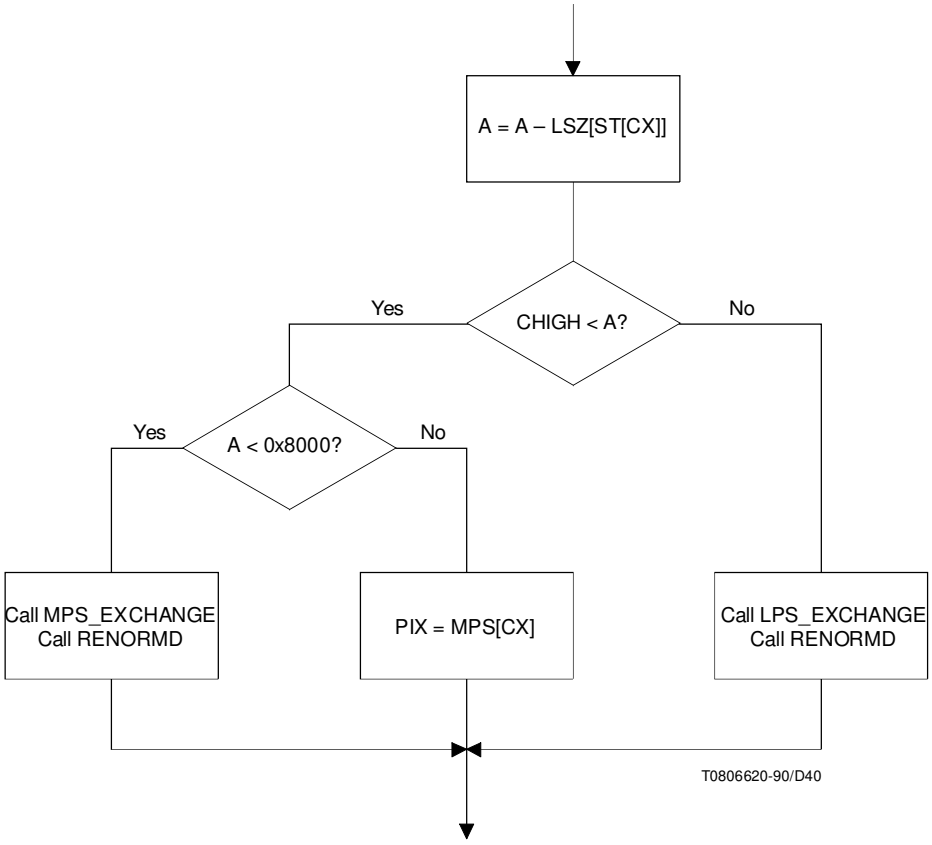

Figure 32 – Flow diagram for the procedure DECODE

6.8.3.5 Flow diagram for the procedure `LPS_EXCHANGE`

See Figure 33.

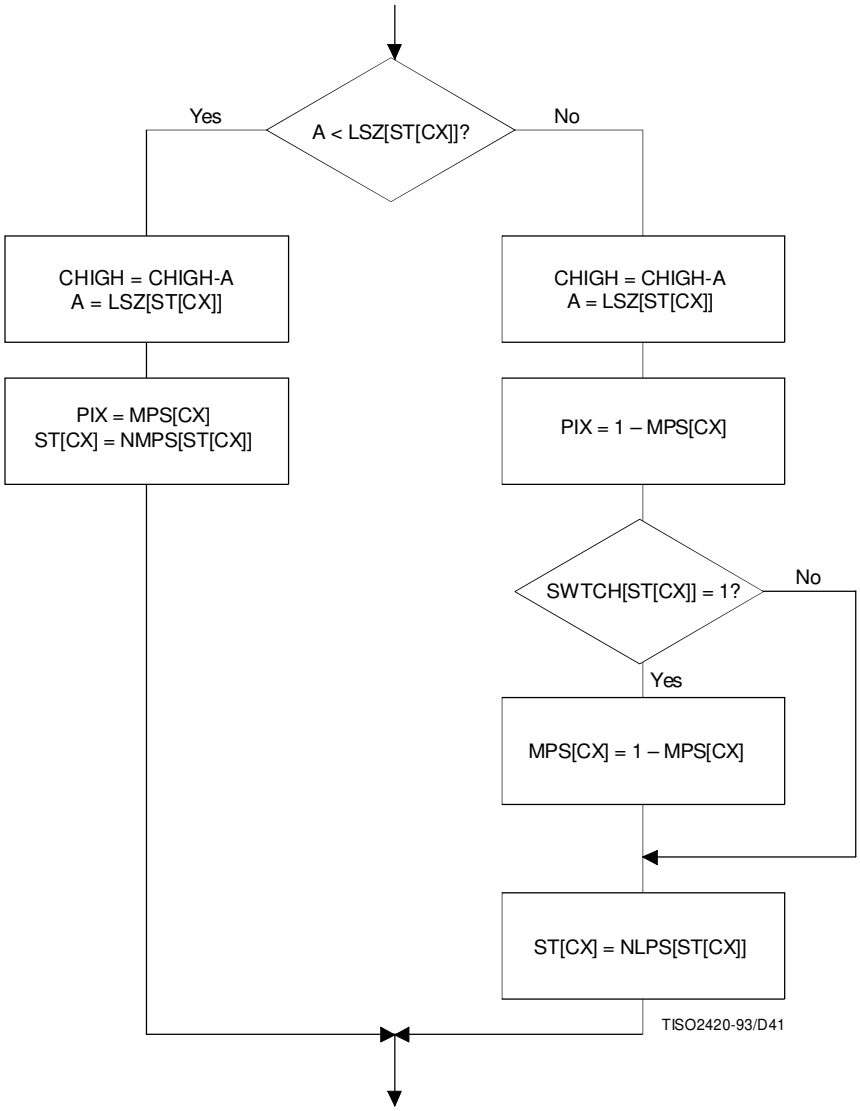

Figure 33 – Flow diagram for the procedure LPS_EXCHANGE

6.8.3.6 Flow diagram for the procedure `MPS_EXCHANGE`

See Figure 34.

6.8.3.7 Flow diagram for the procedure `RENORMD`

`CT` is a counter which keeps track of the number of compressed bits in the **`CLOW`** section of the **`C`** register. When **`CT`** is zero, a new byte is inserted into **`CLOW`**.

Both the interval register **`A`** and the code register **`C`** are shifted, one bit at a time, until **`A`** is no longer less than 0x8000. (See Figure 35).

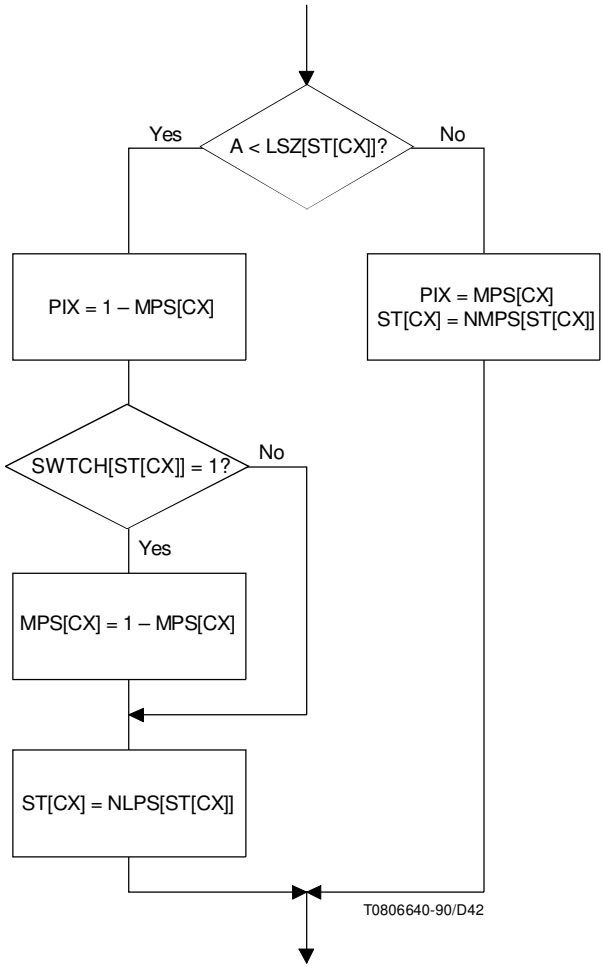

Figure 34 – Flow diagram for the procedure MPS_EXCHANGE

6.8.3.8 Flow diagram for the procedure `BYTEIN`

Bytes are read from **`SCD`** until it exhausts, after which further reads are satisfied by returning 0x00. The bytes read are inserted into the upper 8 bits of **`CLOW`**. The counter **`CT`** is reset to 8 (see Figure 36).

6.8.3.9 Flow diagram for the procedure `INITDEC`

If this stripe is at the top of the image, the probability-estimation states for all possible values of **`CX`** are set to 0. Otherwise, they are reset to their values at the end of the last stripe at this resolution. Three bytes are read into the **`C`** register (see Figure 37).

7 Test methods and datastream examples

This normative clause describes test methods for the algorithm described in earlier clauses of this Specification. There are many possible parameterizations, and this clause will document ways to test the accuracy of some parameterizations thought to be helpful in debugging implementations.

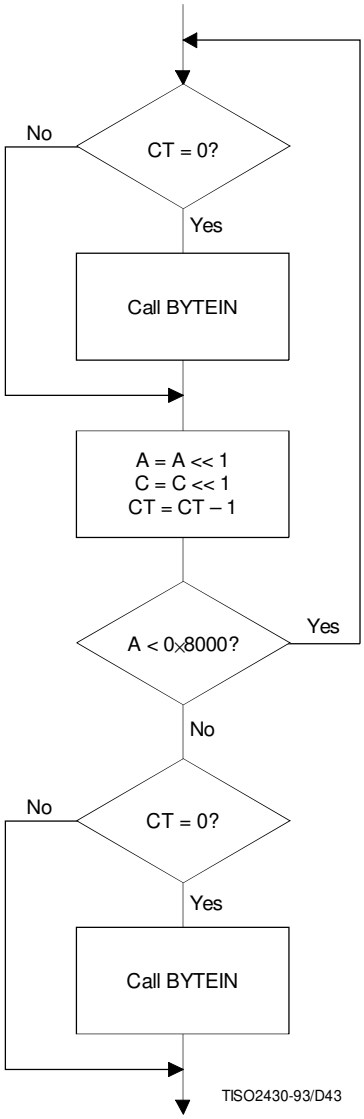

Figure 35 – Flow diagram for the procedure RENORMD

7.1 Arithmetic coding

In this subclause a small data set is provided for testing the arithmetic encoder and decoder. It will be assumed that this data set represents the raw data of a stripe in raster scan order and from MSB to LSB. The test is structured to test many of the encoder and decoder paths, but it is impossible in a short test sequence to check all of them so agreement with the results of this test unfortunately does not guarantee a completely correct implementation.

```
PIX:   05e0 0000 8b00 01c4 1700 0034 7fff 1a3f 951b 05d8 1d17 e770 0000 0000 0656 0e6a

 CX:   0fe0 0000 0f00 00f0 ff00 0000 0000 0000 0000 0000 0000 0000 0000 0000 0000 0000
```

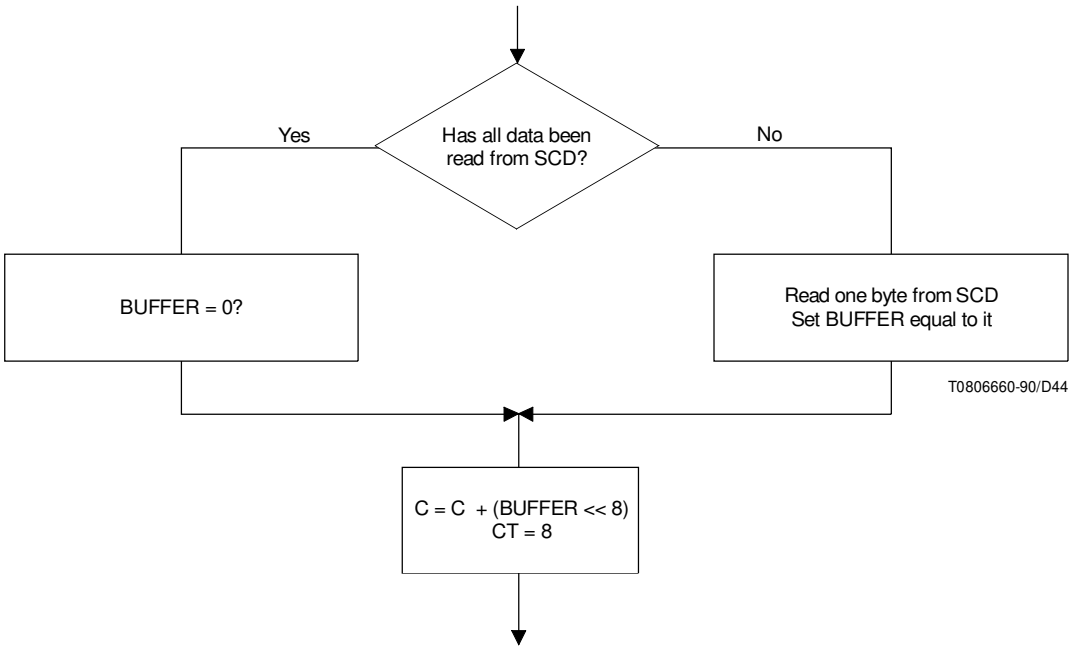

Figure 36 – Flow diagram for the procedure BYTEIN

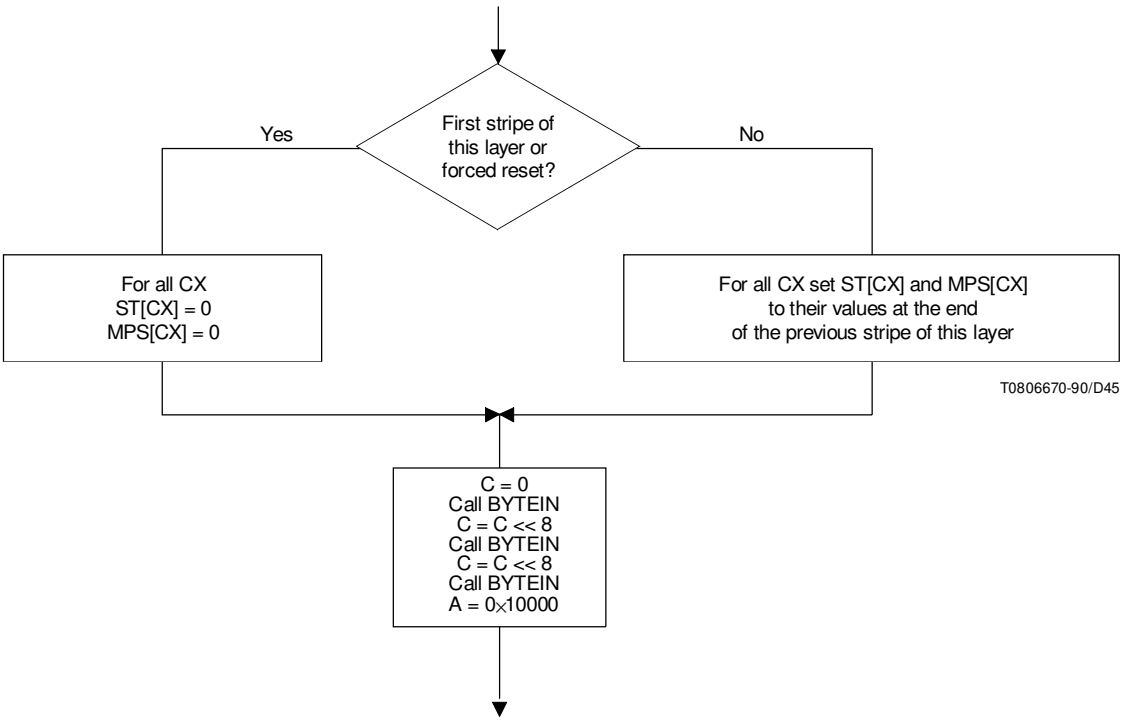

Figure 37 – Flow diagram for the procedure INITDEC

The encoder, see Figure 18, has four inputs. The first sequence describes the **PIX** input and the second one describes the corresponding **CX** input. For simplicity, the input variable **CX** for this test takes only the two values 0 and 1, rather than the 4096 values it takes on for the JBIG encoder/decoder. The other two inputs **TPVALUE** and **DPVALUE** are assumed to be always 2 (for the encoder and decoder). The encoder's output (**SCD**) consists of 200 bits (25 bytes) and is shown below in hex form (hexadecimal).

SCD: 6989 995c 32ea faa0 d5ff 527f ffff ffc0 0000 003f ff2d 2082 91

For the decoder, see Figure 19, the inputs **SCD** and **CX** are given from the sequences above while **PIX** is now the output.

Table 26 provides a symbol by symbol list of the arithmetic encoder and decoder operation. The first line in this table corresponds to the **INITENC** and **INITDEC** procedures with **BUFFER** initialized to 0x00. The last line in Table 26 corresponds to the encoder's **FINALWRITES** procedure. The first column is the event counter (**EC**), the second is the value of the binary event **PIX** to be encoded and decoded and the third is the corresponding value of the input variable **CX**. The **MPS** column indicates the sense of **MPS**, and the **CE** column indicates that the conditional exchange (see 6.8.2.5, 6.8.2.6 and 6.8.3.4) will occur when encoding (decoding) the current binary event **PIX**. The current state (see Table 24) and its corresponding **LPS** size are shown in the columns labeled **ST** and **LSZ**. Next is listed the value of the register **A** before the event is encoded (decoded). Note that the **A** register is always greater than or equal to 0x8000.

The variables up to this point were common for the encoder and decoder. The next five columns (**C**, **CT**, **SC**, **BUF**, **OUT**) are only for the encoder and the last 3 columns (**C**, **CT**, **IN**) are for the decoder. For the encoder the inputs are (**PIX**, **CX**) and its output is given in column **OUT**, while for the decoder the inputs are (**CX**, **IN**) and the output is **PIX**. The values of the register **C** listed under column **C** are given before the current event is encoded (decoded). For the encoder, **CT** is a counter indicating when a byte is ready for output from register **C**. **SC** is the number of 0xff bytes stacked in the encoder waiting for resolution of carry-over. The column under **BUF** shows the byte in variable **BUFFER** waiting to be sent out. This byte can sometimes change from a carry-over. Finally, for the encoder the code bytes are listed under column **OUT**. These bytes are sent to the output during the coding of the current event. If more than one byte is listed, these bytes were also output during the current event and they were generated by clearing the **SC** counter.

For the decoder, the values of the register **C** are given before the event is decoded and they are listed under column **C**. The decoder's counter **CT** is shown in the next column and indicates when to input the next byte from the code stream. Finally in the last column, the code bytes are listed if they were read into the code register at the end of the current event.

The last row, shows the output generated by the **FINALWRITES** procedure. This procedure generates also five additional 0x00 bytes, two from clearing the counter **SC** and three from flushing the **C** register. These final 0x00 bytes are not included in the code bytes **SCD**, since the option to remove all the 0x00 bytes from the end of the code stream of a stripe (see FLUSH procedure in Figure 28) was exercised. Notice, that it is allowable to leave any of these final 0x00 at the end of the code stream **SCD**. The decoder, upon reaching the end of the coded stream, reads 0x00's in its **C** register until it decodes the desired number (256) of pixels.

In order to generate the **PSCD** code stream, see Table 12, the **STUFF** (0x00) byte must be inserted after each **ESC** (0xff) byte in **SCD**.

PSCD: 6989 995c 32ea faa0 d5ff 0052 7fff 00ff 00ff 00c0 0000 003f ff00 2d20 8291

Finally, because of the assumption that the data (**CX**, **PIX**) are the raw data of a stripe, the stripe data entity (**SDE**) can be generated by appending the bytes **ESC** (0xff) and **SDNORM** (0x02).

SDE: 6989 995c 32ea faa0 d5ff 0052 7fff 00ff 00ff 00c0 0000 003f ff00 2d20 8291 ff02

Table 26 – Encoder and decoder trace data

								ENCODER					DECODER		
EC	PIX	CX	MPS	CE	ST	LSZ hex	A hex	C hex	CT	CS	BUF hex	OUT hex	C hex	CT	IN hex
0													00000000	0	698999
1	0	0	0	0	0	5a1d	10000	00000000	11	0	00		69899900	8	
2	0	0	0	1	0	5a1d	0a5e3	00000000	11	0	00		69899900	8	
3	0	0	0	0	1	2586	0b43a	0000978c	10	0	00		3b873200	7	
4	0	0	0	0	1	2586	08eb4	0000978c	10	0	00		3b873200	7	
5	0	1	0	0	0	5a1d	0d25c	00012f18	9	0	00		770e6400	6	
6	1	1	0	0	1	2586	0f07e	00025e30	8	0	00		ee1cc800	5	
7	0	1	0	1	14	5a7f	09618	000ca4a0	6	0	00		8c932000	3	
8	1	1	0	0	15	3f25	0b4fe	0019c072	5	0	00		a1f44000	2	5c
9	1	1	0	0	36	5ae1	0fc94	0068d92c	3	0	00		b06d5c00	8	
10	1	1	1	0	37	484c	0b5c2	00d2f5be	2	0	00		1d74b800	7	
11	1	1	1	0	38	3a0d	0daec	01a5eb7c	1	0	00		3ae97000	6	
12	0	0	0	0	2	1114	0a0df	01a5eb7c	1	0	00		3ae97000	6	
13	0	0	0	0	2	1114	08fcb	01a5eb7c	1	0	00		3ae97000	6	
14	0	0	0	0	3	080b	0fd6e	0003d6f8	8	0	69		75d2e000	5	
15	0	0	0	0	3	080b	0f563	0003d6f8	8	0	69		75d2e000	5	
16	0	0	0	0	3	080b	0ed58	0003d6f8	8	0	69		75d2e000	5	
17	0	0	0	0	3	080b	0e54d	0003d6f8	8	0	69		75d2e000	5	
18	0	0	0	0	3	080b	0dd42	0003d6f8	8	0	69		75d2e000	5	
19	0	0	0	0	3	080b	0d537	0003d6f8	8	0	69		75d2e000	5	
20	0	0	0	0	3	080b	0cd2c	0003d6f8	8	0	69		75d2e000	5	
21	0	0	0	0	3	080b	0c521	0003d6f8	8	0	69		75d2e000	5	
22	0	0	0	0	3	080b	0bd16	0003d6f8	8	0	69		75d2e000	5	
23	0	0	0	0	3	080b	0b50b	0003d6f8	8	0	69		75d2e000	5	
24	0	0	0	0	3	080b	0ad00	0003d6f8	8	0	69		75d2e000	5	
25	0	0	0	0	3	080b	0a4f5	0003d6f8	8	0	69		75d2e000	5	
26	0	0	0	0	3	080b	09cea	0003d6f8	8	0	69		75d2e000	5	
27	0	0	0	0	3	080b	094df	0003d6f8	8	0	69		75d2e000	5	
28	0	0	0	0	3	080b	08cd4	0003d6f8	8	0	69		75d2e000	5	
29	0	0	0	0	3	080b	084c9	0003d6f8	8	0	69		75d2e000	5	
30	0	0	0	0	4	03d8	0f97c	0007adf0	7	0	69		eba5c000	4	
31	0	0	0	0	4	03d8	0f5a4	0007adf0	7	0	69		eba5c000	4	
32	0	0	0	0	4	03d8	0f1cc	0007adf0	7	0	69		eba5c000	4	
33	1	0	0	0	4	03d8	0edf4	0007adf0	7	0	69		eba5c000	4	32
34	0	0	0	0	20	0cef	0f600	02260300	1	0	69		6270c800	6	
35	0	0	0	0	20	0cef	0e911	02260300	1	0	69		6270c800	6	
36	0	0	0	0	20	0cef	0dc22	02260300	1	0	69		6270c800	6	
37	1	1	1	0	38	3a0d	0cf33	02260300	1	0	69		6270c800	6	
38	0	1	1	0	38	3a0d	09526	02260300	1	0	69	69	6270c800	6	
39	1	1	1	0	65	4d04	0e834	00097864	7	0	89		1d5f2000	4	
40	1	1	1	0	65	4d04	09b30	00097864	7	0	89		1d5f2000	4	
41	0	0	0	0	20	0cef	09c58	0012f0c8	6	0	89		3abe4000	3	
42	0	0	0	0	20	0cef	08f69	0012f0c8	6	0	89		3abe4000	3	
43	0	0	0	0	20	0cef	0827a	0012f0c8	6	0	89		3abe4000	3	
44	0	0	0	0	21	09a1	0eb16	0025e190	5	0	89		757c8000	2	
45	0	0	0	0	21	09a1	0e175	0025e190	5	0	89		757c8000	2	
46	0	0	0	0	21	09a1	0d7d4	0025e190	5	0	89		757c8000	2	
47	0	0	0	0	21	09a1	0ce33	0025e190	5	0	89		757c8000	2	
48	0	0	0	0	21	09a1	0c492	0025e190	5	0	89		757c8000	2	
49	0	0	0	0	21	09a1	0baf1	0025e190	5	0	89		757c8000	2	
50	0	0	0	0	21	09a1	0b150	0025e190	5	0	89		757c8000	2	
51	0	0	0	0	21	09a1	0a7af	0025e190	5	0	89		757c8000	2	
52	0	0	0	0	21	09a1	09e0e	0025e190	5	0	89		757c8000	2	
53	0	0	0	0	21	09a1	0946d	0025e190	5	0	89		757c8000	2	
54	0	0	0	0	21	09a1	08acc	0025e190	5	0	89		757c8000	2	
55	0	0	0	0	21	09a1	0812b	0025e190	5	0	89		757c8000	2	
56	1	0	0	0	22	072f	0ef14	004bc320	4	0	89	89	eaf90000	1	ea

Table 26 – (*continued*)

								ENCODER					DECODER		
EC	PIX	CX	MPS	CE	ST	LSZ hex	A hex	C hex	CT	CS	BUF hex	OUT hex	C hex	CT	IN hex
57	1	1	1	0	66	412c	0e5e0	000560a0	7	0	99		628ea000	4	
58	1	1	1	0	66	412c	0a4b4	000560a0	7	0	99		628ea000	4	
59	0	1	1	0	67	37d8	0c710	000ac140	6	0	99		c51d4000	3	
60	0	1	1	0	82	438e	0df60	002d41e0	4	0	99		d7950000	1	fa
61	0	0	0	0	46	0bfb	0871c	005bbb64	3	0	99		7786fa00	8	
62	1	0	0	0	47	09f8	0f642	00b776c8	2	0	99	99	ef0df400	7	
63	0	0	0	0	77	0d51	09f80	00063120	6	0	5c		2c3f4000	3	
64	0	0	0	0	77	0d51	0922f	00063120	6	0	5c		2c3f4000	3	
65	0	1	1	1	89	4ca9	084de	00063120	6	0	5c		2c3f4000	3	
66	0	1	1	0	95	56a8	0e0d4	0018c480	4	0	5c		b0fd0000	1	a0
67	0	1	0	0	95	56a8	0ad50	00329d58	3	0	5c		4da2a000	8	
68	1	1	0	0	96	4f46	0ad50	00653ab0	2	0	5c		9b454000	7	
69	0	1	0	1	101	5231	09e8c	00cb3174	1	0	5c	5c	7a768000	6	
70	1	1	0	0	102	4c0f	0a462	0006fb9e	8	0	32		5c370000	5	
71	1	1	0	1	106	50e7	0981e	000ea7e2	7	0	32		07c80000	4	
72	1	1	0	1	108	5597	08e6e	001d4fc4	6	0	32		0f900000	3	
73	0	0	0	0	77	0d51	0e35c	00753f10	4	0	32		3e400000	1	
74	0	0	0	0	77	0d51	0d60b	00753f10	4	0	32		3e400000	1	
75	0	0	0	0	77	0d51	0c8ba	00753f10	4	0	32		3e400000	1	
76	0	0	0	0	77	0d51	0bb69	00753f10	4	0	32		3e400000	1	
77	0	0	0	0	77	0d51	0ae18	00753f10	4	0	32		3e400000	1	
78	0	0	0	0	77	0d51	0a0c7	00753f10	4	0	32		3e400000	1	
79	0	0	0	0	77	0d51	09376	00753f10	4	0	32		3e400000	1	
80	0	0	0	0	77	0d51	08625	00753f10	4	0	32		3e400000	1	d5
81	0	0	0	0	78	0bb6	0f1a8	00ea7e20	3	0	32		7c80d500	8	
82	0	0	0	0	78	0bb6	0e5f2	00ea7e20	3	0	32		7c80d500	8	
83	0	0	0	0	78	0bb6	0da3c	00ea7e20	3	0	32		7c80d500	8	
84	0	0	0	0	78	0bb6	0ce86	00ea7e20	3	0	32		7c80d500	8	
85	0	0	0	0	78	0bb6	0c2d0	00ea7e20	3	0	32		7c80d500	8	
86	0	0	0	0	78	0bb6	0b71a	00ea7e20	3	0	32		7c80d500	8	
87	0	0	0	0	78	0bb6	0ab64	00ea7e20	3	0	32		7c80d500	8	
88	0	0	0	0	78	0bb6	09fae	00ea7e20	3	0	32		7c80d500	8	
89	0	0	0	0	78	0bb6	093f8	00ea7e20	3	0	32		7c80d500	8	
90	0	0	0	0	78	0bb6	08842	00ea7e20	3	0	32		7c80d500	8	
91	1	0	0	0	79	0a40	0f918	01d4fc40	2	0	32	32	f901aa00	7	
92	1	0	0	0	77	0d51	0a400	001eb180	6	0	ea		a29aa000	3	ff
93	0	0	0	0	75	119c	0d510	01f482f0	2	0	ea		bebbfe00	7	
94	1	0	0	0	75	119c	0c374	01f482f0	2	0	ea	ea	bebbfe00	7	
95	0	0	0	0	74	1424	08ce0	0009a640	7	0	fa		671ff000	4	
96	0	0	0	0	75	119c	0f178	00134c80	6	0	fa		ce3fe000	3	
97	0	0	0	0	75	119c	0dfdc	00134c80	6	0	fa		ce3fe000	3	
98	1	0	0	0	75	119c	0ce40	00134c80	6	0	fa		ce3fe000	3	52
99	1	0	0	0	74	1424	08ce0	00a04920	3	0	fa	fa	8cdf5200	8	
100	1	0	0	0	72	1aa9	0a120	00060ee0	8	0	a0		a11a9000	5	
101	1	0	0	0	87	2516	0d548	0034aab8	5	0	a0		d51c8000	2	7f
102	1	0	0	0	86	299a	09458	00d56ba8	3	0	a0		93aa7f00	8	
103	1	0	0	0	93	32b4	0a668	03575998	1	0	a0	a0	a3b1fc00	6	
104	1	0	0	0	99	3c3d	0cad0	000f3530	7	0	d5		bff7f000	4	
105	1	0	0	0	104	415e	0f0f4	003f0f0c	5	0	d5		c593c000	2	
106	1	0	0	1	103	4639	082bc	007f7d44	4	0	d5		2bfb8000	1	ff
107	1	0	0	0	107	4b85	0f20c	01fdf510	2	0	d5		afeffe00	7	
108	1	0	0	1	109	504f	0970a	03fd372e	1	0	d5		12d1fc00	6	
109	1	0	0	1	111	5522	08d76	00026e5c	8	1	d5		25a3f800	5	
110	1	0	0	0	112	59eb	0e150	0009b970	6	1	d5		968fe000	3	
111	1	0	1	0	112	59eb	0b3d6	001481aa	5	1	d5		1e55c000	2	
112	1	0	1	0	111	5522	0b3d6	00290354	4	1	d5		3cab8000	1	ff

Table 26 – (*continued*)

								ENCODER					DECODER		
EC	PIX	CX	MPS	CE	ST	LSZ hex	A hex	C hex	CT	CS	BUF hex	OUT hex	C hex	CT	IN hex
113	0	0	1	0	109	504f	0bd68	005206a8	3	1	d5		7957ff00	8	
114	0	0	1	1	111	5522	0a09e	00a4e782	2	1	d5		187dfe00	7	
115	0	0	1	1	112	59eb	096f8	0149cf04	1	1	d5	d5ff	30fbfc00	6	
116	1	0	0	0	112	59eb	0f434	00073c10	7	0	52		c3eff000	4	
117	1	0	1	0	112	59eb	0b3d6	000facb2	6	0	52		534de000	3	
118	0	0	1	0	111	5522	0b3d6	001f5964	5	0	52		a69bc000	2	
119	1	0	1	1	112	59eb	0aa44	003f7030	4	0	52		8fcf8000	1	ff
120	0	0	1	0	111	5522	0b3d6	007f8112	3	0	52		7eedff00	8	
121	0	0	1	1	112	59eb	0aa44	00ffbf8c	2	0	52		4073fe00	7	
122	0	0	0	1	112	59eb	0a0b2	01ff7f18	1	0	52	52	80e7fc00	6	
123	1	0	0	0	111	5522	0b3d6	00078bbe	8	0	7f		7441f800	5	
124	1	0	0	1	112	59eb	0aa44	000fd4e4	7	0	7f		2b1bf000	4	
125	1	0	1	1	112	59eb	0a0b2	001fa9c8	6	0	7f		5637e000	3	
126	1	0	1	0	111	5522	0b3d6	003fe11e	5	0	7f		1ee1c000	2	
127	1	0	1	0	109	504f	0bd68	007fc23c	4	0	7f		3dc38000	1	c0
128	1	0	1	0	107	4b85	0da32	00ff8478	3	0	7f		7b87c000	8	
129	1	0	1	1	107	4b85	08ead	00ff8478	3	0	7f		7b87c000	8	
130	0	0	1	0	103	4639	0970a	01ff8f40	2	0	7f		70bf8000	7	
131	0	0	1	1	107	4b85	08c72	03ffc022	1	0	7f		3fdd0000	6	
132	1	0	1	1	109	504f	081da	00078044	8	1	7f		7fba0000	5	
133	0	0	1	0	107	4b85	0a09e	000f639e	7	1	7f		9c5e0000	4	
134	1	0	1	1	109	504f	0970a	001f716e	6	1	7f		8e8a0000	3	
135	0	0	1	0	107	4b85	0a09e	003f7052	5	1	7f		8f9e0000	2	
136	1	0	1	1	109	504f	0970a	007f8ad6	4	1	7f		750a0000	1	00
137	0	0	1	0	107	4b85	0a09e	00ffa322	3	1	7f		5c9e0000	8	
138	0	0	1	1	109	504f	0970a	01fff076	2	1	7f		0f0a0000	7	
139	0	0	1	1	111	5522	08d76	03ffe0ec	1	1	7f		1e140000	6	
140	1	0	1	0	112	59eb	0e150	000f83b0	7	2	7f		78500000	4	
141	1	0	1	1	112	59eb	08765	000f83b0	7	2	7f		78500000	4	
142	0	0	1	0	111	5522	0b3d6	001f6254	6	2	7f		95ac0000	3	
143	1	0	1	1	112	59eb	0aa44	003f8210	5	2	7f		6df00000	2	
144	1	0	1	0	111	5522	0b3d6	007fa4d2	4	2	7f		3b2e0000	1	00
145	0	0	1	0	109	504f	0bd68	00ff49a4	3	2	7f		765c0000	8	
146	0	0	1	1	111	5522	0a09e	01ff6d7a	2	2	7f		12860000	7	
147	0	0	1	1	112	59eb	096f8	03fedaf4	1	2	7f		250c0000	6	
148	0	0	0	0	112	59eb	0f434	000b6bd0	7	3	7f		94300000	4	
149	0	0	0	1	112	59eb	09a49	000b6bd0	7	3	7f		94300000	4	
150	1	0	0	0	111	5522	0b3d6	0017585c	6	3	7f		a7a40000	3	
151	0	0	0	1	112	59eb	0aa44	002f6e20	5	3	7f		91e00000	2	
152	1	0	0	0	111	5522	0b3d6	005f7cf2	4	3	7f		830e0000	1	00
153	1	0	0	1	112	59eb	0aa44	00bfb74c	3	3	7f		48b40000	8	
154	1	0	1	1	112	59eb	0a0b2	017f6e98	2	3	7f		91680000	7	
155	0	0	1	0	111	5522	0b3d6	02ff6abe	1	3	7f	7fffffff	95420000	6	
156	1	0	1	1	112	59eb	0aa44	000792e4	8	0	bf		6d1c0000	5	
157	1	0	1	0	111	5522	0b3d6	000fc67a	7	0	bf		39860000	4	
158	0	0	1	0	109	504f	0bd68	001f8cf4	6	0	bf		730c0000	3	
159	0	0	1	1	111	5522	0a09e	003ff41a	5	0	bf		0be60000	2	
160	0	0	1	1	112	59eb	096f8	007fe834	4	0	bf		17cc0000	1	3f
161	0	0	0	0	112	59eb	0f434	01ffa0d0	2	0	bf		5f307e00	7	
162	0	0	0	1	112	59eb	09a49	01ffa0d0	2	0	bf		5f307e00	7	
163	0	0	0	0	111	5522	0b3d6	03ffc25c	1	0	bf		3da4fc00	6	
164	1	0	0	0	109	504f	0bd68	000784b8	8	1	bf		7b49f800	5	
165	1	0	0	1	111	5522	0a09e	000fe3a2	7	1	bf		1c61f000	4	
166	1	0	0	1	112	59eb	096f8	001fc744	6	1	bf		38c3e000	3	
167	0	0	1	0	112	59eb	0f434	007f1d10	4	1	bf		e30f8000	1	ff
168	1	0	0	0	112	59eb	0b3d6	00ff6eb2	3	1	bf		918dff00	8	

Table 26 – (*continued*)

								ENCODER					DECODER		
EC	PIX	CX	MPS	CE	ST	LSZ hex	A hex	C hex	CT	CS	BUF hex	OUT hex	C hex	CT	IN hex
169	0	0	1	0	112	59eb	0b3d6	01ff913a	2	1	bf		6f45fe00	7	
170	0	0	0	0	112	59eb	0b3d6	03ffd64a	1	1	bf		2ab5fc00	6	
171	0	0	0	0	111	5522	0b3d6	0007ac94	8	2	bf		556bf800	5	
172	1	0	0	0	109	504f	0bd68	000f5928	7	2	bf		aad7f000	4	
173	0	0	0	1	111	5522	0a09e	001f8c82	6	2	bf		7b7de000	3	
174	1	0	0	0	109	504f	0aa44	003faffc	5	2	bf		6003c000	2	
175	1	0	0	1	111	5522	0a09e	008013e2	4	2	bf		0c1d8000	1	2d
176	1	0	0	1	112	59eb	096f8	010027c4	3	2	bf		183b2d00	8	
177	1	0	1	0	112	59eb	0f434	04009f10	1	2	bf		60ecb400	6	
178	1	0	1	1	112	59eb	09a49	04009f10	1	2	bf	c00000	60ecb400	6	
179	1	0	1	0	111	5522	0b3d6	0001bedc	8	0	00		411d6800	5	
180	0	0	1	0	109	504f	0bd68	00037db8	7	0	00		823ad000	4	
181	0	0	1	1	111	5522	0a09e	0007d5a2	6	0	00		2a43a000	3	
182	1	0	1	1	112	59eb	096f8	000fab44	5	0	00		54874000	2	
183	1	0	1	0	111	5522	0b3d6	001fd0a2	4	0	00		2ef48000	1	20
184	1	0	1	0	109	504f	0bd68	003fa144	3	0	00		5de92000	8	
185	0	0	1	0	107	4b85	0da32	007f4288	2	0	00		bbd24000	7	
186	1	0	1	1	109	504f	0970a	00ffa26a	1	0	00	00	5a4a8000	6	
187	1	0	1	0	107	4b85	0a09e	0007d24a	8	0	3f		271f0000	5	
188	1	0	1	0	103	4639	0aa32	000fa494	7	0	3f		4e3e0000	4	
189	0	0	1	0	104	415e	0c7f2	001f4928	6	0	3f		9c7c0000	3	
190	0	0	1	1	103	4639	082bc	003f9f78	5	0	3f		2bd00000	2	82
191	0	0	1	0	107	4b85	0f20c	00fe7de0	3	0	3f		af408200	8	
192	0	0	1	1	109	504f	0970a	01fe48ce	2	0	3f		11730400	7	
193	0	0	1	1	111	5522	08d76	03fc919c	1	0	3f		22e60800	6	
194	0	0	1	0	112	59eb	0e150	00024670	7	1	3f		8b982000	4	
195	0	0	0	0	112	59eb	0b3d6	00059baa	6	1	3f		08664000	3	
196	0	0	0	0	111	5522	0b3d6	000b3754	5	1	3f		10cc8000	2	
197	0	0	0	0	109	504f	0bd68	00166ea8	4	1	3f		21990000	1	91
198	0	0	0	0	107	4b85	0da32	002cdd50	3	1	3f		43329100	8	
199	0	0	0	1	107	4b85	08ead	002cdd50	3	1	3f		43329100	8	
200	0	0	0	0	103	4639	0970a	005a40f0	2	1	3f		00152200	7	
201	0	0	0	0	104	415e	0a1a2	00b481e0	1	1	3f	3fff	002a4400	6	
202	0	0	0	0	99	3c3d	0c088	000103c0	8	0	2d		00548800	5	
203	0	0	0	0	99	3c3d	0844b	000103c0	8	0	2d		00548800	5	
204	0	0	0	0	100	375e	0901c	00020780	7	0	2d		00a91000	4	
205	0	0	0	0	93	32b4	0b17c	00040f00	6	0	2d		01522000	3	
206	0	0	0	0	94	2e17	0fd90	00081e00	5	0	2d		02a44000	2	
207	0	0	0	0	94	2e17	0cf79	00081e00	5	0	2d		02a44000	2	
208	0	0	0	0	94	2e17	0a162	00081e00	5	0	2d		02a44000	2	
209	0	0	0	0	86	299a	0e696	00103c00	4	0	2d		05488000	1	
210	0	0	0	0	86	299a	0bcfc	00103c00	4	0	2d		05488000	1	
211	0	0	0	0	86	299a	09362	00103c00	4	0	2d		05488000	1	00
212	0	0	0	0	87	2516	0d390	00207800	3	0	2d		0a910000	8	
213	0	0	0	0	87	2516	0ae7a	00207800	3	0	2d		0a910000	8	
214	0	0	0	0	87	2516	08964	00207800	3	0	2d		0a910000	8	
215	0	0	0	0	71	1edf	0c89c	0040f000	2	0	2d		15220000	7	
216	0	0	0	0	71	1edf	0a9bd	0040f000	2	0	2d		15220000	7	
217	0	0	0	0	71	1edf	08ade	0040f000	2	0	2d		15220000	7	
218	0	0	0	0	72	1aa9	0d7fe	0081e000	1	0	2d		2a440000	6	
219	0	0	0	0	72	1aa9	0bd55	0081e000	1	0	2d		2a440000	6	
220	0	0	0	0	72	1aa9	0a2ac	0081e000	1	0	2d		2a440000	6	
221	0	0	0	0	72	1aa9	08803	0081e000	1	0	2d	2d	2a440000	6	
222	0	0	0	0	73	174e	0dab4	0003c000	8	0	20		54880000	5	
223	0	0	0	0	73	174e	0c366	0003c000	8	0	20		54880000	5	

Table 26 – (*concluded*)

								ENCODER					DECODER		
EC	PIX	CX	MPS	CE	ST	LSZ hex	A hex	C hex	CT	CS	BUF hex	OUT hex	C hex	CT	IN hex
224	0	0	0	0	73	174e	0ac18	0003c000	8	0	20		54880000	5	
225	0	0	0	0	73	174e	094ca	0003c000	8	0	20		54880000	5	
226	0	0	0	0	74	1424	0faf8	00078000	7	0	20		a9100000	4	
227	0	0	0	0	74	1424	0e6d4	00078000	7	0	20		a9100000	4	
228	0	0	0	0	74	1424	0d2b0	00078000	7	0	20		a9100000	4	
229	0	0	0	0	74	1424	0be8c	00078000	7	0	20		a9100000	4	
230	1	0	0	0	74	1424	0aa68	00078000	7	0	20		a9100000	4	
231	1	0	0	0	72	1aa9	0a120	0040b220	4	0	20		96600000	1	00
232	0	0	0	0	87	2516	0d548	0209c4b8	1	0	20		7f480000	6	
233	0	0	0	0	87	2516	0b032	0209c4b8	1	0	20		7f480000	6	
234	1	0	0	0	87	2516	08b1c	0209c4b8	1	0	20	20	7f480000	6	
235	0	0	0	0	86	299a	09458	0008aaf8	7	0	82		65080000	4	
236	1	0	0	0	87	2516	0d57c	001155f0	6	0	82		ca100000	3	
237	0	0	0	0	86	299a	09458	00481958	4	0	82		66a80000	1	00
238	1	0	0	0	87	2516	0d57c	009032b0	3	0	82		cd500000	8	
239	1	0	0	0	86	299a	09458	02438c58	1	0	82	82	73a80000	6	
240	0	0	0	0	93	32b4	0a668	000fdc58	7	0	90		23a80000	4	
241	0	0	0	0	94	2e17	0e768	001fb8b0	6	0	90		47500000	3	
242	0	0	0	0	94	2e17	0b951	001fb8b0	6	0	90		47500000	3	
243	0	0	0	0	94	2e17	08b3a	001fb8b0	6	0	90		47500000	3	
244	0	0	0	0	86	299a	0ba46	003f7160	5	0	90		8ea00000	2	
245	1	0	0	0	86	299a	090ac	003f7160	5	0	90		8ea00000	2	00
246	1	0	0	0	93	32b4	0a668	00ff61c8	3	0	90		9e380000	8	
247	1	0	0	0	99	3c3d	0cad0	03ff55f0	1	0	90		aa100000	6	
248	0	0	0	0	104	415e	0f0f4	000f920c	7	1	90		6df40000	4	
249	0	0	0	0	104	415e	0af96	000f920c	7	1	90		6df40000	4	
250	1	0	0	0	99	3c3d	0dc70	001f2418	6	1	90		dbe80000	3	
251	1	0	0	0	104	415e	0f0f4	007f112c	4	1	90		eed40000	1	00
252	0	0	0	1	103	4639	082bc	00ff8184	3	1	90		7e7c0000	8	
253	1	0	0	0	104	415e	08c72	01ff7c0e	2	1	90		83f20000	7	
254	0	0	0	1	103	4639	082bc	03ff8e44	1	1	90		71bc0000	6	
255	1	0	0	0	104	415e	08c72	0007958e	8	2	90		6a720000	5	
256	0	0	0	1	103	4639	082bc	000fc144	7	2	90		3ebc0000	4	
257							08c72	08000000	6	2	90	91			

7.2 Parameterized algorithm

This normative subclause describes test methods for the algorithm described in earlier clauses of this Specification. There are many possible parameterizations, and this subclause will document ways to test the accuracy of some parameterizations thought to be helpful in debugging implementations. If an encoder implementation claims to support a parameterization broader than or as broad as any configuration for which test data is supplied in this subclause, then that implementation must generate exactly the byte counts shown for those test data. If a decoder implementation claims to support a parameterization broader than or as broad as any configuration for which test data is supplied in this subclause, then that implementation must decode those test data (generated by an encoder implementation which satisfies the encoder implementation requirements outlined above) and exactly generate the artificial image described in 7.2.1. An encoder supporting AT but not using the suggested algorithm of Annex C to determine AT pixel movement shall artificially force AT movements identical to those to be described here. Also, an encoder not choosing to remove all possible 0x00 bytes from the end of all **SDE** will need to temporarily postprocess to do so in order to duplicate the byte counts given.

7.2.1 Artificial image

The various tests of the full algorithm use an artificially generated image. This image is generated by the flow chart in Figure 38. It has 1960 pixels/line and 1951 lines and contains 861965 foreground pixels and 2961995 background pixels.

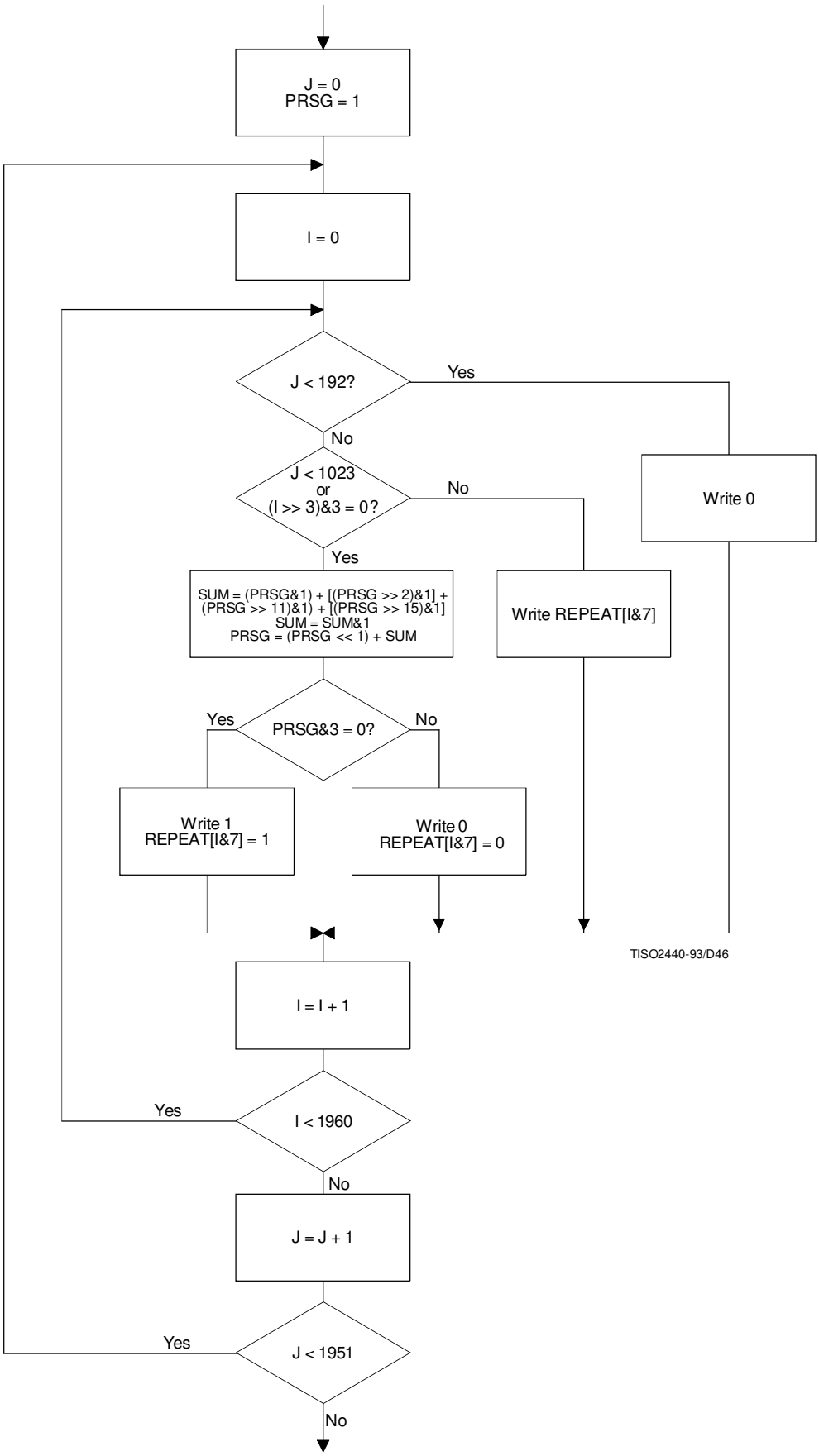

Figure 38 – Procedure for generating testing image

This image has been constructed so as to exercise as many features as possible. It is in no sense a typical image and compression results with it are not representative.

7.2.2 Single-progression sequential tests

For all three tests of this subclause, $D_L = 0$, $D = 0$, P = 1, $X_0 = 1960$, $Y_0 = 1951$, and $M_Y = 0$. The values of **HITOLO**, **SEQ**, **ILEAVE**, **SMID**, **VLENGTH**, **TPDON**, **DPON**, **DPPRIV**, **DPLAST** are immaterial. The four remaining parameters, L_0 , M_X , **LRLTWO**, and **TPBON** vary as shown in Table 27. The remaining columns of this table provide trace data when the input image is the artificial image described in the previous subclause. For each of the first two tests there is just one **SCD** and the indicated byte count is its size. The final test, having $L_0 = 128$, produces 16 **SCD** and the indicated byte count is the sum of their sizes. In all cases all possible trailing 0x00 bytes are removed from the end of each **SCD** (see 6.8.2.10 and Figure 28).

Table 27 – Trace parameters for tests of single-progression sequential coding

TPBON	M_X	**LRLTWO**	L_0	TP pixels	Encoded pixels	Coded bytes
0	0	0	1951	0	3 823 960	316 094
0	0	1	1951	0	3 823 960	315 887
1	8	0	128	376 320	3 447 640	252 557

In the first two tests, AT is effectively disabled by having M_X set equal to zero. The final test turns on AT as well as lowest-resolution-layer TP. AT was implemented as described in Annex C and the suggestion to defer any AT switches until the beginning of the next stripe was followed. The data in the last line of Table 28 were obtained by using **SDNORM**, which means that the probability estimator is not re-initialized. Table 29 provides information helpful in debugging AT. The first two columns give the stripe and line at which the switch becomes effective (both line and stripe numbering starts with zero), and the third column gives the lag τ_X for the new AT pixel location. The final 8 columns give the values of the counters described in Annex C when the AT movement is triggered.

Table 28 – AT change information for third test of single-progression sequential coding

Stripe	Line	τ_X	C_{all}	C_0	C_3	C_4	C_5	C_6	C_7	C_8
9	0	8	3900	2336	2456	2472	2446	2442	2730	3534

Table 29 – Byte counts for tests of single-progession sequential conding

TPBON	M_X	**LRLTWO**	L_0	**SCD**	**PSCD**	**SDE**	**BID**	**BIE**
0	0	0	1951	316 094	317 362	317 364	317 364	317 384
0	0	1	1951	315 887	317 110	317 112	317 112	317 132
1	8	0	128	252 557	253 593	253 625	253 633	253 653

Further data for these three tests is provided by Table 30. The entry in the **SCD** column duplicates the final column of Table 27. The protected stripe coded data (**PSCD**) is obtained from the stripe coded data (**SCD**) by replacing each byte aligned 0xff with 0xff (**ESC**) and 0x00 (**STUFF**). The stripe data entity (**SDE**) is obtained from the **PSCD** by appending an 0xff (**ESC**) and 0x02 (**SDNORM**) byte at the end of each **PSCD**. The binary image data has the same number of bytes in it as the **SDE** except for the final test where it is eight bytes larger because of the AT movement marker segment. Finally, the **BIE** is 20 bytes larger (the header size) than the **BID**.

Table 30 – Trace parameters for the enconding of artificial image

Layer	X_d	Y_d	L_d	TP lines	TP exceptions	TP pixels	DP pixels	Encoded pixels	Coded bytes
6	1960	1951	128	137	7033	375 520	589 344	2 859 096	188 817
5	980	976	64	186	1442	93 120	128 642	734 718	65 584
4	490	488	32	181	135	22 792	30 230	186 098	16 565
3	245	244	16	117	8	5406	7246	47 128	4994
2	123	122	8	61	0	1238	1769	11 999	1430
1	62	61	4	31	0	248	452	3082	370
0	31	31	2	3	–	93	–	868	113

7.2.3 Progressive and progressive-compatible sequential test

For the test of this subclause, the input image is again the artificial image, but this time the parameters are set as follows (see Table 9): $D_L = 0$, $D = 6$, $P = 1$, $X_6 = 1960$, $Y_6 = 1951$, $L_0 = 2$, $M_X = 8$, $M_Y = 0$, **HITOLO** = 0 (immaterial), **SEQ** = 0 (immaterial), **ILEAVE** = 0 (immaterial), **SMID** = 0 (immaterial), **LRLTWO** = 0, **VLENGTH** = 0 (immaterial), **TPDON** = 1, **TPBON** = 1, **DPPRIV** = 0, and **DPLAST** = 0 (immaterial). Although the above parameterization is a progressive-coding parameterization, the value of **SEQ** is immaterial and identical byte counts are generated under progressive-compatible sequential coding. Hence, the test data here pertain to both modes. AT is again implemented as suggested in Annex C with any switches found to be desirable deferred until the beginning of the next stripe.

Table 31 provides trace data for coding the artificial image with the above parameters. The coded byte count shown in the last column is the sum of the number of bytes from all 16 **SCD** of that layer. As before all possible 0x00 bytes are removed.

There are two adaptive template switches, one in layer 6 and one in layer 5. Data pertinent to these two switches is provided in Table 31.

Table 31 – AT change information

Layer	Stripe	Line	τ_X	C_{all}	C_0	C_3	C_4	C_5	C_6	C_7	C_8
6	9	0	8	3243	1984	2014	2055	2031	2001	2212	2924
5	10	0	4	2580	1323	1401	2259	1440	1447	1426	1966

Per-layer byte counts for all 16 **PSCD** and **SDE** are shown in Table 32, as well as the total number of bytes in the **BID** and **BIE** (see 6.2). The protected stripe coded data (**PSCD**) is obtained from the stripe coded data (**SCD**) by replacing each byte aligned 0xff with 0xff (**ESC**) and 0x00 (**STUFF**). The stripe data entity (**SDE**) is obtained from the **PSCD** by appending an 0xff (**ESC**) byte and an 0x02 (**SDNORM**) byte at the end of each **PSCD**. Finally, the binary image data field **BID** is obtained by concatenating all 112 **SDE**s (7 layers with 16 stripes for each layer) and the two **ATMOVE** marker segments, and the **BIE** is obtained by appending the **BID** to the twenty byte **BIH**.

Table 32 – Byte counts for the artificial image

Layer	**SCD**	**PSCD**	**SDE**	**BID**	**BIE**
6	188 817	189 584	189 616		
5	65 584	65 905	65 937		
4	16 565	16 634	16 666		
3	4994	5010	5042		
2	1430	1434	1466		
1	370	373	405		
0	113	114	146		
Total	277 873	279 054	279 278	279 294	279 314

7.3 Datastream examples

A sample **BIE** for single-progression sequential coding of a binary image 1728 pixels wide and 2376 pixels tall with one stripe for the entire image and all binary parameters set to zero is (in hexadecimal):

```
|0x00|0x01|0x01|0x00|0x00 0x00 0x06 0xc0|0x00 0x00 0x09 0x48|
|0x00 0x00 0x09 0x48|0x00|0x00|0x00|0x00|
|       PSCD for entire image |0xff|0x02|
```

(The vertical bars show logical groupings, but otherwise are no different from a simple space.)

A sample **BIE** for a progressive-compatible sequential encoding of a binary image 1728 pixels wide and 2376 pixels tall with 1 differential layer, 64 lines per stripe in the reduced image, and all binary parameters except **SEQ** set to zero is (in hexadecimal):

```
|0x00|0x01|0x01|0x00|0x00 0x00 0x06 0xc0|0x00 0x00 0x09 0x48|
|0x00 0x00 0x00 0x40|0x00|0x00|0x04|0x00|
| PSCD for stripe  0 and layer 0 (base  image lines    0 to   63)|0xff|0x02|
| PSCD for stripe  0 and layer 1 (diff. image lines    0 to  127)|0xff|0x02|
| PSCD for stripe  1 and layer 0 (base  image lines   64 to  127)|0xff|0x02|
| PSCD for stripe  1 and layer 1 (diff. image lines  128 to  255)|0xff|0x02|
                                        .
                                        .
                                        .
| PSCD for stripe 17 and layer 0 (base  image lines 1088 to 1151)|0xff|0x02|
| PSCD for stripe 17 and layer 1 (diff. image lines 2176 to 2303)|0xff|0x02|
| PSCD for stripe 18 and layer 0 (base  image lines 1152 to 1187)|0xff|0x02|
| PSCD for stripe 18 and layer 1 (diff. image lines 2304 to 2375)|0xff|0x02|
```

The parenthetical comments indicate which lines of the base and differential images are encoded in which stripe.

A sample **BIE** for a progressive encoding of a binary image 1728 pixels wide and 2376 pixels tall with 1 differential layer, 64 lines per stripe in the reduced image, and all binary parameters set to zero is (in hexadecimal):

```
|0x00|0x01|0x01|0x00|0x00 0x00 0x06 0xc0|0x00 0x00 0x09 0x48|
|0x00 0x00 0x00 0x40|0x00|0x00|0x00|0x00|
| PSCD for stripe  0 and layer 0 (base  image lines   0 to   63)|0xff|0x02|
| PSCD for stripe  1 and layer 0 (base  image lines  64 to  127)|0xff|0x02|
                                        .
                                        .
                                        .
| PSCD for stripe 17 and layer 0 (base  image lines 1088 to 1151)|0xff|0x02|
| PSCD for stripe 18 and layer 0 (base  image lines 1152 to 1187)|0xff|0x02|
| PSCD for stripe  0 and layer 1 (diff. image lines    0 to  127)|0xff|0x02|
| PSCD for stripe  1 and layer 1 (diff. image lines  128 to  255)|0xff|0x02|
                                        .
                                        .
                                        .
| PSCD for stripe 17 and layer 1 (diff. image lines 2176 to 2303)|0xff|0x02|
| PSCD for stripe 18 and layer 1 (diff. image lines 2304 to 2375)|0xff|0x02|
```

Annex A
Suggested minimum support for free parameters

(This annex does not form an integral part of this Recommendation | International Standard)

Applications may set any of the 19 free parameters to any values within the ranges specified in Table 9. The suggestions on minimum support contained in this annex are being given so that it might be possible for a broad range of applications to share hardware and exchange decodable image data. Unless absolutely necessary, applications are encouraged to not choose parameter values outside the suggested support ranges.

Table A.1 lists suggested minimum ranges of decoder support. Hardware and software intended for general use with a variety of different output devices should be such that, if provisioned with sufficient external memory, support is available for parameter choices within the indicated ranges. A complete decoder that includes a specific output device should provide support over these same ranges, but with the obvious exceptions that there need be no support for

– image dimensions X_D and Y_D beyond the capability of the particular output device that is available;

– values of P beyond the capability of the particular output device that is available; and

– more than one stripe order as defined by **SEQ**, **ILEAVE**, and **SMID**.

NOTE – In single-progression sequential applications the maximum support for D is zero, the maximum support for L_0 is Y_D, and no support is required for the parameters **HITOLO**, **SEQ**, **TPDON**, **DPON**, **DPPRIV**, and **DPLAST**.

Table A.1 – Suggested minimum support for free parameters

Parameter	Minimum	Maximum
D_L	0	D
D	D_L	6
P	1	4
X_D	1	5184
Y_D	1	8192
L_0	1	$128/2^D$, for $D > 0$ Y_D, for $D = 0$
M_X	0	8, for encoders 16, for decoders
M_Y	0	0
HITOLO	0	0
SEQ	0	1
ILEAVE	0	1
SMID	0	1
LRLTWO	0	1
VLENGTH	0	1
TPDON	0	1
TPBON	0	1
DPON	0	1
DPPRIV	0	1
DPLAST	0	1

Annex B
Design of the resolution reduction table

(This annex does not form an integral part of this Recommendation | International Standard)

B.1 Filtering

The underlying principle in the reduction algorithm is the preservation of density via the use of filtering (a difference equation). However, it is necessary to occasionally override the output of the filter in the interests of preserving edges, preserving lines, preserving periodic patterns, and preserving dither patterns. Such overrides of the general rule are termed "exceptions".

Figure B.1 is a repeat of Figure 4 but with changes in the names for the various pixels. The color of the target pixel "?" is decided using not only the four corresponding high-resolution pixels, h_{22}, h_{23}, h_{32}, h_{33}, but also the periphery pixels h_{11}, h_{12}, h_{13}, h_{21}, h_{31} and the already committed low-resolution pixels l_{00}, l_{01}, l_{10}. If the value of l_{00} is not equal to the value of h_{11}, their difference in value affects the current decision for the value of l_{11}. Similarly, differences in value between l_{10} and h_{21}, between l_{10} and h_{31}, between l_{01} and h_{12}, and between l_{01} and h_{13} also affect the decision for the current value. Specifically, the quantity

$$4h_{22} + 2(h_{23} + h_{32}) + h_{33} + (h_{11} - l_{00}) + 2(h_{21} - l_{10}) + (h_{31} - l_{10}) + 2(h_{12} - l_{01}) + (h_{13} - l_{01}) \qquad \text{(B-1)}$$

or, equivalently,

$$4h_{22} + 2(h_{12} + h_{21} + h_{23} + h_{32}) + (h_{11} + h_{13} + h_{31} + h_{33}) - 3(l_{01} + l_{10}) - l_{00} \qquad \text{(B-2)}$$

is formed.

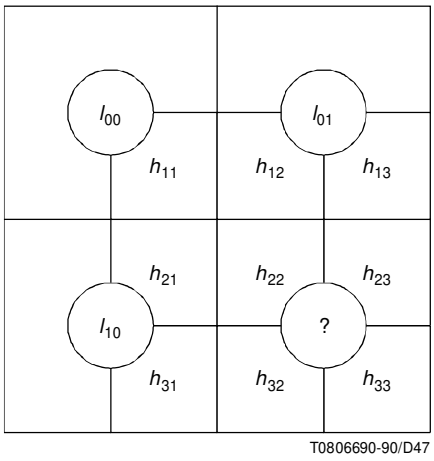

Figure B.1 – Pixels used to determine the color of a low-resolution pixel

Figure B.2 shows graphically the weightings in the latter form of the expression.

Assuming foreground and background are equally likely and that pixels are statistically independent, the expected value of expression B.2 is 4,5. Pixel l_{11} is tentatively decided to be 1 if and only if expression B.2 is greater than 4,5. (It must be integer.)

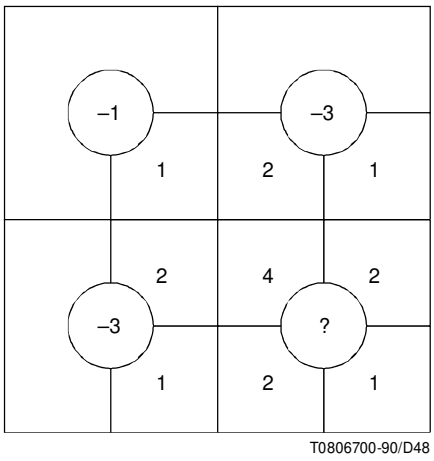

Figure B.2 – Pixels weightings

B.2 Exceptions

B.2.1 Edge preservation

Preserving edges is the most basic of the motivations for introducing exceptions. The defined edge exceptions help to make edges of continuous color regions straight rather than zig-zag no matter whether the edge occurs on an even or odd high-resolution line or an even or odd high-resolution column. The contexts for the 132 edge exceptions are listed below in hexadecimal. Pixel colors are mapped to these integer contexts via the bit-significance map of Figure 4. For all 132 of the listed contexts the actual color chosen is reversed from that given by the general rule.

0x007 0x207 0x407 0x607 0x807 0xa07 0xc07 0xe07 0x20f 0x40f 0x60f 0xa0f

0xc0f 0xe0f 0x617 0xa17 0xc17 0xe17 0x61f 0xe1f 0x227 0x427 0x627 0xa27

0xc27 0xe27 0x62f 0xa2f 0xc2f 0xe2f 0x637 0xe37 0x247 0x447 0x647 0x847

0xa47 0xc47 0xe47 0x049 0x249 0x449 0x649 0x849 0xa49 0xc49 0xe49 0x24b

0x44b 0x64b 0xa4b 0xc4b 0xe4b 0x24d 0x44d 0x64d 0x84d 0xa4d 0xc4d 0xe4d

0x659 0xa59 0xc59 0xe59 0x65b 0xe5b 0x269 0x469 0x669 0xa69 0xc69 0xe69

0x287 0x487 0x687 0xa87 0xc87 0xe87 0x8b6 0xab6 0x2c9 0x4c9 0x6c9 0xac9

0xcc9 0xec9 0x6cb 0xacb 0xccb 0xecb 0x6d9 0xed9 0x8f8 0xcf8 0x307 0x507

0x707 0x907 0xb07 0xd07 0xf07 0x334 0x336 0xb36 0x349 0x549 0x749 0x949

0xb49 0xd49 0xf49 0x578 0xd78 0x396 0xb96 0x3a6 0xbb2 0x3b4 0xbb4 0x3b6

0xbb6 0xfb6 0xdb8 0x5d0 0x5d8 0xdd8 0x5e8 0x5f0 0xdf0 0x5f8 0xdf8 0xff8

B.2.2 Line preservation

Vertical and horizontal lines are preserved by the general rule and by the edge exceptions. The defined line exceptions help preserve linkage along slanted lines. The line exceptions are important for quality on text images. The 420 line exceptions are listed below:

0x003 0x009 0x00a 0x022 0x088 0x0a6 0x0e8 0x0ee 0x116 0x124 0x158 0x168

0x170 0x186 0x194 0x1a2 0x1a8 0x1c0 0x20a 0x20e 0x215 0x21c 0x21d 0x223

0x22a 0x22e 0x231 0x235 0x236 0x24a 0x24f 0x252 0x254 0x270 0x277 0x289

0x291 0x294 0x2a4 0x2a8 0x2dd 0x2e0 0x2ed 0x2ee 0x311 0x312 0x318 0x322

0x323 0x327 0x350 0x35b 0x35f 0x36b 0x36e 0x376 0x377 0x388 0x394 0x3ab

0x3ad 0x3c0 0x3c1 0x3c2 0x3c4 0x3c8 0x3c9 0x3dd 0x3e0 0x3f5 0x40a 0x40e

0x41c 0x423 0x431 0x44a 0x44f 0x451 0x452 0x453 0x454 0x470 0x477 0x489

0x48a 0x491 0x494 0x4a2 0x4a4 0x4ca 0x4d1 0x4d8 0x4dd 0x4e0 0x4e7 0x4ee

0x511 0x512 0x514 0x518 0x51f 0x522 0x524 0x525 0x526 0x527 0x52c 0x55f

0x564 0x570 0x577 0x588 0x589 0x58f 0x5a4 0x5ab 0x5c9 0x5ce 0x5dc 0x5e3

0x5f5 0x60e 0x61c 0x623 0x631 0x64a 0x64f 0x652 0x657 0x65d 0x66b 0x66f

0x670 0x677 0x678 0x679 0x689 0x68f 0x691 0x694 0x696 0x697 0x6a7 0x6b0

0x6b1 0x6b2 0x6b4 0x6b8 0x6cf 0x6dd 0x6e9 0x6f0 0x711 0x712 0x717 0x718

0x722 0x725 0x726 0x727 0x72c 0x72f 0x733 0x734 0x735 0x736 0x737 0x738

0x73c 0x757 0x759 0x75d 0x75f 0x764 0x776 0x777 0x788 0x792 0x799 0x7a6

0x7a7 0x7b4 0x7c1 0x7c2 0x7c4 0x7c8 0x7c9 0x7cb 0x7d0 0x7d1 0x7d2 0x7d8

0x7d9 0x7dc 0x7dd 0x7e8 0x7e9 0x7f0 0x7f5 0x80e 0x822 0x823 0x826 0x830

0x84a 0x866 0x86d 0x888 0x889 0x890 0x8a4 0x8c7 0x8c8 0x8cc 0x8e0 0x8ee

0x90f 0x916 0x922 0x924 0x925 0x947 0x94b 0x94d 0x94f 0x958 0x964 0x968

0x969 0x970 0x986 0x987 0x988 0x994 0x9b0 0x9c0 0x9c1 0x9c4 0xa0a 0xa0e

0xa1c 0xa23 0xa2a 0xa2e 0xa31 0xa32 0xa35 0xa4a 0xa4f 0xa52 0xa54 0xa56

0xa70 0xa74 0xa77 0xa88 0xa89 0xa8f 0xa91 0xa94 0xaa4 0xaa7 0xadd 0xae0

0xae2 0xae4 0xae8 0xaed 0xaee 0xb11 0xb12 0xb13 0xb18 0xb22 0xb27 0xb2e

0xb31 0xb5b 0xb6b 0xb6e 0xb76 0xb88 0xb89 0xb91 0xba8 0xbab 0xbac 0xbad

0xbb0 0xbb5 0xbc0 0xbc1 0xbc2 0xbc4 0xbc8 0xbc9 0xbd0 0xbdd 0xbe0 0xbe2

0xbe4 0xbe8 0xbf5 0xc0a 0xc0e 0xc1c 0xc22 0xc23 0xc31 0xc4a 0xc4f 0xc52

0xc54 0xc5c 0xc6b 0xc70 0xc77 0xc88 0xc89 0xc8a 0xc91 0xc94 0xc98 0xca4

0xca6 0xcac 0xcca 0xcd1 0xcd4 0xcdd 0xce0 0xce4 0xce7 0xce9 0xcee 0xd11

0xd12 0xd18 0xd19 0xd1f 0xd22 0xd23 0xd24 0xd25 0xd26 0xd27 0xd2c 0xd31

0xd34 0xd64 0xd77 0xd88 0xd8f 0xd91 0xda2 0xda4 0xda6 0xdab 0xdac 0xdb0

0xdc9 0xdca 0xdce 0xddc 0xde2 0xde3 0xde4 0xdf1 0xdf5 0xe0e 0xe1c 0xe23

0xe31 0xe4a 0xe4f 0xe52 0xe57 0xe5d 0xe5f 0xe6b 0xe6f 0xe70 0xe79 0xe89

0xe8f 0xe91 0xe94 0xe97 0xea4 0xea7 0xecf 0xee0 0xee9 0xeef 0xf11 0xf12

0xf17 0xf18 0xf22 0xf27 0xf2f 0xf37 0xf59 0xf5f 0xf77 0xf88 0xfa7 0xfaf

0xfb1 0xfc9 0xfcb 0xfd9 0xfdd 0xfe7 0xfe9 0xfeb 0xfed 0xff5 0xff6 0xffc

B.2.3 Periodic pattern preservation

Most periodic patterns are preserved by the general rule. Some exceptions are needed, however, for better performance in regions of transition to and from periodic patterns. The 10 periodic-pattern exceptions are listed below:

0x638 0xa38 0x692 0xc92 0xaaa 0xcaa 0xb55 0xd55 0x36d 0x5c7

B.2.4 Dither pattern preservation

The 12 dither-pattern exceptions help preserve very low density or very high density dithering, i.e. isolated background or foreground pixels. They are listed below:

0x010 0x028 0x082 0x085 0xeba 0xebd 0x142 0x145 0xf7a 0xf7d 0xfd7 0xfef

Annex C
Adaptive template changes

(This annex does not form an integral part of this Recommendation | International Standard)

C.1 General

The technique described in this annex is computationally simple and makes good determinations of when an AT pixel change is desirable. It will provide substantial coding gain, sometimes as much as a 80%, on images containing halftoning.

The description assumes $M_Y = 0$, that is, that only on-line movement of the AT pixel is allowed. (The suggested minimum support is only for this situation.) A generalization for $M_Y \neq 0$ is obvious, but the resulting algorithm has not been tested and with M_Y large the required encoder processing is substantial.

The algorithm checks at the beginning of each stripe to see which of the AT pixels has the greatest predictive value for the target pixel. Whenever an AT pixel not currently configured into the template is found to have much greater predictive value than the one that is, a switch might be desirable. It is essential though that any such template switches only be made infrequently and for strong reason. Whenever a template switch is made, the probability estimates maintained by the arithmetic coder become poor until sufficient time passes for readaptation to occur.

C.2 Differential layers

Figures C.1 and C.2 provide a flow diagram for AT processing in a differential layer. An array of counters is used to measure predictive value. Counter c_n, $n = 0$ or $3 \leq n \leq M_X$, counts the number of polarity coincidences between the target pixel and candidate AT pixel n. Candidate AT pixel 0 is the default AT pixel. Candidate pixels n, $3 \leq n \leq M_X$ are pixels at $\tau_X = n$ and $\tau_Y = 0$. A polarity coincidence is said to have occurred whenever both pixels are background or both are foreground.

The flow diagram of Figures C.1 and C.2 is executed once at the beginning of each stripe. The counters are reset to zero and coincidences are counted until at the end of some line, the maximum possible count is greater than or equal to 2048. When this count is reached, a nest of conditions is checked and if all of them are satisfied a template switch is made. Otherwise, the template is left as it was for at least the remainder of the stripe.

Only checking once per stripe for a possible template switch is a reasonable way to save computation if the number of stripes per image is not appreciably smaller than the suggested number, 35. If there are just a few stripes per image, or, especially, if there is only one stripe per image, continual or periodic checking within each stripe might be needed.

At very low resolutions there may not be 2048 pixels in a stripe. In this case, no AT template changes will be made with this algorithm. Such behavior is probably desirable. With too few pixels the data is noisy and can not provide reliable guidance on where to move the AT pixel.

If it has been determined that an AT switch is desirable, there are two reasonable choices for when to make it effective. One choice is to make the switch effective at the start of the next line. This approach provides the greater coding gain, but requires either that AT processing be done in a prepass or that the **SCD** for a given stripe be buffered by an encoder so as to be able to precede it by an **ATMOVE** marker segment if an AT switch is found desirable while coding that stripe. Alternatively, at a very slight loss in coding efficiency (assuming again a reasonable number of stripes per image), the switch can be made effective at the beginning ($y_{AT} = 0$) of the next stripe. In this case, the AT marker segment need not appear until the beginning of that stripe and pipelining the encoder is again possible.

C.3 Lowest resolution layer

AT processing in the lowest resolution layer can be done almost identically to that described in the subclause above for differential layers. There are only three changes that need to be made to Figure C.1. First, remove the condition **DPVALUE** = 2 from the block in the middle of Figure C.1. Second, replace the condition $x \geq M_X$ with $M_X \leq x < X_d - 2$. Lastly, appropriately change the set over which the counter index n varies.

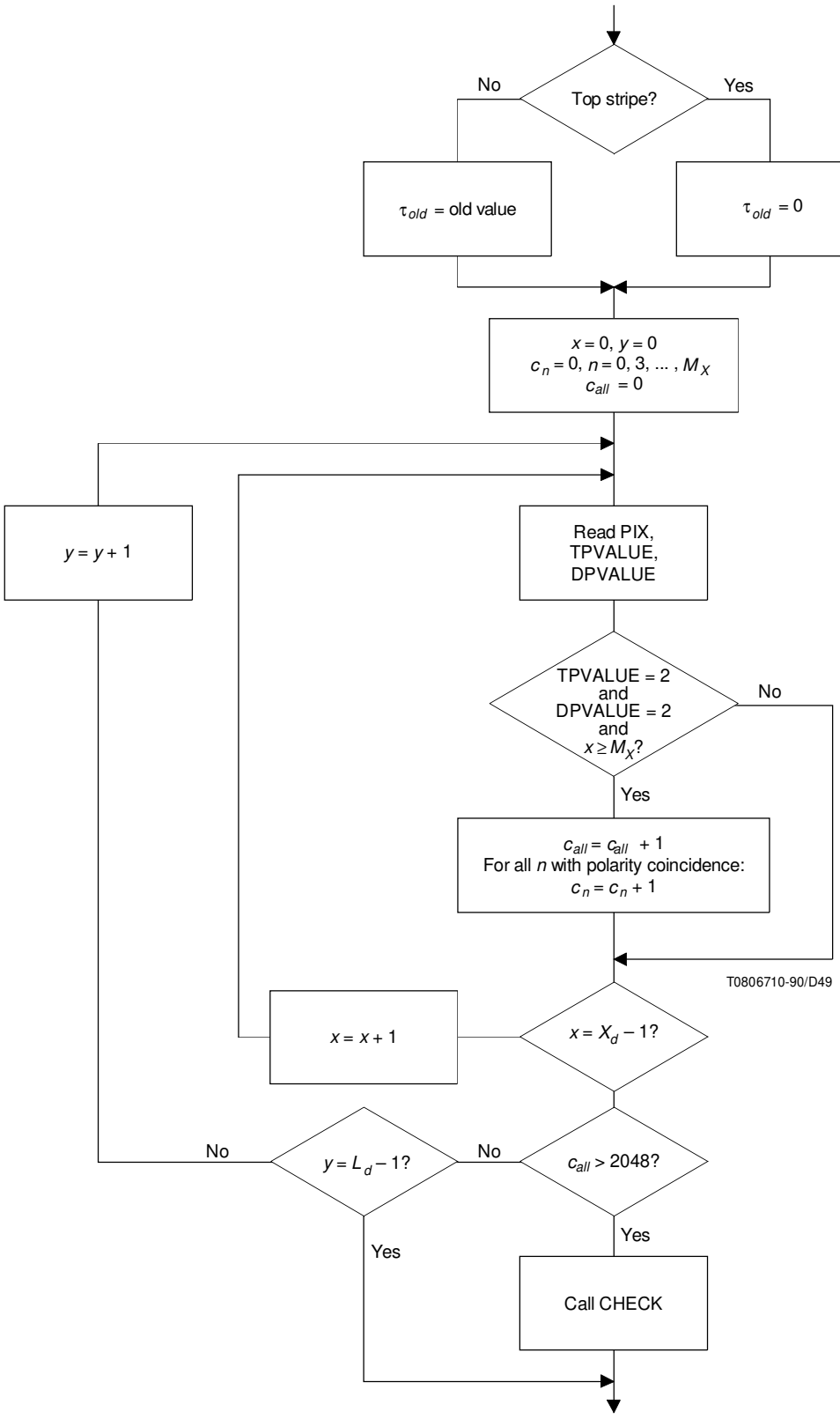

Figure C.1 – Flow diagram for differential-layer AT

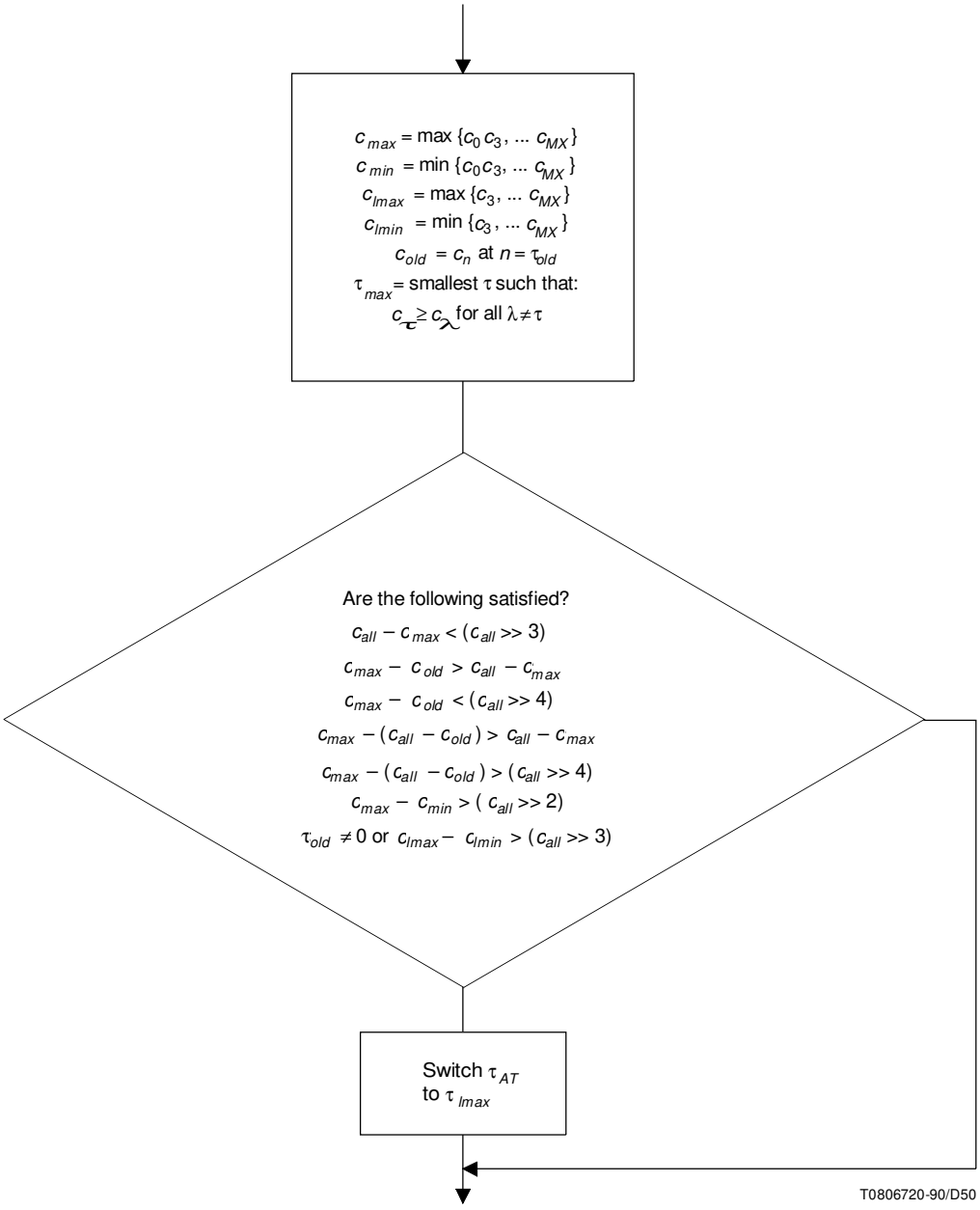

Figure C.2 – Flow diagram for the procedure CHECK

Annex D
Design of the probability-estimation table

(This annex does not form an integral part of this Recommendation | International Standard)

D.1 Bayesian estimation

Let $x_0, x_1, \ldots$ be a sequence of independent, identically distributed, binary random variables taking on the values 1 and 0 with probabilities p_1 and $p_0 = (1 - p_1)$. Let $n_1\,(k)$ denote the number of ones in the sequence $x_0, x_1, \ldots, x_{k-1}$ and let $n_0\,(k)$ denote the number of zeroes in that same sequence. (Hence $n_0\,(k) + n_1\,(k) = k$.) If p_1 is itself a random variable with a uniform distribution on [0,1], then the Bayesian estimate $\hat{p}_1(k)$ of p_1 given an observation $x_0, x_1, \ldots, x_{k-1}$ is given by

$$\hat{p}_1\,(k) = \frac{n_1\,(k) + 1}{n_1\,(k) + 1 + n_0\,(k) + 1} \tag{D-1}$$

The estimate

$$\hat{p}_1\,(k) = \frac{n_1\,(k) + \delta}{n_1\,(k) + \delta + n_0\,(k) + \delta} \tag{D-2}$$

with $\delta \in (0,1)$ is also a Bayesian estimate. However, the particular *a priori* distribution for which it is Bayesian is one that makes values of p_1 near 0 and 1 more likely than values near ½. The smaller the value of δ is, the more skewed toward 0 and 1 this probability density is.

D.2 Multiple contexts

The arithmetic coder of this Specification operates in an environment of multiple contexts. In coding **PIX** (k) it is supplied with a context **CX** (k). A Bayesian estimate of the probability $p_1\,(k)$ that **PIX** (k) will be 1 is provided by

$$\hat{p}_1\,(k) = \frac{n_{1,\mathrm{cx}}\,(k) + \delta}{n_{1,\mathrm{cx}}\,(k) + \delta + n_{0,\mathrm{cx}}\,(k) + \delta} \tag{D-3}$$

where δ is again a free parameter in (0,1), $n_{1,\mathbf{CX}}\,(k)$ denotes the number of times **PIX** (i), $i \in [0,k-1]$, has been 1 in the context **CX(k)**, and $n_{0,\mathbf{CX}}\,(k)$ is similarly defined for 0.

For ease of presentation in the remainder of this annex, a single context environment will be assumed, but all the concepts and formulas to be developed are trivially generalizable for multiple contexts in the same way equation (D-3) generalizes equation (D-2).

D.3 MPS/LPS parameterization

It is convenient to reparameterize equation (D-2). Let **MPS** (k) equal 1 or 0 as there are respectively more ones or zeros in the sequence $x_0, x_1, \ldots, x_{k-1}$. (If there are an equal number, **MPS** (k) can be defined as either 0 or 1.) Then

$$\hat{p}_{\mathbf{1}}\,(k) = \begin{cases} \hat{p}_{LPS}\,(k), & \text{if } \mathbf{MPS}(k) = \mathbf{0} \\ \mathbf{1} - \hat{p}_{LPS}\,(k), & \text{if } \mathbf{MPS}(k) = \mathbf{1} \end{cases} \tag{D-4}$$

where

$$\hat{p}_{LPS}\,(k) = \frac{n_{LPS}\,(k) + \delta}{n_{MPS}\,(k) + \delta + n_{LPS}\,(k) + \delta} \tag{D-5}$$

and $n_{LPS}\,(k)$ and $n_{MPS}\,(k)$ are counts for, respectively, the less probable and more probable symbols in $x_0, x_1, \ldots, x_{k-1}$.

Iterations for $n_{LPS}(k)$, $n_{MPS}(k)$, and **MPS** (k) are provided by

$$n_{LPS}(k+1) = \begin{cases} n_{LPS}(k) + 1, & \text{if } x_k \neq \textbf{MPS}(k) \text{ and } n_{LPS}(k) \neq n_{MPS}(k) \\ n_{LPS}(k), & \text{otherwise} \end{cases} \quad \text{(D-6)}$$

$$n_{MPS}(k+1) = \begin{cases} n_{MPS}(k) + 1, & \text{if } x_k = \textbf{MPS}(k) \text{ or } n_{LPS}(k) = n_{MPS}(k) \\ n_{MPS}(k), & \text{otherwise} \end{cases} \quad \text{(D-7)}$$

and

$$\textbf{MPS}(k+1) = \begin{cases} 1 - \textbf{MPS}(k), & \text{if } x_k \neq \textbf{MPS}(k) \text{ and } n_{LPS}(k) = n_{MPS}(k) \\ \textbf{MPS}(k), & \text{otherwise} \end{cases} \quad \text{(D-8)}$$

D.4 Rapid tracking

The estimate of p_{LPS} given by (D-5) is a Bayesian estimate and an excellent estimate if as assumed in its derivation the sequence $x_0, x_1, \ldots$ is stationary. However, in the application of arithmetic coding in this Specification, the input might not be stationary and can change its statistical nature as different portions of an image are coded. For good coding efficiency it is important that the probability estimator track changes in input statistics. The problem with the estimate (D-5) of p_{LPS} in a non-stationary environment is that it becomes "stuck". Once n_{LPS} and n_{MPS} have built up to large numbers, it takes many contrary observations to appreciably change p_{LPS}.

At the same time, of course, accurate (not noisy) estimation in the steady-state environment is also desired. An excellent compromise between rapid tracking and accurate steady-state estimation can be achieved by clamping n_{LPS}. When the iteration (D-6), (D-7), (D-8) drives n_{LPS} over some threshold, then both it and n_{MPS} are scaled down proportionately. Since the scaling is proportionate, it does not affect p_{LPS}. It does, however, keep n_{LPS} and n_{MPS} small so that response to changing underlying statistics is rapid. The exact setting of the clamping threshold to trigger proportionate scaling allows making a tradeoff between rapid tracking and estimation accuracy. Small thresholds favor rapid tracking and large ones favor estimation accuracy.

D.5 Reducing computational burden

Table 24 in effect defines a probability estimator. This estimator imitates the clamped version of the iteration (D-6), (D-7), (D-8) just discussed. Importantly though, this imitation is done in such a way as to minimize computational burden.

Figures D.1A and D.1B show the same plot, but at two different vertical resolutions differing by a factor of 1000. This plot shows graphically, in $n_{LPS} - n_{MPS}$ space, the data that is presented tabularly in Table 24. There are 113 solid color squares in the plot and each corresponds to one of the states shown in Table 24. Each of these states has a probability estimate $\hat{P}_{LPS}$ associated with it via equation (D-5). The value of δ was chosen as 0,45 by experimental optimization. For later graphical convenience, the point $(-\delta, -\delta)$ is shown as a circle in Figures D.1A and D.1B. All lines radiating from this point are lines of constant probability.

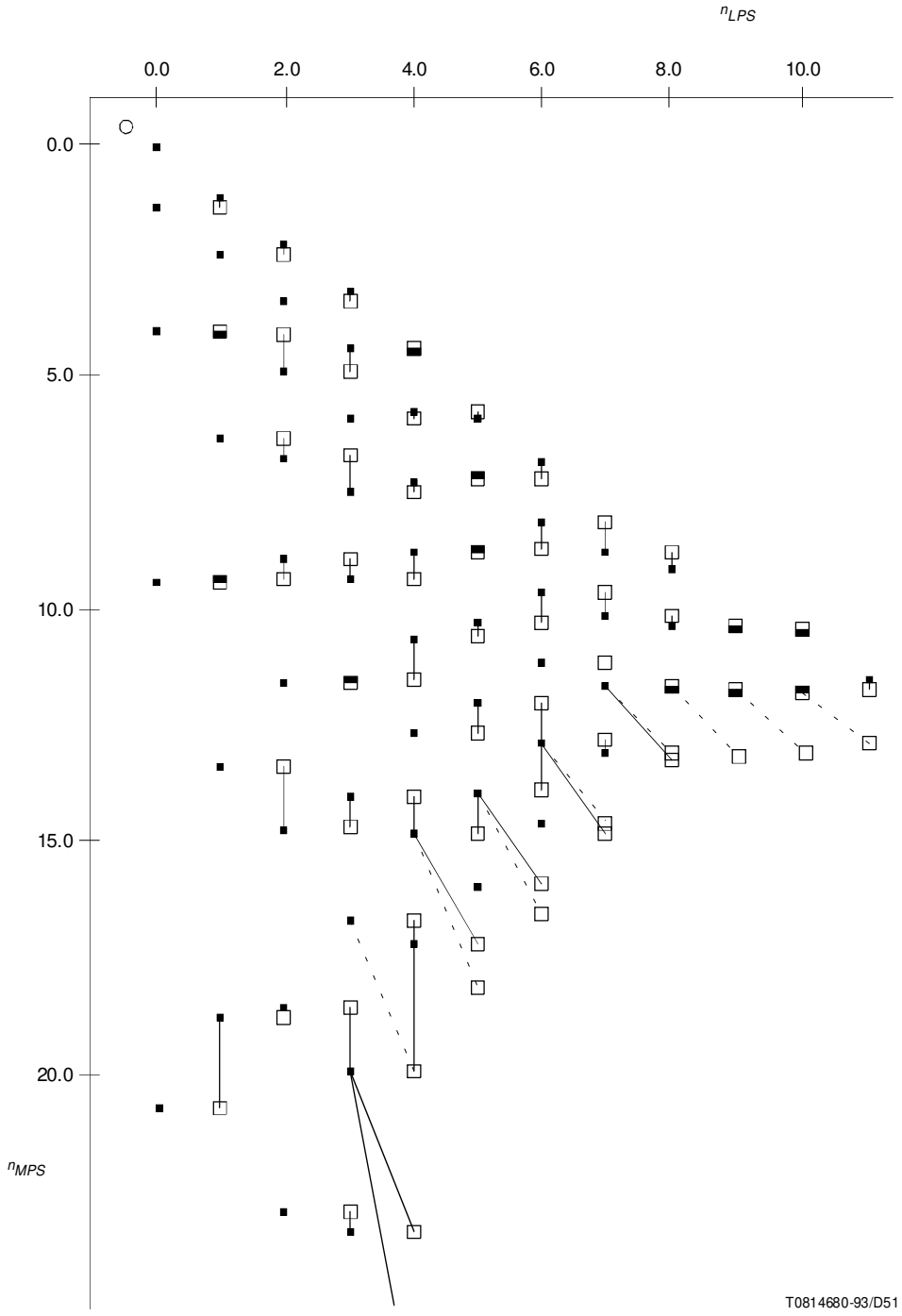

Figure D.1A – Probability estimator states in $n_{LPS} - n_{MPS}$ space: Maximum plotted n_{MPS} value = 25

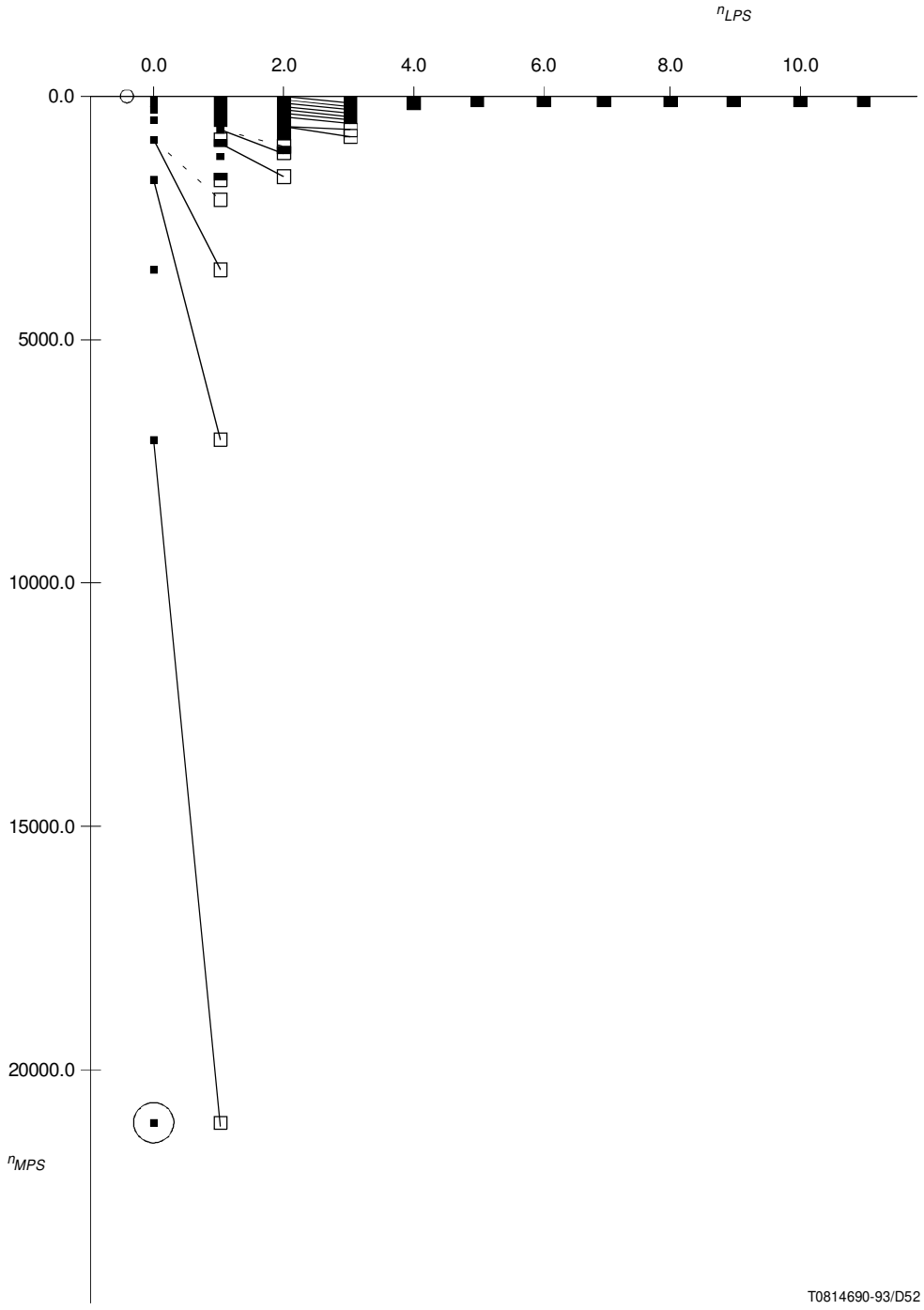

Figure D.1B – Probability estimator states in $n_{LPS} - n_{MPS}$ space: Maximum plotted n_{MPS} value = 25 000

The column labeled **LSZ** in Table 24 is obtained to first approximation by using equation 8 (see 6.8.1.2). The coding interval **A** has a probability density that is well approximated as being inversely proportional to **A** so that $\overline{A}$ in equation 8 (see 6.8.1.2) is

$$0.721 \times 0x10000 = 0xb893 \tag{D-9}$$

The actual entries in Table 24 differ from $p_{LPS} \times 0xb893$ by a few percent because some further experimental optimization has been performed.

When the MPS is received, ideally n_{MPS} should be incremented by 1 as in equation (D-7). However, such an approach would lead to an unreasonably large number of states. Instead movement downward in plot (D-1) is conditioned on there being a renormalization. Let

$$a = A / 0x10000 \tag{D-10}$$

denote the normalized **A** register size. The probability P_{RN} of an MPS driven renormalization is given by

$$\begin{aligned} P_{RN} &= P_R\ \{(1-p)a < (1/2)\} \\ &= P_R\ \{a < (1/2)/(1-p)\} \\ &= \log_2(1/(1-p)) \end{aligned} \tag{D-11}$$

where the random variable a has again been assumed to have a density on [½,1) inversely proportional to a. In any vertical column the distance between any two states is equal to $1/P_{RN}$ where P_{RN} is as given by (D-11) with p equal to p_{LPS} for the upper of the two states. This large increment exactly compensates for the fact that n_{MPS} is only being changed with probability P_{RN}.

When the current state is at the bottom of its column, it is of course impossible to go lower in that column by $1/P_{RN}$. In plot (D-1) such states have immediately below them an open square marking where the MPS update would like to drive n_{MPS}. This desired point is connected by a dashed line to the state actually moved to. Note that the dashed lines all radiate towards the circle at (–δ, –δ) and thus the remapping changes p_{LPS} as little as possible.

When the LPS is received, there is always a renormalization and the nominal movement in $n_{LPS} - n_{MPS}$ space is to (n_{LPS} + 1, n_{MPS}). Such points are also shown as open squares in plot (D-1). In all cases these open squares do not coincide with any available state and must be remapped. When the nominal update is "internal" to the area in $n_{LPS} - n_{MPS}$ space covered by the available states, the remapping is done by a vertical movement to the nearest (in a p_{LPS} sense) available state. Such mappings are shown by solid lines. When the nominal update is "external", clamping as described in D.4 is desirable and the remapping is to an available state in the column one to the left of the nominal point. Again the particular point chosen in this column is nearest to the nominal point in a p_{LPS} sense. External remappings like this are also shown as solid lines in plot (D-1).

There is one state that maps back into itself on receipt of an MPS. It is shown with a circle around it and only appears with the large scaling of Figure D.1B. This state has the smallest associated probability of any of the states (approximately 0.00002) and there is no better state to transition to upon receipt of the MPS.

The effective clamping threshold is a weak function of n_{MPS} and hence p_{LPS}. Values of p_{LPS} near ½ are estimated with less noise but hence less tracking ability than values near 0. This behavior was found to be desirable in designing a common arithmetic encoder for both this Specification and also CCITT Rec. T.81 | ISO/IEC 10918-1. For the application of this Specification, rapid tracking is of relatively more importance whereas in CCITT Rec. T.81 | ISO/IEC 10918-1 estimation quality is of relatively more importance. Conveniently, the values of p_{LPS} generally encountered in the application of this Specification are much smaller than those encountered in the application of CCITT Rec. T.81 | ISO/IEC 10918-1. Having the clamping threshold become somewhat smaller for small p_{LPS} in effect automatically provides the desired behavior in each of the two different Specifications.

Annex E
Patents

(This annex does not form an integral part of this Recommendation | International Standard)

E.1 Introductory remarks

The user's attention is called to the possibility that compliance with this Specification may require use of an invention covered by patent rights.

By publication of this Specification, no position is taken with respect to the validity of the claim or of any patent rights in connection therewith. However, for each patent listed in this Annex, the patent holder has filed with the ISO/IEC Information Technology Task Force (ITTF) and the Telecommunication Standardization Bureau (TSB) a statement of willingness to grant a license under these rights on reasonable and non-discriminatory terms and conditions to applications desiring to obtain such a license.

The criteria for including patents in this annex are:

1) The patent has been identified by someone who is familiar with the technical fields relevant to this Specification, and who believes use of the invention covered by the patent is required for implementation of one or more of the coding processes specified.

2) The patent holder has filed a letter with the ITTF and the TSB stating willingness to grant a license to an unlimited number of applicants throughout the world under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

During maintenance of this Specification, the list of patents shall be updated, if necessary, upon any revisions to the Recommendation | International Standard.

E.2 List of patents

Only patents in the home countries of the patent-holding corporations are listed. In many cases foreign filings have been made.

1) IBM, *A method and means for pipeline decoding of the high to low order pairwise combined digits of a decodable set of relatively shifted finite number of strings*, US 4 295 125, Oct. 13, 1981.

2) IBM, *A method and means for carry-over control in a high order to low order combining of digits of a decodable set of relatively shifted finite number strings*, US 4 463 342, July 31, 1984.

3) IBM, *High-speed arithmetic compression using concurrent value updating*, US 4 467 317, August 21, 1984.

4) IBM, *Method and means for arithmetic coding using a reduced number of operations*, US 4 286 256, August 25, 1981.

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13) AT&T, *Progressive transmission of high resolution two-tone facsimile images*, US 4 870 497, September 26, 1989.

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20) KDD, *Methods for reduced-sized images*, Japan Application No. 63-212 432, pending in Japan.

21) KDD, *Image reduction system*, Japan Application No. 1-167 033, joint with Canon, pending in Japan.

22) Mitsubishi, *Facsimile encoding communication system*, Japan 1 251 403, July 6, 1984.

23) Mitsubishi, *Encoding method*, pending in Japan.

24) Canon, *Image reduction system*, Japan Application No. 1-167 033, joint with KDD, pending in Japan.

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Annex F
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(This annex does not form an integral part of this Recommendation | International Standard)

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